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REVIEW

### Current perspectives on the use of intravenous recombinant tissue plasminogen activator (tPA) for treatment of acute ischemic stroke

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Abstract: In 1995, the NINDS (National Institute of Neurological Disorders and Stroke) tPA (tissue plasminogen activator) Stroke Study Group published the results of a large multicenter clinical trial demonstrating efficacy of intravenous tPA by revealing a 30% relative risk reduction (absolute risk reduction 11%-15%) compared with placebo at 90 days in the likelihood of having minimal or no disability. Since approval in 1996, tPA remains the only drug treatment for acute ischemic stroke approved by the US Food and Drug Administration. Over the years, an abundance of research and clinical data has supported the safe and efficacious use of intravenous tPA in all eligible patients. Despite such supporting data, it remains substantially underutilized. Challenges to the utilization of tPA include narrow eligibility and treatment windows, risk of symptomatic intracerebral hemorrhage, perceived lack of efficacy in certain high-risk subgroups, and a limited pool of neurological and stroke expertise in the community. With recent US census data suggesting annual stroke incidence will more than double by 2050, better education and consensus among both the medical and lay public are necessary to optimize the use of tPA for all eligible stroke patients. Ongoing and future research should continue to improve upon the efficacy of tPA through more rapid stroke diagnosis and treatment, refinement of advanced neuroimaging and stroke biomarkers, and successful demonstration of alternative means of reperfusion. Keywords: IV tPA, rtPA, t-PA, rt-PA, cerebrovascular disease, cerebrovascular accident

#### Introduction

In 1995, the NINDS (National Institute of Neurological Disorders and Stroke) tPA (tissue plasminogen activator) Stroke Study Group published the results of a large multicenter clinical trial demonstrating efficacy of intravenous (IV) tPA in acute ischemic stroke (AIS).<sup>1</sup> With these practice-changing results, tPA was approved by the US Food and Drug Administration (FDA) for the treatment of AIS and endorsed by the guideline committees of the American Heart Association/American Stroke Association (AHA/ASA),<sup>2</sup> the American Academy of Neurology (AAN),<sup>3</sup> and recently by the American College of Emergency Physicians (ACEP).<sup>4</sup>

Although an abundance of research and clinical data has supported the findings from the original NINDS trial, tPA in the acute stroke setting remains substantially underutilized.<sup>5,6</sup> Stemming from an original series of debates published shortly after tPA approval,<sup>7–10</sup> apprehension still exists that risks may outweigh benefits in a large number of stroke patients, violating the code of "primum non nocere (first do no harm)."<sup>11</sup> In the United States, tPA remains the only FDA-approved drug treatment

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© 2014 Chapman et al. This work is published by Dove Medical Press Limited, and licensed under Greative Commons Attribution — Non Commercial (unported, v3.0) permission from Dove Medical Press Limited, provided the work is properly attributed. Permissions by and the scope of the License are administered by Dove Medical Press Limited, provided the work is properly attributed. Permissions by and the scope of the License are administered by Dove Medical Press Limited, Information on how to request permission may be found at: http://www.dovepress.com/permissions.php for AIS. Therefore, addressing these decades-old controversies continues to hold strong relevance for clinical practice to this day. In this review article, we will highlight many of the debated issues, relevant research, and current perspectives concerning the use of IV tPA for treatment of AIS. The scope of this review will not cover alternative thrombolytics (eg, urokinase and tenecteplase) or intra-arterial administration of tPA. This review will also not address other endovascular therapies unless specifically relevant to the current use of IV tPA.<sup>12–14</sup>

## Seminal clinical trials of IV tPA in stroke

In the early 1990s, a group of investigators began translating preclinical data to the earliest human trials of tPA in AIS to verify dosing, mechanism of action, and safety profile for stroke thrombolysis.<sup>15–18</sup> Shortly after these early studies, several large randomized, placebo-controlled, double-blinded trials commenced to determine the safety and efficacy of tPA in AIS (Table 1).<sup>1,19,20</sup> In 1993, the ATLANTIS (Alteplase Thrombolysis for Acute Noninterventional Therapy in Ischemic Stroke)-A trial was halted due to increased risk of symptomatic intracerebral hemorrhage (sICH) in the 5–6-hour window from stroke onset; unfortunately, interim enrollment fell short of demonstrating any efficacy up to 5 hours.<sup>19</sup>

In 1995, the landmark NINDS tPA study results were published (including Parts I and II), establishing efficacy between 0 and 3 hours from stroke onset by revealing a 30% relative risk reduction (absolute risk reduction 11%–15%) compared with placebo at 90 days in the likelihood of having minimal or no disability. Benefit was compared against a significantly increased risk of sICH in the tPA group

Table	Early prospective	randomized clinica	trials of intravenous	s tPA in acute ischemic stroke
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Study (publication	Treatment	Time window	Primary analysis results*	Safety results**
year)	group	(median hours)		
NINDS tPA study – Part I (1995)'	tPA 0.9 mg/kg	3 hours (1.5)	No difference in early clinical improvement (>4 point decrease in NIHSS or complete resolution) (tPA 47% versus placebo 39%; RR 1.2 [CI 0.9–1.6; <i>P</i> =0.21])	Combined analysis of parts 1 and 2: Increased sICH at 36 hours in tPA group (tPA 6.4% versus placebo 0.6%; P<0.001) No change in overall mortality at
NINDS tPA study – Part 2 (1995)'	tPA 0.9 mg/kg	3 hours (1.5)	Greater favorable outcome in tPA group on global test statistic at 90 days <sup>‡</sup> OR 1.7 (Cl 1.2–2.6; P=0.008)	90 days (tPA 17% versus placebo 21%; <i>P=</i> 0.30)
ECASS I (1995) <sup>20</sup>	tPA I.I mg/kg	6 hours (4.3)	No difference in BI (P=0.99) and mRS (P=0.41) at 90 days	Increase in large parenchymal ICH (tPA 20% versus placebo 7%; (P<0.001) No difference in 30-day mortality (tPA 18% versus placebo 13%; P=0.08)
ECASS II (1998) <sup>29</sup>	tPA 0.9 mg/kg	6 hours (NR – 80% between 3 and 6 hours)	No difference in favorable outcome (mRS) at 90 days (tPA 40.3% versus placebo 36.3%; OR 1.2 [CI 0.9–1.6]; <i>P</i> =0.28)	Increased sICH up to 7 days (tPA 8.8% versus placebo 3.4%)
ATLANTIS-A (2000) <sup>19</sup>	tPA 0.9 mg/kg	6 hours (4.6)	Increased early clinical improvement in tPA group (>4 increase in NIHSS) (tPA 40% versus placebo 21%; P=0.02) Decreased clinical improvement at 30 days for tPA group (tPA 60% versus placebo 75%; P=0.05)	Increased sICH up to 10 days (tPA 11% versus placebo 0%; P<0.01) Increase mortality at 90 days (tPA 23% versus placebo 7%; P<0.01)
ATLANTIS-B (1999)⁴⁰	tPA 0.9 mg/kg	3–5 hours (4.6)	No difference in excellent neurologic recovery (NIHSS $\leq$ 1) (tPA 34.5% versus placebo 34%; <i>P</i> =0.89)	Increased sICH up to 10 days (tPA 7% versus placebo 1.1%; P<0.001) No difference in mortality (tPA 11% versus placebo 6.9%; P=0.09)
ECASS III (2009) <sup>33</sup>	tPA 0.9 mg/kg	3.0–4.5 hours (4.0)	Greater favorable outcome (mRS) in tPA group at 90 days (OR 1.34 [CI 1.0–1.8; <i>P</i> =0.04])	Increased sICH (tPA 2.4% versus placebo 0.2%; P=0.008) No difference in mortality (tPA 7.7% versus placebo 8.4%; P=0.68)

Notes: \*All outcomes reflect intention-to-treat analyses unless stipulated; \*\*definitions for sICH varied between studies; ‡global test statistic simultaneously tested for effect in all four outcome measures: BI, mRS, Glasgow outcome scale, and NIHSS.

Abbreviations: ATLANTIS, Alteplase Thrombolysis for Acute Noninterventional Therapy in Ischemic Stroke; BI, Barthel index; CI, confidence interval; ECASS, European Cooperative Acute Stroke Study; mRS, modified Rankin Scale; NIHSS, National Institutes of Health Stroke Scale score; NINDS, National Institute of Neurological Disorders and Stroke; NR, not reported; OR, odds ratio; sICH, symptomatic intracerebral hemorrhage; tPA, tissue plasminogen activator; RR, relative risk; ICH, intracerebral hemorrhage.

during the first 36 hours (tPA 6% versus placebo 0.6%); nevertheless, there was no statistically significant difference in overall mortality between the groups (tPA 17% versus placebo 21%; P=0.30).<sup>1</sup>

A principal criticism of the results focused on the lack of significant improvement in neurological deficits at 24 hours by the outcome of 4 or more point reduction in the National Institutes of Health (NIH) Stroke Scale (NIHSS) (0–42, 0= no deficits).<sup>21</sup> However, post hoc analyses revealed that there was a significant neurological improvement at 24 hours if the defined outcome had been a 5 or more point reduction on the NIHSS. That is to say, the efficacy of tPA would have been appreciated with more substantial improvement between the treatment and placebo arms at 24 hours, but the study did not adequately estimate the natural history of recovery at 3 months.<sup>22,23</sup>

Other large randomized trials were published during that time period yielding conflicting results that revealed no

benefit of IV thrombolysis in acute stroke care and increase in risk of hemorrhage.<sup>20</sup> Study design and criteria utilized by these studies were different from the NINDS tPA study, such as: use of different thrombolytic agents (eg, streptokinase), time period for treatment (eg, up to 6 hours), and use of increased doses of tPA and/or concomitant antithrombotics.<sup>20,24,25</sup> Based on the results of the NINDS study, the ATLANTIS-B and ECASS (European Cooperative Acute Stroke Study) II studies were designed to evaluate the safety and efficacy of tPA within 3-5 hours and up to 6 hours from stroke onset, respectively; both failed to demonstrate primary efficacy.<sup>20,26</sup> However, when the same global endpoint analysis used in the NINDS trial was applied to the ECASS I data, a favorable outcome in the tPA-treated group was observed.27 Figure 1A and B demonstrate point estimates for odds ratios (ORs) and 95% confidence intervals (CIs) between the tPA and control groups for each of the trials

A Efficacy results of major tPA clinical trials: death or dependency defined as mRS 2 to 6

Study*	Events	<b>rt–PA</b> Total	Events	<b>Control</b> Total	Odd	s ratio		OR	95%-CI
NINDS	179	312	229	312				0.49	[0.35; 0.69]
ECASS	201	313	217	307		l t		0.75	[0.53; 1.04]
ECASS II	244	409	248	391		+		0.85	[0.64; 1.13]
ECASS III	199	418	221	403		-		0.75	[0.57; 0.98]
ATLANTIS	A 64	71	56	71				2.35	[0.95; 5.82]
ATLANTIS	B 179	307	182	306	_	-		0.95	[0.69; 1.31]
				Γ			]		
				0.2	0.5	12	5		
				Favors th	rombolysis	Favors c	ontrol		

#### В

Safety results of major tPA clinical trials: symptomatic intracerebral hemorrhage within 7 to 10 days

		rt–PA		Control	00	dds ratio		
Study*	Events	Total	Events	Total		1	OR	95%-CI
NINDS ECASS ECASS II ECASS III ATLANTIS ATLANTIS		312 313 407 418 71 307	2 20 12 1 0 4	312 307 386 403 71 306		*	3.18 3.46 5.05	[2.04; 5.85] [1.54; 16.60] [1.98; 33.99]
				Favors t	0.1 0.5 thrombolysis		I	

Figure I (A) Death or dependency defined as mRS 2-6. (B) Risk of symptomatic intracerebral hemorrhage.

Notes: A and B demonstrate point estimates for ORs and 95% CIs between the tPA and control groups for each of the trials. \*References for the listed trials: NINDS,<sup>1</sup> ECASS,<sup>20</sup> ECASS II,<sup>29</sup> ATLANTIS-A,<sup>19,28</sup> ATLANTIS-B,<sup>49</sup> and ECASS III,<sup>33</sup>

**Abbreviations:** ATLANTIS, Alteplase Thrombolysis for Acute Noninterventional Therapy in Ischemic Stroke; CI, confidence interval; ECASS, European Cooperative Acute Stroke Study; mRS, modified Rankin Scale; NINDS, National Institute of Neurological Disorders and Stroke; OR, odds ratio; rt-PA, recombinant tissue plasminogen activator; tPA, tissue plasminogen activator.

listed in Table 1. With the exception of ATLANTIS-A, which had the fewest numbers and least precision, ORs ranged from 0.49 to 0.95 for death or dependency, defined as modified Rankin scale (mRS) 2-6 favoring tPA (Figure 1A). For sICH within 7-10 days, ORs ranged from 3.18 to 8.20, favoring control (Figure 1B).<sup>1,19,20,28,29,33,49</sup> These findings are supported by Wardlaw et al,<sup>28</sup> who reviewed all randomized trials of any thrombolytic agent versus control conducted from 1966 to 2008. The early clinical trials of IV tPA in AIS supported its efficacy and ultimate FDA-approval for patients presenting within 0-3 hours from onset; an additional common result of these early studies revealed an increased risk of sICH without affecting overall mortality.<sup>19,20,26,28,29</sup> In addition, it became evident that two main factors play a vital role in the overall efficacy and risk of hemorrhage: time-to-treatment and adherence to treatment protocol.

#### The importance of timeto-treatment

From the practice-changing results of the NINDS study, the precise therapeutic window for tPA in AIS was still debated. Ongoing clinical trials continued to test extended thrombolysis times.30,31 While both ECASS II and ATLANTIS-B failed to show benefit from 3 to 6 hours, with an increased risk of sICH, it was unclear whether there was any benefit within 3-4 hours given low statistical power.<sup>20,21,26,29</sup> A pooled analysis of the ATLANTIS, ECASS, and NINDS tPA studies in 2004 suggested a favorable outcome up to 4.5 hours, with an OR of 1.40 (CI 1.05–1.85).<sup>32</sup> The results of the pooled analysis prompted the ECASS III study, which was designed to evaluate the efficacy and safety of tPA in AIS between 3 and 4.5 hours. The study applied additional exclusion criteria to comply with the European Medicines Evaluation Agency, including history of diabetes and a prior stroke, age >80 years, and NIHSS >25. The primary results demonstrated a favorable outcome for patients treated with tPA compared with placebo within 4.5 hours of symptom onset (tPA 52.4% versus placebo 45.2%; OR 1.34 [CI 1.02-1.76]; P=0.04).33 Notable differences in ECASS III compared with the NINDS study were a lower enrollment stroke severity in both groups, a higher percentage of the placebo arm with a history of prior stroke, and the additional exclusions limiting generalizability for older patients with more severe strokes. Nonetheless, the AHA/ASA updated guidelines to support the use of tPA in this extended time window for carefully selected patients.<sup>34</sup> To date, the use of tPA beyond 3 hours from stroke onset has not been approved for extended labeling by the FDA and remains an off-label indication in the United States.

Early laboratory and clinical pilot studies have alluded to the concept of time-to-treatment as a predictor for a good outcome.<sup>1,28,35,36</sup> In the initial NINDS analysis, there was no significant observed difference between the stratification of 0-90 minutes versus 91-180 minutes.<sup>1,37</sup> However, further analysis suggested increased odds for early clinical improvement and favorable outcome at 3 months in patients stratified to 0-90 minutes. The pooled analyses by Marler et al<sup>38</sup> and Hacke et al<sup>32</sup> further demonstrated a direct relationship between time and treatment effect. Saver et al<sup>39</sup> in 2006 further elucidated, "time is brain" quantitatively in humans by utilizing magnetic resonance imaging (MRI)based infarct volumetrics. The author identified that with every passing minute until reperfusion is achieved, about 2 million neurons and 14 billion synapses are lost.<sup>39</sup> Most recently, a study of 58,353 tPA-treated patients highlighted that for every 15-minute improvement in time-to-treatment, patients were less likely to die (OR 0.96 [CI 0.95-0.98]; *P*<0.001), experience sICH (OR 0.96 [CI 0.95–0.98]; P < 0.001), and were more likely to be ambulatory at discharge (OR 1.04 [CI 1.03–1.05]; P<0.001).<sup>40</sup> This study underscores the importance of innovative models of prehospital care to improve the rapidity of treatment.<sup>41–43</sup>

Based on the most current 2013 AHA/ASA guideline update regarding fibrinolysis in acute stroke, tPA is recommended for eligible patients who present within 3 hours of stroke onset and up to 4.5 hours in eligible patients, with the following additional exclusions: patients >80 years of age, those taking oral anticoagulants regardless of international normalized ratio (INR), baseline NIHSS >25, those with imaging evidence of ischemic injury involving more than one third of the middle cerebral artery territory, and those with a history of both stroke and diabetes mellitus.<sup>28,34</sup>

# Side effects of IV tPA and risk of hemorrhagic outcomes

Although intracerebral hemorrhage (ICH) is the most feared complication of tPA, other potential adverse reactions including anaphylaxis/angioedema, systemic bleeding, and myocardial rupture occur less commonly. Myocardial rupture has been associated with patients receiving IV tPA within days of an acute myocardial infarction (MI).<sup>34</sup> While comorbid acute MI is listed as a relative contraindication to IV tPA, treatment in this setting must be assessed on a case-by-case basis regarding stroke severity and overall risk to benefit; particularly considering that fibrinolytic therapy is actually in the treatment pathway for acute STEMI (ST segment elevation MI) when percutaneous coronary intervention is delayed.<sup>44</sup>

Signs of pericarditis are a more concerning contraindication for use of systemic tPA given the possibility of pericardial hemorrhage and tamponade.

Orolingual angioedema occurs in roughly 1%–5% of AIS patients treated with IV tPA. The reaction is typically contralateral to the location of the stroke and associated with infarcts involving the frontal and insular cortices. The concomitant use of angiotensin-converting enzyme inhibitors increases the risk due to excess bradykinin, and the reaction, although typically transient, can be treated with antihistamines or steroids.<sup>34,45</sup>

As stated above, the most important concern associated with the use of tPA in acute stroke patients is the fear of hemorrhagic complications. A number of factors pertain to risk of poor outcome from hemorrhage, such as location, nature of hemorrhage (hemorrhagic infarcts versus parenchymal hematomas), clinical status (symptomatic versus asymptomatic), and temporal relationship to treatment.<sup>46-48</sup> In the NINDS study, the disease-related mortality rate in sICH cases was 47%, but the global mortality rate in all tPA-treated patients was lower compared with placebo. Other subsequent trials, except for ATLANTIS-A, revealed a similar increase in sICH for tPA-treated patients, with no difference in overall mortality.<sup>1,19,20,26,29,49</sup> Analysis of ECASS II revealed an association of parenchymal hematomas and sICH with tPA but not hemorrhagic infarcts.<sup>50</sup>

Additional studies and meta-analysis have reported differing rates of hemorrhage,<sup>32,48,51,52</sup> in part due to varying definitions of sICH, including differences in measures of neurological symptoms, temporal relationship to treatment, and radio-graphic characteristics (Table 2).<sup>53,54</sup> For instance, the sICH rate in ECASS III was 5% lower than in the NINDS study. However, when the NINDS definition is applied, the rate is higher than in the NINDS study (7.9%).<sup>33</sup>

### Hemorrhagic risk and prognostic factors

Over the years, several pretreatment risk factor profiles have been studied to discern which individuals are more likely to benefit from tPA or to be at risk of sICH.<sup>55–59</sup> In 1997, a post hoc subgroup analysis of the NINDS tPA data identified age-by-deficit severity interaction, history of diabetes, age-by-blood pressure interaction, and early computed tomography (CT) findings as factors altering long-term outcome in both groups, but no interaction was found with efficacy of tPA; therefore, tPA-treated patients in both groups still benefited. In addition, the only variables associated with increased risk of sICH were stroke severity, presence of brain edema, and mass effect on CT prior to treatment.<sup>60</sup> Since then, a number of analyses have reported additional baseline factors associated with tPA-related functional outcomes and risk of hemorrhagic transformation (Table 3).<sup>50,52,56,57</sup>

<b>Table 2</b> tPA-related hemorrhage as defined by different stroke studies	Table	2 tPA-related	hemorrhage as	defined by	different stroke studies
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Study name	Hemorrhage type	Radiographic and clinical definition	Rate of hemorrhage
NINDS <sup>1,48</sup>	HI and PH	<ul> <li>HI: punctate hyperdensities with indistinct borders in infarct bed with no mass effect.</li> <li>PH: homogenous hematoma in infarct bed, with sharply defined borders and associated mass effect.</li> </ul>	Symptomatic intracranial hemorrhage: 6.4%. Asymptomatic intracranial hemorrhage: 30%.
ECASS I and II <sup>20,29</sup>	HI I and 2	<ul> <li>Any neurological deterioration within 36 hours of tPA.</li> <li>HI 1: small punctate hemorrhage (along margins of infarct) in stroke bed, no mass effect.</li> <li>HI 2: confluent petechiae (within infarcted tissue) in stroke bed, no mass effect.</li> </ul>	ECASS II HI I 19.6%, HI 2 15.2%.
	PH I and 2	<ul> <li>&gt;4 point increase in baseline NIHSS within 7 days.</li> <li>PH 1: hematoma occupying ≤30% of infarcted area with mild mass effect.</li> <li>PH 2: hematoma occupying &gt;30% of infarcted area with significant mass effect.</li> </ul>	ECASS II: PH 1 3.7%, PH 2 8.1%.
SITS-MOST <sup>33,52</sup>	РН	<ul> <li>&gt;4 point increase in baseline NIHSS within 7 days.</li> <li>Local or remote PH with associated decline in NIHSS.</li> <li>ICH type PH 2.</li> </ul>	Symptomatic intracranial hemorrhage 1.7% at 24 hours.
ECASS III <sup>33</sup>	PH	<ul> <li>≥4 point increase in baseline NIHSS within 24 hours.</li> <li>Apparent intra or extraparenchymal blood associated with ≥4 point increase in baseline NIHSS that led to death or neurological deterioration.</li> </ul>	Symptomatic intracranial hemorrhage 2.4%.

Abbreviations: ECASS, European Cooperative Acute Stroke Study; HI, hemorrhagic infarct; PH, parenchymal hematoma; NIHSS, National Institutes of Health Stroke Scale; NINDS, National Institute of Neurological Disorders and Stroke; SITS-MOST, Safe Implementation of Thrombolysis in Stroke-Monitoring Study; tPA, tissue plasminogen activator; ICH, intracerebral hemorrhage.

 Table 3 Risk factor profiles associated with negative outcomes after use of tPA in acute ischemic stroke

	ICH <sup>50,52,56,59</sup>		Poor functional outcomes <sup>52,57</sup>	Mortality <sup>52,58</sup>
Risks factors	Diabetes	Systolic BP	Age	Pre-stroke mRS
	CHF	Early CT changes	Stroke severity	Diastolic BP
	Atrial fibrillation	Serum glucose	Diabetes	Antiplatelet use other than aspirir
	Stroke severity	Platelet count	Blood pressure	CHF
	Age	Weight	Early CT findings	Centers' previous stroke
	Time-to-treatment	Tobacco use	Male	experience
	Antiplatelet use			Male
				Age
				Atrial fibrillation

Abbreviations: BP, blood pressure; CHF, congestive heart failure; CT, computed tomography; mRS, modified Rankin Scale; tPA, tissue plasminogen activator; ICH, intracerebral hemorrhage.

Moreover, the occurrence of sICH has been correlated with worsened 3-month outcomes.<sup>53,54,57</sup> Using NINDS trial data, Saver<sup>61</sup> calculated a number-needed-to-harm of 126 tPA-treated patients for every one case of sICH leading to severe disability or death, and a number-needed-to-treat of 17 to cause one protocol-defined sICH. A similar analysis of the 3.0–4.5-hour window using ECASS III data revealed an number-needed-to-harm of 35.<sup>62</sup> These figures are countered, however, by a number-needed-to-benefit of 7–8 in both trials favoring treatment.

## Risk and prognostic stratification scales

A number of statistical prognostic models have derived scores attempting to stratify treatment by predicted risk and outcomes (Table 4). However, such decision-support tools raise ethical questions regarding whether tPA can be withheld in an otherwise eligible patient based on a risk/ prognostic stratification score. Additionally, further external validation in independent cohorts is required prior to utilization in clinical practice.<sup>63</sup> Ongoing research of advanced multimodal imaging and other biomarkers may someday potentiate the utility of decision-support tools for acute stroke treatment.

### Imaging-guided thrombolysis

Rapid acquisition of a non-contrasted CT scan of the head is universally part of acute stroke treatment protocol, primarily to rule out ICH as exclusion for tPA treatment, although other exclusions such as early infarction in more than two-thirds of a vascular territory have been suggested.<sup>34,64</sup> With technological advancements in multimodal CT and MRI, imaging-guided thrombolysis has gained interest as a potential tool to identify the extent of salvageable tissue, otherwise known as the ischemic penumbra. Davalos et al<sup>64</sup> initially proposed a clinical–radiological mismatch in 2004 as a means of estimating treatment outcome. The DEFUSE (Diffusion and Perfusion Imaging Evaluation for Understanding Stroke Evolution), EPITHET (Echoplanar Imaging Thrombolytic Evaluation Trial), and MR RESCUE (Magnetic Resonance and Recanalization of Stroke Clots Using Embolectomy) studies all proposed varying definitions of imaging-based penumbral assessment, but none of these trials has demonstrated that the use of such imaging can identify a population that has improved outcomes with intervention than those without it.<sup>65–67</sup> For now, the utility of perfusion-based imaging to guide tPA treatment decisions remains to be proven.

## The benefits and risks of IV thrombolysis in certain subgroups

Based on both the clinical trial and subsequent clinical practice experience, a number of subgroups of stroke patients have been identified in whom effectiveness and safety of tPA varies. Here, we highlight a few of the more commonly encountered subgroups for which treatment decisions remain challenging in clinical practice.

#### Mild and rapidly improving

Studies evaluating exclusion criteria for receiving tPA highlight that 29%–43% of patients are excluded from thrombolysis for rapidly improving or mild symptoms (RIMS).<sup>68–71</sup> The assumption has been made that mild and/or rapidly improving strokes will follow a natural course of favorable functional outcome in spite of accepting the additional risk of tPA. However, a few small studies have demonstrated that about a third of these patients left untreated will die or are unable to be discharged home due to neurological dysfunction.<sup>72,73</sup> Rajajee et al<sup>73</sup> identified a large-vessel occlusion on magnetic resonance angiography corresponding to the acute stroke in 33% of patients excluded from tPA due to RIMS. The findings were later supported using results from a large nationwide database, with 28.3% of untreated patients with RIMS not discharged home and 28.5% unable to ambulate

Table 4 Risk and	l prognostic	stratification scales
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Risk score	Variables	Assessment
Cucchiara et al <sup>115</sup>	Age, NIHSS, admission	ICH
	glucose, and platelet count	
	on admission	
HAT <sup>116</sup>	Admission glucose on,	ICH
	NIHSS, hypodensity of CT	
	scan, and DM	
SITS-SICH <sup>59</sup>	Age, NIHSS, glucose on	ICH
	admission, SBP, bodyweight,	
	OTT, ASA monotherapy,	
	ASA + clopidogrel, and	
	history of HTN	
GRASPS <sup>117</sup>	Age, NIHSS, admission	ICH
	glucose, SBP, ethnicity,	
	and sex	
SEDAN	Age, NIHSS, HDMCA sign	ICH
	on CT, early infarct signs on	
	CT, and admission glucose	
DRAGON <sup>119</sup>	HDMCA or early infarct	Functional
	signs on CT, prestroke	outcome
	mRS score, age, admission	
	glucose, OTT, and NIHSS	
SPAN-100 index <sup>120</sup>	Age and NIHSS	Functional
	5	outcome
iSCORE <sup>121</sup>	Age, sex, CNS, stroke	Functional
	subtype, AF, CHF, cancer,	outcome
	renal failure on dialysis,	
	preadmission disability,	
	and admission glucose	
Stroke-TPI <sup>122</sup>	NIHSS score, history of	Functional
	stroke, SBP, OTT, age, sex,	outcome
	and DM	
ASTRAL <sup>123</sup>	Age, NIHSS, time-of-onset	Functional
	to admission, LOC, range of	outcome
	visual fields, and admission	
	glucose	
	Succese	

Abbreviations: AF, atrial fibrillation; ASA, aspirin; ASTRAL, Acute Stroke Registry and Analysis of Lausanne; CHF, congestive heart failure; CNS, Canadian neurological Scale; CT, computed tomography; DM, diabetes mellitus; GRASPS, Guidance on Risk Assessment and Stroke Prevention Score; HAT, hemorrhage after thrombolysis; HDMCA, hyperdense middle cerebral artery; HTN, hypertension; iSCORE, ischemic stroke predictive risk score; LOC, level of consciousness; mRS, modified Rankin Scale; NIHSS, National Institutes of Health Stroke Scale score; OTT, onset-to-treatment time; SBP, systolic blood pressure; SITS-SICH, Safe Implementation of Treatments in Stroke – Symptomatic Intracerebral Hemorrhage; SPAN, Stroke Prognostication using Age and NIH Stroke Scale; TPI, thrombolytic predictive instrument; ICH, intracerebral hemorrhage; SEDAN, Sugar, Early infarct signs, (hyper)Dense cerebral artery sign on admission CT scan, Age, and NIHSS on admission; DRAGON, (hyper)Dense cerebal artery sign/early infarct signs on admission CT scan, prestroke modified Rankin scale, Age, Glucose level at baseline, Onset-to-treatment time and baseline NIHSS.

without assistance at discharge.<sup>72</sup> Whereas all of the above studies evaluated outcomes at time of discharge, Nedeltchev et al<sup>74</sup> evaluated 3-month outcomes for untreated patients with RIMS and found that 75% had a favorable outcome. However, three small studies have shown a significant improvement in clinical outcome with no increased risk of hemorrhage in tPA-treated RIMS patients.<sup>75–77</sup> These were supported by

a subgroup analysis from the ECASS III study, revealing similar efficacy of tPA in both mild and more severe strokes.<sup>78</sup> A prospective, randomized placebo-controlled trial has been proposed to evaluate stroke patients with non-disabling deficits within 4.5 hours of onset.<sup>79,80</sup>

#### Older age

A third of the patients presenting with ischemic stroke are over the age of 80.81,82 Kammersgaard et al<sup>83</sup> evaluated the short- and long-term prognosis in elderly stroke patients and revealed that patients over 80 were more likely to die in the hospital and less likely to have a favorable outcome. In addition, elderly patients may be at an increased risk of ICH due to cerebral amyloid angiopathy, impaired renal clearance, and frail vasculature.<sup>84–86</sup> Many clinicians withhold treatment due to fear that age is associated with poor prognosis and increased risk of hemorrhage. With the exception of the NINDS study, patients  $\geq$  80 were excluded from the early clinical tPA trials. Of the 49 patients over the age of 75 included in the NINDS tPA trial, outcome was related to age-by-neurologic deficit but did not alter treatment effect. In addition, age did not independently increase the risk of hemorrhage.60 Tanne et al87 found comparable favorable outcomes and risks in patients aged  $\geq$ 80 versus <80. Conversely, several studies that followed reported a reduction in favorable outcome and increased mortality in tPA-treated patients aged  $\geq 80$  compared with their younger counterparts, with conflicting sICH rates.<sup>88–92</sup> Notable limitations of the above studies were small sample size, retrospective analysis, and confounding factors (eg, preexisting disability and comorbidities). In 2010, Mishra et al<sup>93</sup> compared elderly patients treated with thrombolysis with those not treated, from two large registries. They reported favorable outcomes independently among patients aged  $\leq 80$  (OR 1.6 [CI 1.5–1.7]; P < 0.001) and in those > 80(OR 1.4 [CI 1.3–1.6]; P<0.001). In addition, there was a slight increase of sICH among patients >80 but not statistically significant (P=0.07).93 Despite the increased power, the treatment allocation was not randomized, and therefore the results are subject to bias and confounding. The Third International Stroke Trial (IST-3) was the first prospective randomized trial to include a sizable number of patients >80 years (53%). A subgroup analysis from IST-3 suggested a greater benefit from tPA in patients older than 80 compared with their younger counterparts (P=0.027).<sup>94</sup> Based on these results, tPA should not be withheld based purely on age, and in fact, patients older than 80 may do as well if not better with treatment compared with control. As worldwide life expectancy and incidence of stroke in the elderly continue to increase

#### Table 5 Common stroke mimics

Seizure Migraine Conversion disorder Demyelinating disease Encephalitis/meningitis Toxic/metabolic encephalopathy (hypoglycemia, electrolyte disarray, etc) Multiple sclerosis Brain tumor/mass Stroke reactivation (anamnestic syndrome)

in the future, the importance of treating older stroke patients will continue to hold relevance.<sup>95</sup>

#### Stroke mimics

Complicating treatment decisions, numerous disease processes mimic stroke symptoms. Table 5 lists some of the more common masqueraders of stroke with conversion disorder, complicated migraine, and seizures being the most frequently encountered.96-100 The need for rapid recognition and treatment of AIS potentiates the likelihood of administering tPA to a stroke mimic. The fraction of stroke mimics among tPA-treated patients in various cohorts has been reported between 1% and 31%, with community hospitals reporting rates as high as 25%-29%.97,100-106 Some variation in these percentages reflect the lack of standard mimic definitions and/or inaccuracies in diagnosis reporting.<sup>101</sup> There have been a number of studies evaluating the characteristics, risk, and functional outcome of tPA-treated stroke mimics. Common characteristics of stroke mimics are young age, female sex, no or few baseline risk factors, left hemispheric syndromes, and milder presenting stroke severity.<sup>97,100,106,107</sup> In addition, aphasia, particularly when global and not presenting with any other deficits, is one of the most commonly cited presentations of stroke mimics.<sup>106,107</sup> The safety of tPA in stroke mimics was evaluated in a multicenter observational study that revealed an sICH rate of 1.0% (CI 0.0–5.0) in mimics compared with 7.9% (CI 7.2–8.7) in imaging-confirmed ischemic stroke. Predictably, treated stroke mimics were more likely to experience an excellent outcome at 3 months compared with AIS (75% versus 39.5%; P<0.0001).<sup>107</sup> Further studies have supported the safety of tPA use in stroke mimics, with minimal complicating disability or ICH.<sup>98–100,102</sup>

### Translating trials to clinical practice experience

Both academic and community-based studies have sought to evaluate whether tPA is as effective and safe when integrated into clinical practice as demonstrated in the controlled setting of clinical trials. Indeed, community-based studies and large clinical databases have elicited similar and, in some cases, lower rates of sICH than those revealed in trials (Table 6). In populations where sICH rates were higher, adherence to strict guidelines and protocols likely varied.<sup>108</sup> Hill and Buchan<sup>109</sup> reported an association between sICH and frequency of protocol violations, but no correlation with worse functional outcomes. Not surprisingly, a survey of practice patterns has found that tPA experience and neurological expertise are associated with fewer protocol violations in general.<sup>110</sup> Overall, results from clinical trials of IV tPA in stroke have been widely generalizable to the clinical practice experience.

Study (year)	Туре	Number of	Number of patients	sICH	Protocol
		hospitals	(percentage treated with t <b>PA</b> )	(24–36 hours)	violations*
Houston (1998) <sup>124</sup>	Prospective	3	30 (2.9%)	7.0%	10.0%
Cologne, Germany (1998) <sup>125</sup>	Prospective	I.	100 (22.0%)	5.0%	3.0%
Cleveland (2000) <sup>126</sup>	Prospective	29	70 (1.8%)	15.7%	50.0%
OSF Stroke Network (2000) <sup>127</sup>	Prospective	14	57 (6.3%)	5.3%	8.7%
STARS study (2000) <sup>128</sup>	Prospective	57 (US)	389 (NR)	3.3%	32.6%
Indianapolis (2001) <sup>129</sup>	Retrospective	10	50 (NR)	8.0%	16.0%
Houston (2001) <sup>130</sup>	Prospective	4	269 (15.0%)	4.5%	13.0%
Berlin, Germany (2001) <sup>131</sup>	Prospective	I.	75 (9.4%)	2.7%	20.0%
Connecticut (2002) <sup>132</sup>	Retrospective	16	63 (0.6%)	6.0%	97.0%
Cleveland update (2003) <sup>133</sup>	Retrospective	9	47 (2.7%)	6.4%	19.1%
CASES study (2005) <sup>109</sup>	Prospective	60	1,135 (1.4%)	4.6%	13.6%
SITS-MOST (2008)52	Prospective	285	6,483 (NR)	1.7%	NR

Note: \*Includes minor and major protocol violations.

Abbreviations: CASES, Canadian Activase for Stroke Effectiveness Study; NR, not reported; OSF, Order of St Francis; sICH, symptomatic intracerebral hemorrhage; SITS-MOST, Safe Implementation of Thrombolysis in Stroke-Monitoring Study; STARS, Standard Treatment with Alteplase to Reverse Stroke; tPA, tissue plasminogen activator.

Despite the overwhelming body of evidence supporting the use of IV tPA in all eligible AIS patients, there remains an undercurrent of reservation in the practicing community at large. In 2005, a national survey of emergency medicine physicians found that 40% were unlikely to use tPA for ischemic stroke under ideal conditions. Of those unlikely to use tPA, 65% were apprehensive about risk of hemorrhage, while 23% believed there was a lack of benefit.<sup>111</sup> More recently, the emergency medicine community has offered support for the use of tPA for AIS as standard care as demonstrated by guidelines.<sup>4</sup> In 2013, a survey of Canadian neurologists who routinely take acute stroke call demonstrated that concerns are not limited to emergency medical physicians. The majority of respondents (79%) were less likely to treat at ages older than 80, those with dementia, or even patients with severe strokes or from nursing homes. However, a significant percentage (70%) believed a large left middle cerebral artery territory stroke was a fate worse than death, with the overwhelming majority (96%) believing IV tPA to be an effective stroke treatment.<sup>112</sup>

Apart from individual or group biases, the medical-legal implications of tPA use in clinical practice are difficult to ignore. Over the years, the agreed-upon standard of care in AIS has shifted liability from risk of sICH with tPA to litigation for not offering treatment in otherwise eligible stroke patients.<sup>113,114</sup> Guideline statements from AHA/ASA, AAN, and ACEP all suggest IV tPA is standard care for treatment of AIS.<sup>2-4,34</sup>

#### Conclusion

From the first human trials to today's current practice, effective tPA treatment for AIS continues to rely on appropriate patient screening, rapid diagnosis and decision making, strict adherence to protocol, and one-size-fits-all time windows. Current evidence-based recommendations for the use of IV tPA in AIS can be referenced from the AHA/ASA 2013 update, titled *Guidelines for the Early Management of Patients With Acute Ischemic Stroke* (see Tables 10–12 for eligibility criteria and general recommendations for appropriate use).<sup>34</sup> Prognostic and risk stratification scales and advanced multimodal imaging may one day guide treatment decisions, but these tools have not yet been established to guide clinical practice.

Analysis of recent census data suggests that the incidence of AIS will nearly double to 1.5 million per year by 2050.<sup>95</sup> In the meantime, stroke remains a leading cause of serious longterm disability and death worldwide, and almost 20 years since its approval, IV tPA remains an underutilized, yet highly efficacious first-line treatment. Ongoing and future research investigating innovative approaches to timelier treatment and novel means of stroke thrombolysis will no doubt continue to revolutionize acute stroke care.

In addition to research focused on acute stroke treatment delivery, there continue to be a number of ongoing trials regarding the risk and efficacy of IV tPA in a number of patient subgroups. Active studies are investigating a variety of potential variables affecting the use of tPA in stroke including but not limited to age, weight, hyperglycemia, dialysis, time-to-presentation, and mild or rapidly improving symptoms. Reference to these and other ongoing studies of IV tPA in stroke can be found at <u>http://www.clinicaltrials.gov</u>. For now, promoting education within both the medical community and general public is a sure path to advance the use of IV tPA in all eligible stroke patients and further alleviate the burden of stroke for our society. After all, in addition to the code of "primum non nocere", we must also consider autonomy and beneficence.

#### **Author contributions**

Sherita N Chapman conceived and designed the manuscript, carried out data acquisition, and critically revised the manuscript. Prachi Mehndiratta designed the manuscript, carried out data acquisition, and critically revised the manuscript. Michelle C Johansen conceived and designed the manuscript, carried out data acquisition, and critically revised the manuscript. Timothy L McMurry carried out statistical analysis and drafted forest plots, and helped design and critically revise the manuscript. Karen C Johnston and Andrew M Southerland conceived, drafted, and critically revised the manuscript.

#### Disclosure

Karen C Johnston reports her role as chair of the Data and Safety Monitoring Board for PRISMS trial. The other authors report no conflicts of interest in this work.

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