#### ORIGINAL RESEARCH

# Prognostic Factors for Successful Surgical Outcomes in Trochlear Nerve Palsy: A Retrospective Study and Literature Review

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**Purpose:** Trochlear nerve palsy is a common cause of double vision, particularly vertical diplopia. Surgery might be necessary if the condition does not improve independently. The success of the surgery can vary based on the method employed, and clear factors to predict its effectiveness are not evident. This study evaluates surgical techniques, success rates, and prognostic factors for trochlear nerve palsy at a tertiary hospital.

**Patients and Methods:** A retrospective chart review was conducted on patients undergoing strabismus surgery for trochlear nerve palsy at Phramongkutklao Hospital between April 2012 and July 2024. Collected data included demographics, visual acuity, stereopsis, etiology, preoperative angles, surgical methods, and postoperative outcomes. A literature review regarding surgical success and prognostic factors was also conducted.

**Results:** Seventy-two cases were included, with 79.2% involving decompensated congenital trochlear nerve palsy. The overall surgical success rate was 76.39%, and inferior oblique myectomy was the most common and effective procedure (44.4% of cases). Based on multivariate logistic regression analysis, a preoperative hypertropia of  $\leq$ 15 prism diopters was the significant factor for predicting successful outcomes in this study (OR 5.13, 95% CI 1.19–22.18).

**Conclusion:** Inferior oblique muscle surgery effectively addresses small-angle deviations in trochlear nerve palsy. A <15 prism diopters vertical deviation strongly predicted positive surgical outcomes in this study. Further studies are needed to compare surgical techniques and explore additional prognostic factors to optimize long-term outcomes and improve patient care.

**Plain Language Summary:** Trochlear nerve palsy can lead to double vision, and surgery is required if there is no spontaneous improvement. The success of surgical procedures varies based on technique, and there are limited known predictors for which patients may achieve optimal results. When the condition does not resolve independently, surgery is necessary to rectify eye misalignment. This study explored various surgical methods, their success rates, and the factors affecting favorable outcomes from trochlear nerve palsy surgery.

A review of medical records for 72 patients who underwent surgery for trochlear nerve palsy at Phramongkutklao Hospital between 2012 and 2024 showed that congenital causes were the most prevalent, accounting for 79.2% of cases. The findings indicated that 76.39% of the surgeries were successful, with inferior oblique myectomy being the most effective and frequently performed procedure. The primary predictor for a successful surgery was having a preoperative eye misalignment, specifically hypertropia, of  $\leq 15$  prism diopters.

Notably, inferior oblique surgery successfully addresses small-angle deviations, with preoperative hypertropia remarkably predicting the surgical success. Further studies are necessary to compare surgical methods and explore other factors that may enhance long-term outcomes.

Keywords: fourth nerve, paresis, prognosis, long-term, surgery, strabismus, neuro-ophthalmology

### Introduction

The trochlear cranial nerve exclusively supplies the superior oblique muscle. Isolated or unilateral weakness in this muscle typically results in hypertropia, whereas bilateral weakness often causes torsional diplopia.<sup>1,2</sup> Population-based studies on

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trochlear nerve palsy report an incidence rate of approximately 3.74–5.73 per 100,000 cases annually.<sup>1,2</sup> Common etiologies include congenital, presumed vascular, compressive, idiopathic causes, and postinfectious etiology, with varying distributions observed across studies.<sup>1–3</sup> Notably, congenital forms are the most frequently identified, accounting for 49%–76.7% of cases.<sup>2–4</sup> However, some case series suggest a predominance of acquired causes in specific cohorts.<sup>3</sup>

The recovery rate of trochlear nerve palsy varies substantially, with estimates ranging from 24.6% to 82.6%.<sup>5–7</sup> Partial recovery lowered the quality of life, resulting in diplopia, compensatory head posture, and visual issues. The treatment options for patients with partial or nonrecovery include conservative approaches, such as patching, prisms, eyeglasses, and surgical interventions. Surgical procedures typically address vertical misalignment and often involve inferior oblique weakening, superior oblique tucking, or vertical rectus muscle weakening, which can be performed individually or in combination based on the measured deviation. Conversely, individualized surgical planning is essential for managing torsional diplopia.<sup>8</sup> The reported success rates of surgery for trochlear nerve palsy range from 61.9% to 100%, with outcomes varying based on the surgical technique employed and the criteria used to define success.<sup>4,9–19</sup> Inferior oblique myectomy, inferior oblique anterior transposition, and superior oblique tucking achieve success rates of 61.9%–94.7%,<sup>12,14,15</sup> 89%–100%,<sup>12,15</sup> and 85.19%,<sup>18</sup> respectively. However, inferior oblique recession, a less commonly performed technique, has received limited attention in the literature, with a reported success rate of 84.2% in one study.<sup>11</sup> The lack of comprehensive data on inferior oblique recession limits direct comparisons with other techniques. Additionally, a study conducted in Thailand reported an overall surgical success rate of 82.75%. However, specific procedures were not delineated.<sup>20</sup> This highlights the need for further research on surgical outcomes in diverse populations, particularly within Southeast Asia, to bridge the existing knowledge gaps.

Despite extensive reporting on surgical outcomes, a comprehensive understanding of the prognostic factors influencing success remains elusive. Previous studies identified amblyopia,<sup>16</sup> older age,<sup>13,21</sup> and larger vertical deviations<sup>13,22</sup> as predictors of the need for reoperation. Thus, identifying additional factors is essential for guiding clinical decision-making and improving patient care. This report examined the types of surgical procedures performed, their long-term success rates, and the factors influencing favorable outcomes in trochlear nerve palsy treatment in a tertiary hospital setting.

## **Materials and Methods**

#### **Study Participants**

This retrospective study collected data from individuals with strabismus. Electronic data was retrospect from April 1, 2012, to July 31, 2024. I used the International Classification of Diseases, Ninth Revision (ICD-9) codes 15.1–15.9 to find strabismus procedures, matching with ICD-10 code H491 for trochlear nerve palsy. I collected general data, including age, sex, onset duration, diagnosis, stereopsis, ocular version, preoperative angle, and surgery outcomes during the following period.

Inclusion criteria were individuals who had trochlear nerve palsy and received strabismus surgeries for the first time in only one cyclovertical muscle with complete data collected. Participants must be postoperatively followed and receive the examination at least four times annually. The exclusion criteria were individuals who had trochlear nerve palsies with previous surgery and incomplete data.

A total of 92 cases received surgical treatment, 20 of which were excluded due to missing data. Hence, this study included the remaining 72 cases. Figure 1 shows the flowchart of participant recruitment.

### **Disease Definition**

Trochlear nerve palsy is a trochlear nerve weakness that causes vertical diplopia with or without excyclotorsional symptoms. Congenital cases have typical features, including facial asymmetry, large vertical fusional amplitude, and absence of torsional diplopia.

# Data Gathering

I collected each participant's demographic data, including age, sex, symptom duration, etiology, visual acuity, refractive error, preoperative head tilt, stereopsis, preoperative deviation angle, and surgical outcomes over time. Stereopsis was assessed using the Randot stereo test, with results presented in arcseconds. A strabismus specialist conducted all



Figure I Flowchart of participant recruitment.

Abbreviations: ICD, International Classification of Diseases; N, number of participants.

strabismus evaluations. A grading scale from 1+ to 4+ assessed inferior oblique overaction and superior oblique underaction. Visual acuity was measured as a Log Mar unit, and subjective refraction was measured. A contour stereo test was used for stereopsis measurement.

A comprehensive literature search was conducted using the PubMed database for English-language articles published between January 1, 2016, and June 30, 2024. Specific search keywords included "trochlear nerve palsy", "fourth nerve palsy", "strabismus surgery", "muscle surgery", "inferior oblique myectomy", "inferior oblique recession", "inferior oblique anterior transposition", and "inferior oblique weakening". I searched for relevant studies that included success rates and, principally, prognostic factors related to trochlear nerve palsy surgery. Our review comprised original research articles and case series. Case reports are excluded from the review of surgical outcomes in trochlear nerve palsy due to their limited sample size, lack of statistical significance, and absence of standardized methodology, which can reduce the reliability and generalizability of conclusions drawn from such isolated cases.

#### Surgeries

Participants' surgeries focused on a single cyclovertical muscle, operated on using one of three surgical techniques: inferior oblique myectomy, inferior oblique recession, and inferior oblique anterior transposition. Inferior oblique myectomy is a surgical procedure in which a portion of the inferior oblique muscle is removed to weaken its function. The inferior oblique recession technique used graded recession guidelines.<sup>21</sup> The inferior oblique anterior transposition technique attaches the inferior oblique's insertion point 2 mm posterior to the point of inferior rectus insertion. Successful surgery was defined as ocular alignment within five prism diopters without diplopia symptoms. Three surgeons performed all surgeries during data collection.

### Statistical Analysis

Descriptive statistics were used to distinguish demographic data for the sample group from the general data. Group data regarding the amount and percentage of quantitative data were analyzed. The mean for a normal distribution was

measured along with the mean and standard deviation. The average value for irregular distribution was summed with the median. Numbers and percentages represent clustered data.

I used the Mann–Whitney *U*-test for continuous variables and Fisher's exact categorical variables to compare outcomes between successful and unsuccessful surgical groups in trochlear nerve palsy surgery. I used a logistic regression analysis to assess prognostic factors influencing surgical success. Univariate logistic regression analyses were used to adjust for patient age, preoperative hypertrophic angle, head tilt, and stereopsis and mitigate potential bias. Patients' ages were categorized as younger (<15 years) or older ( $\geq$ 15 years). Preoperative hypertropia was classified as small ( $\leq$ 15 prism diopters) or large (>15 diopters). Stereopsis was categorized as good ( $\leq$ 200 arcseconds) or poor (>200 arcseconds). Patients' preoperative head tilts were grouped by degree: small (<5°) or large ( $\geq$ 5°). Because there were so few unsuccessful cases, multivariate analysis could not be performed on patients with poor surgical outcomes. A significant *p*-value of <0.05 was applied to identify statistical significance. Stata 14 (StataCorp LLC, the USA) was utilized for analysis.

### **Ethics** Approval

The study was approved by the Institutional Review Board of the Royal Thai Army Medical Department (approval number S066h/67\_Exp). Due to its retrospective nature, the Royal Thai Army Medical Department waived the need for informed consent. This study was conducted following the principles of the Declaration of Helsinki.

## Results

#### Patient Demography

Table 1 presents the demographic data of the 72 patients included in this report. The average age was 21.32 years, with slightly predominantly women (n = 37). The mean onset duration of trochlear nerve palsy was  $5.51 \pm 4.07$  years, and most cases (57/72, 79.2%) involved congenital trochlear nerve palsy. The average preoperative hypertropia was  $12.64 \pm 4.11$  prism diopters, the mean preoperative head tilt was  $3.36^{\circ} \pm 2.06^{\circ}$ , and the mean preoperative stereopsis was  $157.29 \pm 183.3$  arcseconds. The overall surgical success rate was 76.39% (55/72). Among the surgical methods, 44.44% involved inferior oblique myectomy. According to the Knapp classification, 81.9% (59/72) were type 1, 5.6% (4/72) were type 3, and 12.5% (9/72) were type 4.

Table 1 shows that the younger age group, degree of inferior oblique overaction, superior oblique underaction, preoperative stereopsis, preoperative angle, Knapp type, and inferior oblique myectomy were significantly associated with good surgical outcomes (p < 0.05).

	Success		Non-succe	p-value	
	n	%	n	%	
Sex					0.335
Male	25	71.4	10	28.6	
Female	30	81.1	7 18.9		
Age (year)					0.001
Mean ± SD	17.75 ± 13.86		32.88 ± 17.84		
Median (IQR)	12 (7–26)		35 (18–48)		

Table I Mann-Whitney U-Test of Preoperative Demographic Data in Patients With
Trochlear Nerve Palsy

	Success		Non-succes	ss	p-value
	n	%	n	%	
Stereopsis					0.496
Present	36	75.0	12	25.0	
Absent	6	66.7	3	33.3	
Not record	13	86.7	2	13.3	
Preoperative (arcsec)					0.149
Mean ± SD	124.42 ± 137.91		245.63 ± 255.42		
Median (IQR)	100 (60–140)		200 (50–400)		
Preoperative diplopia					0.120
Yes	27	69.2	12	30.8	
No	28	84.8	5	15.2	
Duration (year)					0.399
Mean ± SD	5.03 ± 3.34		7.06 ± 5.72		
Median (IQR)	4 (2-8)		8 (2-10)		
Refraction					0.151
Mean ± SD	-0.05 ± 1.03		-0.41 ± 0.73		
Median (IQR)	0 (-0.5-0.75)		-0.25 (-1-0)		
Visual acuity					0.994
Mean ± SD	0.09 ± 0.08		0.09 ± 0.07		
Median (IQR)	0.1(0-0.2)		0.1(0-0.1)		
IO overaction					0.002
0	0	0.0	2	100.0	
I	15	88.2	2	11.8	
2	38	80.9	9	19.1	
3	I	20.0	4	80.0	
4	I	100.0	0	0.0	
SO underaction					0.026
-3	3	100.0	0	0.0	
-2	7	50.0	7	50.0	
-1	26	83.9	5	16.1	
-0.5	0	0.0	I	100.0	
0	19	82.6	4	17.4	

Table I (Continued).

	Success		Non-succe	ss	p-value
	n	%	n	%	<b>F</b>
Congenital					0.319
Yes	45	78.9	12	21.1	
No	10	66.7	5	33.3	
Knapp type					<0.001
I	51	86.4	8	13.6	
3	0	0.0	4	100.0	
4	4	44.4	5	55.6	
Surgical procedure					0.121
IO myectomy	29	90.6	3	9.4	
IO anterior transposition	17	70.8	7	29.2	
IO recess	8	50.0	8	50.0	
Preoperative head tilt					0.330
Mean ± SD	3.53 ± 2.02		2.82 ± 2.19		
Median (IQR)	4 (2–5)		4 (0-5)		
Preoperative angle					0.002
Mean ± SD	11.78 ± 3.9		15.41 ± 3.59		
Median (IQR)	12 (8-14)		16 (14–18)		

Table I (Continued).

Note: Data are presented as the mean  $\pm$  standard deviation (SD), median (interquartile range), or n (%) of patients.

Abbreviations: IO, inferior oblique; SO, superior oblique; SD, standard deviation.

# Surgical Success Rates

Table 2 presents postoperative outcomes up to 12 months, including reoperation data. The average postoperative hypertropia at 12 months was  $3.19 \pm 3.25$  prism diopters, and the mean postoperative stereopsis was  $182.54 \pm 228.13$  arcseconds. By the 12-month follow-up appointment, 61.1% (44/72) of the cases demonstrated residual hypertropia, whereas 38.9% (28/72) achieved orthotropia. No instances of consecutive hypotropia were observed. Postoperative head tilt decreased to  $2.08^{\circ} \pm 1.82^{\circ}$ . The reoperation rate was relatively low at 8.3% (6/72), and no complications were reported in the study population.

Table 2 Mann	-Whitney U-Tes	t of Trochlear	Nerve Palsy	Outcomes
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	Success		Non-Success		p-value
	n	%	n	%	
Postoperative diplopia					0.001
Yes	8	47.1	9	52.9	
No	47	85.5	8	14.5	

	Success		Non-Success		p-value
Postoperative day I					<0.001
Ortho	30	96.8	I	3.2	
Residual	25	61.0	16	39.0	
Consecutive	0	0.0	0	0.0	
Angle day I					<0.001
Mean ± SD	1.71 ± 2.39		7.41 ± 2.98		
Median (IQR)	0 (0-2)		8 (6-8)		
Postoperative I week					0.001
Ortho	28	96.6	I	3.4	
Residual	27	62.8	16	37.2	
Consecutive	0	0.0	0	0.0	
Angle I week					<0.001
Mean ± SD	1.93 ± 2.46		7.29 ± 2.73		
Median (IQR)	0 (0-4)		8 (6-8)		
Postoperative I month					<0.001
Ortho	31	96.9	I	3.1	
Residual	24	60.0	16	40.0	
Consecutive	0	0.0	0	0.0	
Angle I month					<0.001
Mean ± SD	1.67 ± 2.27		7.06 ± 2.93		
Median (IQR)	0 (0-4)		6 (6-8)		
Postoperative 3 months					0.001
Ortho	27	96.4	I	3.6	
Residual	28	63.6	16	36.4	
Consecutive	0	0.0	0	0.0	
Angle 3 months					<0.001
Mean ± SD	1.89 ± 2.29		7.06 ± 2.56		
Median (IQR)	2 (0-4)		6 (6-8)		
Postoperative 6 months					0.002
Ortho	26	96.3	I	3.7	
Residual	29	64.4	16	35.6	
Consecutive	0	0.0	0	0.0	

## Table 2 (Continued).

	Success		Non-Success		p-value
Angle 6 months					<0.001
Mean ± SD	1.96 ± 2.26		7.41 ± 2.9		
Median (IQR)	2 (0-4)		8 (6-8)		
Postoperative I year					0.001
Ortho	27	96.4	I	3.6	
Residual	28	63.6	16	36.4	
Consecutive	0	0.0	0	0.0	
Angle I year					<0.001
Mean ± SD	1.93 ± 2.21		7.29 ± 2.64		
Median (IQR)	2 (0-4)		8 (6-8)		
Decrease angle at I year					0.289
Mean ± SD	9.78 ± 2.74		8.41 ± 3.69		
Median (IQR)	10 (8-12)		8 (4–12)		
Postoperative (arcsec)					0.897
Mean ± SD	188.84 ± 240.83		165.63 ± 195.99		
Median (IQR)	80 (60-140)		120 (50-200)		
Reoperation					<0.001
Yes	0	0.0	6	100.0	
No	55	83.3	11	16.7	

Table 2 (Continued).

Note: Data are presented as patients' mean  $\pm$  standard deviation (SD) or n (%).

Table 3 summarizes the logistic regression analysis results, identifying factors significantly associated with successful surgical outcomes. Multivariate logistic regression revealed that a preoperative hypertropia angle of  $\leq$ 15 prism diopters was significantly associated with favorable outcomes with adjusted odds ratios of 5.13 (95% confidence interval (CI), 1.19–22.18).

	Success		Non- success		Total		p-value	Crude	95% CI	p-value	Adjusted	95% CI
	n	%	n	%	n	%		Odds ratio			Odds ratio	
Preoperative diplopia												
Yes	27	69.2	12	30.8	39	54.2	0.126	2.49	0.77 8.02	0.844	1.20	0.19 -7.52
No	28	84.8	5	15.2	33	45.8		1			I	

	Success		Non- success		Total		p-value	Crude	95% CI	p-value	Adjusted	95% CI
Age (year)												
<15	29	87.9	4	12.1	33	45.8	0.042	3.63	1.05 -12.52	0.553	1.74	0.28 -10.91
≥15	26	66.7	13	33.3	39	54.2		I			I	
Preoperative (arcsec)												
≤140	34	82.9	7	17.1	41	69.5	0.012	4.86	1.42 -16.63	0.056	3.94	0.97 -16.06
>140	9	50	9	50	18	30.5		I			I	
Preoperative angle (PD)												
≤ <b>15 PD</b>	43	87.8	6	12.2	49	68. I	0.002	6.57	2.01 -21.44	0.028	5.13	1.19 -22.18
>15 PD	12	52.2	П	47.8	23	31.9		I			I	
Duration (year)												
≤5	8	19	34	81.0	42	58.3		I			I	
>5	9	30	21	70.0	30	41.7	0.284	1.82	0.61 -5.45	0.993	1.01	0.23 -4.40

Table 3 (Continued).

Note: Data are presented as n (%) of patients.

Abbreviations: Cl, confidence interval; PD, prism diopter.

# Discussion

Previous studies have reported high surgical success rates for trochlear nerve palsy surgery;<sup>4,9–19</sup> however, the prognostic factors influencing the optimal outcomes remain unclear. Our analysis identified a smaller preoperative hypertrophic angle as a significant predictor of excellent surgical outcomes by 12 months postoperatively. Moreover, patients in our cohort most commonly presented with Knapp type 3, and inferior oblique myectomy was the most frequently performed and most successful surgery.

Smaller preoperative hypertropia angles were significantly associated with favorable surgical outcomes in patients with trochlear nerve palsy who underwent single-muscle inferior oblique surgery. Our results are congruent with the findings of previous studies indicating that inferior oblique weakening procedures effectively correct hypertropia of <20 prism diopters.<sup>11,22–25</sup> However, by focusing exclusively on patients who underwent single-muscle surgery, our study reveals targeted evidence that preoperative deviations of  $\leq 15$  prism diopters particularly predict successful outcomes. This finding validates the role of preoperative deviation magnitude in guiding surgical decision-making, as patients with larger deviations may require additional cyclovertical muscle procedures to achieve optimal alignment.

Univariate analysis revealed that good preoperative stereopsis ( $\leq$ 140 arcseconds) and younger age (<15 years) were significantly associated with favorable surgical outcomes. However, these associations lost significance in multivariate analysis, indicating that other variables, such as preoperative hypertropia, may mediate their effects. Furthermore, previous studies have determined both factors as crucial prognostic indicators for successful strabismus surgery, particularly in trochlear nerve palsy. Our results do not establish them as independent predictors, but they highlight potential trends that warrant further investigation in larger cohorts to determine their specific role in surgical outcomes.

Younger age has been a significant factor affecting trochlear nerve palsy surgical outcomes, probably due to greater vertical fusional capacity, fewer comorbidities, and improved postoperative healing, all contributing to improved

alignment stability. Our results are congruent with Aoba et al<sup>13</sup> and Lau et al<sup>21</sup> reporting poorer outcomes and higher reoperation rates in older patients. However, conflicting evidence exists. Khanna et al<sup>23</sup> reported superior motor outcomes in older patients, whereas Lee et al<sup>10</sup> revealed that younger patients were less likely to experience motor function and head tilt improvements. These various results highlight the complexity of age-related factors in surgical success, emphasizing the need for further research to delineate its precise effect.

Similarly, good preoperative stereopsis ( $\leq$ 140 arcseconds) was associated with favorable postoperative ocular alignment 1 year after surgery. Strong preoperative binocular vision may enhance fusional amplitude in cases of residual hypertropia, particularly in congenital trochlear nerve palsy, facilitating long-term ocular stability. However, our study did not assess distant stereopsis, which may also affect surgical outcomes. Future research should explore the relationship between distant stereopsis and postoperative alignment to refine patient selection criteria and optimize long-term success.

I found that inferior oblique myectomy was associated with favorable long-term surgical outcomes; however, inferior oblique recession produced worse outcomes in our cohort. Previous studies found that inferior oblique anterior transposition was more effective than myectomy; however, it carries a risk of inducing antielevation syndrome.<sup>2,15</sup> Although less common, inferior oblique recession has also shown positive outcomes in some studies.<sup>11</sup> To the best of my knowledge, this was the first study that compared these three techniques and concluded that inferior oblique myectomy is the most effective procedure potentially, because of the subjective nature of quantifying inferior oblique overaction, which can lead to inaccuracies when planning for recession, exacerbating the weakening effects of the recession and contributing to suboptimal outcomes. Conversely, inferior oblique myectomy and anterior transposition rely less on precise measurements of overaction, explaining their more consistent success as surgical correction techniques.

Knapp classification was formerly categorized as a type of superior oblique muscle palsy. Compared to types 3 and 4, type 1 was the most prevalent and associated with the best surgical outcomes. Type 1 subjects had the worst hypertropia when examining the field of action of the inferior oblique muscle, reflecting inferior oblique overaction. These patients could have benefited from the inferior oblique muscle weakening procedure. Type 3 and 4 patients demonstrated significantly superior oblique muscle underaction, necessitating the superior oblique muscle strengthening procedure. Consequently, these patients are more likely to experience poor outcomes.

A literature review was conducted using the PubMed database to evaluate recent trochlear nerve palsy surgery data. Search terms included "trochlear nerve palsy", "fourth nerve palsy", "surgery", and "success". Table 4 summarizes the surgical techniques and outcomes from studies published since 2016. Only studies examining inferior oblique weakening procedures were included.

Success rates for trochlear nerve palsy surgery differ widely, ranging from 45.5% to 97.3% across different procedures.<sup>10–12,14–19,23,25</sup> Most studies report high success rates despite success criteria, follow-up duration, and surgical approach variations. Inferior oblique myectomy and anterior transposition are the most prevalently studied procedures. Farid et al<sup>12</sup> revealed that anterior transposition was more effective than myectomy in correcting hypertropia but was associated with a higher antielevation syndrome incidence. Similarly, Jafari et al<sup>15</sup> reported superior outcomes for anterior transposition over myectomy in reducing strabismus angles. Our results are congruent with the findings of these studies, indicating high success rates for both procedures, with myectomy slightly outperforming anterior transposition. Reports on inferior oblique recession outcomes remain limited, and no studies have directly compared its efficacy with other techniques.<sup>11</sup>

The prognostic factors affecting surgical success remain unclear. Sanz et al<sup>16</sup> assessed 76 patients but revealed no significant predictors. However, amblyopia was associated with an increased likelihood of reoperation (p = 0.04). Long-term outcomes differ, but surgical intervention generally improves ocular alignment and reduces diplopia in trochlear nerve palsy. Thus, future research should focus on refining surgical techniques, optimizing patient selection, and determining additional prognostic factors to improve long-term outcomes.

#### Limitations

Notably, our study had some limitations. First, its retrospective design carried a risk of selection bias and incomplete data. Second, the small sample size might have reduced our analysis's statistical power, potentially affecting our findings' robustness. Third, as this was a single-center study, the results might not be generalizable to broader populations. Fourth,

#### Table 4 Success Rate of Strabismus Surgery in Trochlear Nerve Palsy

Study	Lee JE et al 2016 <sup>10]</sup>	SanZ et al 2017 <sup>16</sup>	Akbari MR. et al 2018 <sup>14</sup>	Dwivedi R et al 2018 <sup>18</sup>	Haugen OH et al 2019 <sup>11</sup>	Torrado LA et al 2019 <sup>19</sup>	Farid MF. et al 2020 <sup>12</sup>	Jafari K. et al 2020 <sup>15</sup>	Pruett JK et al 2023 <sup>17</sup>	Khanna RK et al 2024 <sup>23</sup>	Our Study 2024
Population	64(41/23)	76 (50/26)	39	162 (110/52)	4 (73/3 /  0)	7	65 (54/11)	40	27	57	72 (57/15)
Туре	Congenital superior oblique palsy: Absent/ present superior oblique muscle	Congenital/ acquired	NA	Congenital/ acquired	Congenital/ acquired/ uncertain cause	NA	Congenital/ acquired	NA	NA	Congenital	Congenital/ acquired
Follow-up period, months	2 years	37.08	NA	7.5	NA	13.25	6	NA	3 ± 3.8	12	12
Success rate											
Inferior oblique weakening	86%/92%	75%	NA	NA	NA	NA	NA	NA	70%	NA	NA
Inferior oblique myectomy	NA	NA	94.7% in hypertropia of <15 PD and 85% in hypertropia of ≥15 PD	NA	NA	NA	61.9% (24/65)	21/40 (76%)	NA	NA	90.6% (29/32)
Inferior oblique anterior transposition	NA		NA	NA	NA	NA	100% (22/65)	19/40 (89%)	NA	NA	77.8% (17/24)
Inferior oblique resection plus anterior transposition	NA	NA	NA	NA	NA	NA	100% (19/65)	NA	NA	NA	NA

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(Continued)

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#### Table 4 (Continued).

Study	Lee JE et al 2016 <sup>10]</sup>	SanZ et al 2017 <sup>16</sup>	Akbari MR. et al 2018 <sup>14</sup>	Dwivedi R et al 2018 <sup>18</sup>	Haugen OH et al 2019 <sup>11</sup>	Torrado LA et al 2019 <sup>19</sup>	Farid MF. et al 2020 <sup>12</sup>	Jafari K. et al 2020 <sup>15</sup>	Pruett JK et al 2023 <sup>17</sup>	Khanna RK et al 2024 <sup>23</sup>	Our Study 2024
Inferior oblique recession	NA	NA	NA	NA	-84.2% -71/73 (97.3% of congenital cases) 33/41 (80.5% of acquired or uncertain group)	100%	NA	NA	NA	NA	50% (8/16)
Superior oblique tucking	NA	NA	NA	85.19%	NA	NA	NA	NA	NA	NA	NA
Superior oblique tendon plication with or without inferior oblique recession	NA	NA	NA	NA	NA	NA	NA	NA	NA	70.8% (children)/ 45.5% (adult)	NA
Success criteria	Hypotropia of <4 PD The angle of head tilt of <5 degree	Resolution of or improvement in torticollis (≤5°) and diplopia in a primary position and downgaze or as a vertical deviation of <5 PD in PP and 10 PD in the oblique diagnostic position	Hypertropia of ≤5 PD	NA	Subjective symptoms (no defined objective criteria)	NA	NA	NA	Horizontal deviation of <10 PD and vertical deviation of ≤4 PD	Hypertropia of ≤5 PD with no diplopic symptoms	Hypertropia of <5 PD with no diplopic symptoms

Secondary	-Surgery at	Patients with	NA	14.82%	The	NA	-Inferior	Inferior	Combined	NA	Prognostic
outcomes	a younger age	amblyopia had		experienced	preoperative		oblique	oblique	inferior		factors for
	decreases	a greater risk of		postoperative	vertical angle		anterior	anterior	oblique		success
	motor and	reoperation		iatrogenic	of deviation		transposition	transposition	weakening		outcomes:
	head tilt			Brown's	significantly		with or	was more	and		preoperative
	success			syndrome	correlates		without	effective than	horizontal		hypertropia
	-Greater				with the		resection was	inferior	muscle		≤15 PD
	preoperative				postoperative		more	oblique	correcting		
	head tilt				change in		effective than	myectomy in	for		
	causing				deviation		myectomy in	correcting	exotropia		
	persistent						correcting	vertical	yield a high		
	postoperative						hypertropia	deviation	success		
	head tilt						-Inferior		rate		
							oblique				
							anterior				
							transposition				
							with				
							resection had				
							more anti-				
							elevation				
							syndrome				

**Note**: Data are presented as the mean ± SD, median (interquartile range), or n (%) of patients. **Abbreviations**: NA, not applicable; PD, prism diopter; PP, prefer head position.

the follow-up period was limited, potentially overlooking long-term or delayed outcomes. Finally, the absence of a control group restricted our ability to compare surgical outcomes with alternative treatment modalities, including nonsurgical interventions and other surgical techniques. Future multicenter prospective studies with larger sample sizes and longer follow-up periods are warranted to validate our findings.

## Conclusion

This study revealed a high success rate for isolated inferior oblique muscle surgery in trochlear nerve palsy. A small preoperative hypertrophic angle ( $\leq$ 15 prism diopters) was significantly associated with favorable outcomes. Furthermore, younger age and good preoperative stereopsis demonstrated positive trends, although further studies are warranted to confirm their independent effects. These results reinforce the importance of preoperative assessment in surgical planning. Future research should focus on optimizing patient selection, refining surgical techniques, and assessing the long-term stability of surgical outcomes.

# **Abbreviations**

ICD-9, International Classification of Diseases, Ninth Revision; ICD-10, International Classification of Diseases, tenth Revision; USA, United States of America; CI, Confidence interval.

# **Data Sharing Statement**

This article includes all data generated or analyzed during this study. Further inquiries should be directed to the corresponding author.

# **Ethics Approval and Informed Consent**

The Royal Thai Army Medical Department Institutional Review Board reviewed and approved the study protocol (approval number S066h/67\_Exp). This study has been performed following the principles stated in the Declaration of Helsinki. Written informed consent for publication was waived because of the retrospective nature of the study. Participant data were kept anonymous and confidential.

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# Disclosure

The author reports no conflicts of interest in this work.

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