

# Targeted drug delivery via folate receptors in recurrent ovarian cancer: a review

Claudia Marchetti  
Innocenza Palaia  
Margherita Giorgini  
Caterina De Medici  
Roberta Iadarola  
Laura Vertechy  
Lavinia Domenici  
Violante Di Donato  
Federica Tomao  
Ludovico Muzii  
Pierluigi Benedetti Panici

Department of Gynecology,  
Obstetrics and Urological Sciences,  
Sapienza University of Rome, Rome,  
Italy

**Abstract:** Ovarian cancer is the most common cause of gynecological cancer-related mortality, with the majority of women presenting with advanced disease; although chemotherapeutic advances have improved progression-free survival, conventional treatments offer limited results in terms of long-term responses and survival. Research has recently focused on targeted therapies, which represent a new, promising therapeutic approach, aimed to maximize tumor kill and minimize toxicity. Besides antiangiogenic agents and poly (ADP-ribose) polymerase inhibitors, the folate, with its membrane-bound receptor, is currently one of the most investigated alternatives. In particular, folate receptor (FR) has been shown to be frequently overexpressed on the surface of almost all epithelial ovarian cancers, making this receptor an excellent tumor-associated antigen. There are two basic strategies to targeting FRs with therapeutic intent: the first is based on anti-FR antibody (ie, farletuzumab) and the second is based on folate-chemotherapy conjugates (ie, vintafolide/etarfolatide). Both strategies have been investigated in Phase III clinical trials. The aim of this review is to analyze the research regarding the activity of these promising anti-FR agents in patients affected by ovarian cancer, including anti-FR antibodies and folate-chemotherapy conjugates.

**Keywords:** ovarian cancer, targeted therapies, folate receptor, antifolate, farletuzumab, vintafolide

## Introduction

Ovarian cancer is the ninth most common cancer in the female population and the second-most-common gynecological cancer after cancer of corpus uteri, with 21,980 new cases in the United States in 2014<sup>1</sup> and 67,000 new cases in Europe in 2008.<sup>2</sup> In the last decades, survival of women with epithelial ovarian cancer has improved, thanks especially to more-aggressive surgical techniques aimed to achieve optimal cytoreduction and to the introduction of platinum-based treatment.<sup>3</sup> Nonetheless, approximately 60% of patients with advanced disease at primary diagnosis will experience recurrent disease within 5 years from diagnosis,<sup>4</sup> and some will develop resistance to chemotherapy. In detail, patients who relapse after 24 months will usually show response rates similar to those reported in the initial setting; conversely, when ovarian cancer recurs within 6 months, the overall response rate to chemotherapy is very poor.

In this setting, the most active agents have shown an overall response rate of about 10%, with a progression-free survival (PFS) <4 months and an overall survival of approximately 1 year.<sup>5</sup> With the aim to obtain a better overall response and to counteract the occurrence of mechanisms of resistance to chemotherapy, particularly in patients who develop recurrent disease, new therapeutic approaches are required.

Correspondence: Claudia Marchetti  
Department of Gynecology, Obstetrics  
and Urological Sciences, Sapienza  
University of Rome, Viale del Policlinico  
155, 00161 Rome, Italy  
Tel +39 06 494 0550  
Fax +39 06 499 7256  
Email clamarchetti@libero.it

Targeted therapies have recently gained great attention.<sup>6</sup> These agents, which interfere exclusively with specific molecular targets, promise greater selectivity and lower toxicities than traditional cytotoxic drugs; indeed, it is noteworthy to consider that prolonged toxicity may decrease the efficiency of an antitumor treatment.<sup>7</sup> Furthermore, even if cure is not yet an objectively valid goal of therapy, the emerging strategies should essentially be designed to focus equally on the quality of life as well as on the length of survival.

Several molecules have been evaluated and used in ovarian cancer, including agents that target vascular endothelial growth factor, vascular endothelial growth factor receptor, epidermal growth factor receptor, poly (ADP-ribose) polymerase tumor suppressor gene, and phosphate tensin homolog.<sup>8</sup> Of these, bevacizumab has recently been included in the National Comprehensive Cancer Network guidelines for treatment of ovarian cancer, and more target agents are likely to appear in these guidelines soon.<sup>9,10</sup>

Recently, folate and its membrane-bound receptor have also emerged as a potential novel targets. In fact, it has been demonstrated that folate metabolism for replication of DNA is primary for cancer cells, which are therefore particularly sensitive to drugs that inhibit folate metabolism.<sup>11,12</sup> In addition, cancer cells can develop folate receptors (FRs) that are not overexpressed in normal tissues but are highly expressed in more than 90% of ovarian cancer cells, retaining expression in both metastatic and recurrent disease.<sup>10</sup> Therefore, FRs could represent a target for therapy that minimizes toxicity and maximizes antitumor efficacy.

This report will review the clinical activity of the FR-targeting agents in the treatment of recurrent ovarian cancer, with a special focus on anti-FR antibodies and folate-chemotherapy conjugates.

## Folate, folate receptors, and cancer

Folate (vitamin B9) is a water-soluble vitamin used as a one-carbon donor in biosynthesis of purines and thymidylate essential for the *de novo* production of RNA and DNA. Folates are also needed for vitamin B12-dependent synthesis of methionine, required for methylation of DNA, histones, lipids, and neurotransmitters.<sup>13</sup> Mammals must obtain their folates from their diets: folic acid can be synthesized and reduced by the normal bacterial flora of the small intestine and, through a highly specific transport mechanism known as the proton-coupled folate transporter, delivered to the liver via the hepatic portal vein.<sup>14</sup>

The terms “folic acid” and “folate” are often used interchangeably, but more appropriately, “folic acid” refers to the

fully oxidized synthetic compound (pteroylmono-glutamic acid) used in dietary supplements and in food fortification, whereas “folate” refers to the various tetrahydrofolate derivatives naturally present in foods. Reduced folates are found as the partially reduced form 7,8-dihydrofolate or the reduced species 5,6,7,8-tetrahydrofolate (THF). In humans, the primary circulating reduced folate is 5-methyl-THF (5-CH<sub>3</sub>-THF). 5,10-CH<sub>2</sub>-THF is the cofactor necessary for the reductive methylation activity of thymidylate synthase that catalyzes the conversion of 2-deoxyuridine monophosphate to 2-deoxythymidine monophosphate.<sup>15</sup>

Folate is a nutrient required by all living cells, and it is essential for cellular division. Folate can enter the cell through two different routes: 1) by the reduced folate carrier (RFC), a bidirectional anion-exchange mechanism, which has low affinity for folate; and 2) by the FR pathway, which has high-affinity for folate. The RFC is a transport protein that is expressed ubiquitously in healthy tissues and tumors and is the major folate transporter, which transports folate cofactors from the blood into cells of peripheral tissues.<sup>16</sup> In human tissues, highly elevated human RFC transcripts are detected in placenta and liver, with significant levels in other tissues, including leukocytes, kidney, lung, bone marrow, intestine, and portions of the central nervous system and brain.<sup>17</sup> In contrast, rapidly dividing cancer cells overexpress the high-affinity FR. The FR is not significantly expressed on most normal tissues. Lung, brain, small intestine, kidney, and activated macrophages are the normal tissues known to express the FR. In the lung, brain, and small intestine the FR does not face the bloodstream, thus these folate receptors are not accessible to most folate-targeted drugs.

FRs capture folate from outside the cell; FR-bound folate is then transported intracellularly by FR-mediated endocytosis, by engulfing it within a vesicle called the endosome. This endogenous process of cellular entry can be exploited by linking the folic acid molecule to a chemotherapy agent. The chemotherapeutic agent bound to folic acid will then enter the cell inside the early endosome. Once internalized, a proton pump lowers the pH inside the endosome to allow the release of the folic acid–drug compound for the receptor. The FR protein may then cycle back to the plasma membrane, allowing it to begin a new cycle. Meanwhile, the drug is released intracellularly to exhibit its toxic effect.

There are three membrane-bound isoforms of folate ( $\alpha$ ,  $\beta$ , and  $\gamma$ ), and each has its own tissue-specific distribution.<sup>18,19</sup> The  $\alpha$  and  $\beta$  isoforms are glycosylphosphatidylinositol-anchored, whereas the  $\gamma$  isoform is a soluble protein constitutively secreted by lymphoid cells.<sup>20–23</sup> The most widely

studied isoform is FR $\alpha$ , which is encoded for by the *FOLR1* gene.<sup>24</sup> The genes coding for FR, *FOLR1–FOLR4*, are located on the long arm of chromosome 11 (q11.3–q13.5).<sup>25–27</sup>

FRs are significantly different in their relative affinities for folate compounds and antifolates although they bind folic acid with a uniformly high affinity.<sup>28</sup> This different affinity allows the creation of drugs against these receptors that are even more tissue-specific. Studying the distribution of specific mouse monoclonal antibodies named MOv18 and MOv19 in normal and malignant tissues, it was possible to reconstruct the tissue distribution of FR.<sup>29</sup> Clinical studies on radioimmunoscinigraphy using 131 I-MOv18 were carried out in ovarian cancer patients and showed some efficacy.<sup>30</sup> MOv19/interleukin-2 fusion protein was evaluated as an immunotherapy agent against a preclinical model of an FR+ murine tumor and was shown to be effective.<sup>31</sup>

FR $\alpha$  is not expressed in the majority of normal tissue and its expression is limited to epithelial cells in the choroid plexus, proximal kidney tubules, fallopian tube, uterus, epididymis, submandibular salivary, bronchial gland, acinar cells of the breast, type I and type II pneumocytes in the lung, and trophoblasts of the placenta.<sup>32–34</sup> Cancer types such as endometrial, cervix, ovary, testicular choriocarcinoma, lung, colorectal, pediatric ependymomas, mesotheliomas, and renal cell carcinomas show FR $\alpha$  expression.<sup>34–36</sup> It has been shown that elevated FR $\alpha$  expression may be a negative prognostic factor for chemotherapy resistance for at least breast, ovarian, and endometrial cancers.<sup>37</sup> It has also been shown that FR $\alpha$  has a low expression on the apical surface of most normal cells. This difference in expression makes FR $\alpha$  a very attractive therapeutic target for novel anticancer agents that would have limited toxicity on normal tissues.<sup>38,39</sup>

Approximately 80% of epithelial ovarian cancers express FR $\alpha$ , and its expression is associated with parameters of biological aggressiveness;<sup>32,40–42</sup> indeed, the highest FR $\alpha$  expression level is correlated with poorly differentiated tumors.<sup>32,43</sup> Furthermore, the selective upregulation of FR $\alpha$  on tumor compared with normal tissue suggests FR $\alpha$  as a therapeutic target in epithelial ovarian cancer.<sup>9</sup> In fact, in a clinical trial utilizing in vivo scans, it was demonstrated that in approximately half of tumors that overexpress FR $\alpha$ , all index lesions were positive.<sup>44</sup>

FR $\beta$ , which shares ~70% sequence homology with FR $\alpha$ , is most frequently found in a nonfolate-binding isoform on normal granulocytes, possibly due to an alternative posttranslational modification.<sup>3</sup> FR $\beta$  is expressed in normal myelopoiesis and in placenta, spleen, and thymus.<sup>45,46</sup> Functional FR $\beta$  is found in myeloid leukemia and in activated macrophages

associated with inflammation and malignant tumor.<sup>20,47–52</sup> Therefore, FR $\beta$  is potentially useful as a marker for myeloid leukemia, for chronic inflammatory diseases such as rheumatoid arthritis, and for tumor-associated macrophages.<sup>49,50,53,54</sup> FR $\beta$  expression is regulated by retinoid receptors and can be upregulated by all-trans retinoic acid, particularly in combination with histone deacetylase inhibitors.<sup>53,55</sup>

FR $\gamma$  has been detected in normal and malignant hematopoietic cells, as well as in carcinomas of the ovary, endometrium, and cervix.<sup>18,21,47</sup>

There are two known strategies for targeting therapeutics to the FR. The first is based on anti-FR antibody and the second is based on folic acid as a high-affinity receptor ligand. Significant progress has been made following both these strategies.

## Farletuzumab and ovarian cancer (monoclonal antibody FR $\alpha$ -targeted antagonists)

### Preclinical data

Farletuzumab (MORAb-003) is an improved humanized version of a murine antibody produced in Chinese hamster ovary (CHO-K1) cell and constructed by grafting complementarity-determining regions of a murine antibody into a human IgG1/ $\kappa$  backbone.<sup>56</sup>

In preclinical studies with human and primate tissues, farletuzumab showed strong affinity for FR $\alpha$ , which is overexpressed in virtually all epithelial ovarian cancer cells, and very limited cross-reactivity to normal tissue.<sup>57</sup> It does not block FR $\alpha$  of folates and antifolates and minimally retards both folate delivery via FR $\alpha$ -mediated transport and cell growth in vitro.<sup>58</sup>

MORAb-003 was effective in preclinical xenograft models of ovarian cancer. It was shown to inhibit phosphorylation of proteins by the Lyn kinase (a member of the Src family of kinases) and to inhibit the growth of FR $\alpha$  cells in low-folate conditions. In xenograft studies a synergistic effect of MORAb-003 with taxanes was demonstrated.<sup>59</sup> Farletuzumab has demonstrated cytotoxic effects on ovarian cancer cell growth through both mechanisms of antibody-dependent cellular cytotoxicity and complement-dependent cytotoxicity. Binding of mAb to FR $\alpha$  also results in a decreased cellular proliferation through the inhibition of Lyn kinase substrate phosphorylation. It has also been shown that, in several cell lines naturally expressing FR $\alpha$ , farletuzumab did not block binding of folic acid, 5-methyltetrahydrofolate, pemetrexed, and other anti-folates and only minimally

altered FR $\alpha$ -mediated folate uptake and cell growth in vitro. Because of the differential expression of FR $\alpha$  on ovarian cancer cells and normal tissues, it has been shown that folate can still penetrate normal cells naturally through the reduced folate carrier.

## Clinical development

### Safety of farletuzumab

A Phase I study demonstrated the safety and tolerability of farletuzumab in the management of heavily pretreated patients with epithelial ovarian cancer.<sup>60</sup> The primary objective was to determine the safety of farletuzumab and to establish the maximum tolerated dose. The secondary objective was to determine the serum and in vivo pharmacokinetics of farletuzumab, as well as to detect any human antihuman antibodies (HAHA).

In this trial, farletuzumab was administered to 25 patients (median age, 56 years) with platinum-refractory or platinum-resistant epithelial ovarian cancer at the escalation dose of 12.5–400.0 mg/m<sup>2</sup> intravenously, following a modified Fibonacci sequence on day 1, day 8, day 15, and day 22 of a 5-week cycle. Safety data were recorded throughout the treatment period and for 2 weeks after the last dose. Most patients (23/25) received at least four weekly doses of farletuzumab. The remaining two patients had clinical disease progression and were withdrawn from the trial.

No dose-limiting toxicity was observed up to 400 mg/m<sup>2</sup>. There were no treatment-related adverse events classified as serious or severe (grade  $\geq 3$ ) and no treatment-related myelotoxicity or neurotoxicity. All adverse events were grade 2 or lower, except for grade 3 fatigue reported by single patients in the 12.5 mg/m<sup>2</sup> and 400.0 mg/m<sup>2</sup> cohorts. The most common treatment-related adverse events were hypersensitivity reactions (15 patients, 60%), fatigue (12 patients, 48%), and diarrhea (four patients, 16%).

Drug hypersensitivity reactions that occurred no more than 2 days after a farletuzumab infusion were experienced by 15/25 patients (60%); however, all were mild (grade 1 or 2) and easily controlled with antipyretics and/or antihistamines. The most commonly reported drug hypersensitivity adverse events were pyrexia (eight patients, 32%) and chills (five patients, 20%). Twelve of 15 hypersensitivity reactions were grade 1. No treatment discontinuation due to drug-related adverse events or deaths was observed during the study period.

HAHA and pharmacokinetic analyses were performed. Most patients did not exhibit anti-MORAb-003 antibodies at any point. Increased levels of HAHA were observed in two

patients (one at baseline and one on day 15). The patient with elevated HAHA at baseline experienced grade 1 hypersensitivity reaction during the first infusion. Premedication prevented hypersensitivity reaction during subsequent infusions.<sup>60</sup>

Systemic exposure to farletuzumab, usually shown as C<sub>max</sub> and AUC<sub>0–24</sub>, increased in an approximately dose-proportional manner across the dose range of 12.5–400.0 mg/m<sup>2</sup>, following single and multiple weekly infusions. The t<sub>1/2</sub> (terminal half-life of farletuzumab), ranging from 121–260 hours, was consistent with slow clearance of the drug. The pharmacokinetics of farletuzumab and the biodistribution of the radiolabeled antibody were consistent with other (radiolabeled) humanized/chimeric antibodies.<sup>56</sup> Without the maximum tolerated dose, a precise recommendation of dose for Phase II trials could not be determined. The tolerable dose of 400.0 mg/m<sup>2</sup> established in the Phase I study of farletuzumab corresponded to dosing of 10–12 mg/kg. It was recommended that dosing for Phase II trials should be in the range 2.5–10.0 mg/kg.

An open-label, multicenter Phase II study (MORAb-003)<sup>61</sup> was conducted in platinum-sensitive epithelial ovarian cancer patients at first relapse in order to determine the efficacy of farletuzumab as a single agent or in combination with platinum and taxane. The most frequent serious adverse events were febrile neutropenia, thrombocytopenia, abdominal pain, diarrhea, large intestinal obstruction, subileus, urosepsis, and hyperglycemia (two patients; 3.7% each). No patients died during active treatment with MORAb-003. No additive toxicity was observed with prolonged use of farletuzumab, as a single agent or in combination with platinum-based chemotherapy.<sup>61</sup>

Finally, an open-label, multicenter, Phase I study (MORAb-003–005),<sup>62</sup> assessed the safety of weekly farletuzumab in combination with carboplatin and pegylated liposomal doxorubicin (PLD) in 15 patients with platinum-sensitive ovarian cancer in first or second relapse. As expected, anemia, fatigue, and hand–foot syndrome were the most common adverse events, occurring in 14 patients (93%), eight patients (53%), and eight patients (53%), respectively, consistent with the toxicity profile of the carboplatin/PLD regimen. No grade 4 or 5 toxicity was documented. No clinically significant changes in cardiac ejection fraction were noted. Preliminary data from this study support further investigation into the efficacy of this combination.<sup>62</sup>

Interesting results, both in terms of toxicity and efficacy, have been found with the use of farletuzumab in the clinical setting, leading to the development of several recently concluded Phase III trials (Table 1).

**Table 1** Clinical trials of farletuzumab (MORAb-003) in ovarian cancer

Study, year, reference	Phase	Patient population (n, cancer type)	Chemotherapy status	Dosing schedule	Disease status, n (%)	PFS	Toxicities (grade 3–4); n (%)
Konner et al, 2010 <sup>60</sup> Markman et al, 2004 <sup>64</sup>	I	25, OC	PL-refractory/ resistant	Farletuzumab (12.5–400.0 mg/m <sup>2</sup> ; D 1, 8, 15, 22; 5 W)	SD, 9 (36%) PD, 15 (60%) NA, 1 (4%)	NA*	Fatigue; Two (8%)
Armstrong et al, 2013 <sup>10</sup> NCT00849667, 2013 <sup>65</sup>	II†	54, OPFC	PL-sensitive recurrent	Arm A: single-agent farletuzumab Arm B: combination therapy (six cycles CP (AUC5–6) iv + TX (paclitaxel 175 mg/m <sup>2</sup> or docetaxel 75 mg/m <sup>2</sup> ) iv every 3 W for six cycles + farletuzumab 2.5 mg/kg iv) Arm C: maintenance therapy (single-agent farletuzumab weekly)	(Combination therapy) (n=47) CR, 3 (7%) PR, 30 (68%) SD, 9 (21%) PD, 2 (5%) NA, 3 (6.8%)	Arm A: 10.3 M Arm B: 8.8 M Arm C: NA	Not observed
Vergote et al, 2013 <sup>67</sup>	III	1,100, OPFC	PL-sensitive recurrent	Arm 1: CP + TXL + placebo Arm 2: CP + TXL + MORAb-003 (1.25 mg/kg) Arm 3: CP + TXL + MORAb-003 (2.5 mg/kg)	NA	Arm 1: 9.0 M (median) Arm 2: 9.5 M (median) Arm 3: 9.7 M (median)	Not observed
NCT00738699, 2013 <sup>68</sup>	III‡	417, OC	PL-resistant recurrent	Arm 1: TXL + farletuzumab Arm 2: TXL + placebo	NA	NA	NA
NCT01004380, 2014 <sup>69</sup>	I	15, OC	PL-sensitive	6 cycles CP (AUC5–6) iv + PLD (30 mg/m <sup>2</sup> ) iv on D 1 of every W + weekly farletuzumab 2.5 mg/kg iv Maintenance: farletuzumab	NA	NA	NA

**Notes:** \*Efficacy analysis was not an endpoint of this study; †second remission greater than or equal to first remission in 21% of subjects; ‡prematurely closed.

**Abbreviations:** CP, carboplatin; CR, complete response; D, day; iv, intravenous; M, months; NA, not available; OC, ovarian cancer; OPFC, primary peritoneal, fallopian tube cancer; PD, progressive disease; PFS, progression-free survival; PL, platinum; PLD, pegylated liposomal doxorubicin; PR, partial response; SD, stable disease; TX, taxane; TXL, taxol; W, week.



## Efficacy of farletuzumab

In the same Phase I study<sup>60</sup> performed on 25 patients, after one cycle of treatment, computerized tomography evaluation by Response Evaluation Criteria in Solid Tumors (RECIST) identified nine patients with stable disease; four patients had decreased CA-125 levels. On the basis of the response seen after one treatment cycle, three patients went on to receive farletuzumab as extended therapy. One patient exhibited a 48% decrease in CA-125 after receiving two additional cycles. Radiolabeled tracer studies were conducted in three patients, showing significant tumor uptake of labeled farletuzumab.<sup>60</sup>

Farletuzumab was subsequently investigated in patients with platinum-sensitive recurrent ovarian cancer in an open-label, Phase II study.<sup>10,61</sup> The trial studied the clinical activity of farletuzumab, both as single agent and in combination with platinum (carboplatin AUC5–6) and taxane (paclitaxel 175 mg/m<sup>2</sup> or docetaxel 75 mg/m<sup>2</sup>) chemotherapy. Patients were enrolled and divided into two arms: arm A included 28 patients with nonsymptomatic relapse (elevated CA-125 only) who received single-agent farletuzumab; arm B included 26 women with symptomatic relapse who received platinum/taxane chemotherapy plus farletuzumab directly. Twenty-one of 28 patients in arm A (75%) who experienced symptomatic relapse or progression then received platinum/taxane and farletuzumab, and 36 patients (67% of all patients) who attained a complete or partial response received single-agent farletuzumab maintenance therapy.

A total of 47 patients received farletuzumab in combination with chemotherapy, and all were considered evaluable for efficacy response. In 38/47 patients (81%; 95% confidence interval [CI] =67%–91%) treated with farletuzumab in combination with chemotherapy, CA-125 normalized, according to Rustin criteria.<sup>63</sup> Combination therapy provided clinically complete (7%) or partial (68%) RECIST response in 33 patients (75%; 95% CI =60%–87%) and showed stable disease in an additional 9 patients (21%). Only two patients (5%) had progressive disease as best response. The duration of each patient's second progression-free interval was analyzed and compared with that patient's first-response interval. With this analysis, the authors found that in 9/42 evaluable subjects (21%), the progression-free interval was greater or equal to the first-response interval, more durable than the historical documented rate of 3% for platinum-based therapy alone shown by Markman et al.<sup>64</sup>

There was a high response rate among patients with a first-response interval <12 months (75%), comparable to that in patients with a first-response interval ≥12 months (84%).

This led the authors to conclude that farletuzumab with carboplatin and taxane could enhance the response rate and duration of response in patients with platinum-sensitive ovarian cancer with first relapse after remission of 6–18 months.

These results provided a rationale for the beginning of a three-arm randomized, double-blind, placebo-controlled, Phase III study investigating farletuzumab (FAR 131/MORAb-003) in combination with carboplatin and a taxane in 1,100 patients with platinum-sensitive epithelial ovarian cancer in first relapse.<sup>65–67</sup> Paclitaxel and carboplatin at standard dosages were given with either placebo (arm 1) or farletuzumab at two different dose levels, 1.25 mg/kg (arm 2) and 2.5 mg/kg (arm 3). The primary outcome was PFS evaluated according to RECIST criteria; secondary endpoints included 1) assessment of the safety or tolerability of weekly doses of 1.25 mg/kg or 2.5 mg/kg of farletuzumab in combination with carboplatin/taxane chemotherapy and 2) the effects of farletuzumab on overall survival, CA-125-defined PFS and serologic response (Rustin criteria),<sup>63</sup> length of second remission versus that of first remission, tumor response (RECIST criteria), patient quality of life, and resource utilization.<sup>66</sup> Length of first remission was 6 months to ≤12 months for 45% of patients, 12 months to ≤18 months for 35% of patients, and 18 months to ≤24 months for 19% of patients and was similar in the three arms of treatment. The most commonly reported adverse events across arms were those known to be associated with the study chemotherapy agents. Median PFS was 9.0 months in the placebo group (arm 1), 9.5 months in arm 2, and 9.7 months in arm 3, with no statistically significant difference between arms (hazard ratio [HR] =0.86, 95% CI =0.70–1.06 for 2.5 mg/kg versus placebo). Therefore, the study did not meet its primary endpoint of PFS. The post hoc exploratory analysis presented at the 18th International Meeting of the European Society of Gynaecological Oncology in 2013 showed, however, a trend toward improved PFS in some patient subsets. In particular, it has been found that exposure to higher doses of farletuzumab may be correlated with PFS improvement; furthermore, patients with CA-125 ≤3 times the upper limit of normal may significantly benefit from receiving farletuzumab, corroborating the hypothesis that CA-125 may inhibit the potential antibody-dependent cellular cytotoxicity effect of farletuzumab.<sup>67</sup>

Another Phase III trial (FAR-122)<sup>68</sup> explored the role of this monoclonal antibody associated with paclitaxel in platinum-resistant ovarian cancer patients, compared with paclitaxel plus placebo. However, the study was prematurely closed in 2012 because it did not meet the prespecified criteria for continuation following an interim futility analysis.

As is apparent from the data, both randomized trials in platinum-sensitive and platinum-recurrent ovarian cancer did not confirm the results of the Phase II trial that conversely demonstrated the safety and feasibility of farletuzumab as an agent in ovarian cancer. Additionally, some immune-mediated events were observed. Interestingly, the manufacturer of MORAb-003 (Morphotek, Inc., Exton, PA, USA) has announced that it is actively developing a companion diagnostic assay to identify patients with high FR $\alpha$  expression, because these patients may receive more benefit from farletuzumab therapy than those with low FR $\alpha$  expression. This suggests the possibility that future trial designs may incorporate stratification of patients based on their tumor FR expression status.

An advantage of the anti-FR antibody strategy is that tumor targeting is not affected by the level of FR saturation by folate found in the circulation and that the antibody is presumably FR subtype-specific. A potential drawback of this strategy is that a humanized antibody may still elicit a host immune response in the form of a neutralizing anti-idiotypic antibody that may ultimately undercut its therapeutic efficacy. Nevertheless, in clinical practice other therapeutic monoclonal antibodies, such as trastuzumab and cetuximab, are routinely utilized, suggesting that the overall approach of antibody therapy is potentially noteworthy.

On the basis of the results of the reported clinical trials, the toxicity profile of farletuzumab seems to be acceptable, although data on the efficacy of this drug are still conflicting. Additional studies are ongoing to further investigate the full potential of this innovative approach.<sup>69</sup>

## Vintafolide (EC145) and ovarian cancer

Folate conjugation is another versatile strategy for FR-targeted drug delivery. The rationale underlying FR $\alpha$ -targeted drug delivery lies in the substrate specificity of folic acid versus FR $\alpha$ . In this type of approach, folate can be linked to various therapeutic agents, namely low-molecular-weight chemotherapeutic agents; in fact, folate is amenable to chemical conjugation with other molecules through its  $\gamma$ -carboxyl group, without decreasing its binding affinity to the FR. As a low-molecular-weight physiological ligand, folate is also presumably nonimmunogenic and is easily derivatized.<sup>70</sup> These desirable properties, combined with its convenient availability, have made folate one of the most studied ligands in targeted drug delivery.<sup>50</sup> Several small-molecule drug conjugates to target all the FR isoforms have been developed, including folate conjugates of platinum,<sup>71</sup> fluorodeoxyuridine

monophosphate oligomer,<sup>72</sup> paclitaxel,<sup>73</sup> maytansinoids,<sup>74</sup> mitomycin C, vinca alkaloid, and a prodrug of thiolate histone deacetylase inhibitor.<sup>75</sup>

One of the most promising of these innovative agents is vintafolide (originally known as EC145), a conjugate of the vitamin folate that is coupled chemically to the vinca alkaloid; in detail, it is a small water-soluble molecule drug conjugate (SMDC) consisting of a folate conjugate of desacetylvinblastine monohydrazide (DAVLBH), which is a potent microtubule-destabilizing agent.<sup>76,77</sup> An advantage of this drug is that the linker mechanism of the SMDC allows for drug stability in the blood. Furthermore, vintafolide is a unique type of targeted therapy, as the active DAVLBH “warhead” is released upon endocytosis.<sup>78</sup> It binds specifically to the FR with a high affinity<sup>79</sup> and has been selected as the first folate–drug conjugate to enter into clinical trials as a therapeutic agent, along with etarfolatide (EC20; Endocyte, Inc., West Lafayette, IN, USA), a technetium-labeled, companion imaging agent for FR status determination.

The two molecules (folate and vinca) that constitute vintafolide are connected in a regioselective manner via a hydrophilic peptide spacer and a self-immolative group based on disulfides as the cleavable linkage. Desacetylvinblastine hydrazide is prepared from vinblastine-free base by reaction with anhydrous hydrazine, whereas the targeting and spacer components are prepared by assembly, using standard fluorenylmethyloxycarbonyl-based solid-phase peptide synthesis. The second step involves inserting the disulfide cleavable linkage on desacetylvinblastine hydrazide by reaction with the heterobifunctional reagent (2-[benzotriazole-1-yl-(oxycarbonyloxy)-ethyl]disulfany]–pyridine). The final reaction comprises a mild thiol-disulfide exchange reaction between the components.

Beside vintafolide, etarfolatide is a corresponding folate-targeted companion imaging agent that can anatomically recognize FR $\alpha$ -positive tumors,<sup>80</sup> remaining bound to the receptor to identify cells that express FR without entering the cytosol. In addition, etarfolatide can be used as an imaging agent in chronic inflammatory diseases, such as rheumatoid arthritis, based on targeting FR $\beta$ .<sup>81</sup>

Both these drugs share a similar mechanism of action. A generic FR-targeted conjugate is composed by folate (element 1) that binds to the drug (element 4) through a spacer (element 2), which confers specific properties to the complex folate–drug that allow the entry into the cell. The last component, element 3, works like a cleavable or stable bond based on the functional role of element 4. If the goal is the release of a drug, the bond between element 3 and element

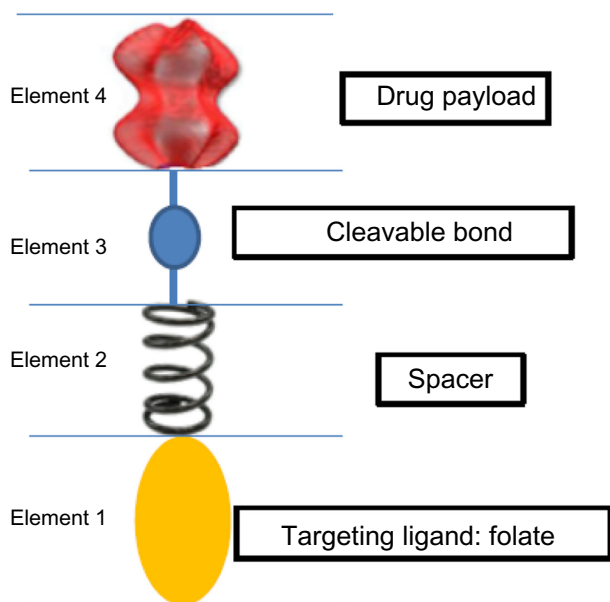
4 will lysate via endocytosis to ensure the action of the substance into the cell. Alternatively, if element 4 is an imaging agent and its release is not desired, element 3 will have a non-cleavable bond, as in the case of  $^{99m}\text{Tc}$ -folate, which remains bound to the receptor without entering the cytosol, to identify cells that express FR (Figure 1).<sup>44</sup>

Exogenously added folate–drug conjugates bind specifically to the folate receptor protein with high affinity. The FR-mediated system internalizes folate–drug conjugates via a membrane-bound glycoprotein.<sup>81–83</sup> The ligand–receptor complex is introduced into the cell through endosomes coated with a molecule called clathrin. As the lumen of the maturing endosome acidifies to pH ~5, the receptor changes conformation and releases the conjugate in the cytosol while the receptor resets to a new cycle of uptake (Figure 2). The reduced folate carrier, which unlike the FR is an anion transporter, can only shuttle unconjugated folate molecules into the cell.

## Preclinical data

Vintafolide has been tested in a number of different *in vivo* models, including M109 mouse lung adenocarcinoma, a KB tumor xenograft model, and aggressive FR-positive J6456 lymphoma. In one study, brief treatment of nude mice

bearing approximately 100 mm<sup>3</sup> FR+ human xenografts led to complete response in 5/5 mice and cures, without a relapse for >90 days post-tumor implantation in 4/5 mice.<sup>82</sup> Similarly, complete response and cures were also noted when EC145 was used to treat mice initially bearing tumors as large as 750 mm<sup>3</sup>. Furthermore, similar results (cures in 5/5 mice) were achieved after treatment in an aggressive FR+ J6456 lymphoma model. No noticeable weight loss or major organ tissue degeneration was registered. The enhanced therapeutic index due to folate conjugation was also evidenced by the fact that the unconjugated drug (desacetylvinblastine monohydrate) was found to be completely inactive when administered at nontoxic dose levels and only marginally active when given at highly toxic dose levels. Similar to the results described above, these experiments demonstrated excellent activity with little toxicity for EC145. EC145 was able to eradicate large, well-established tumors. By contrast, there was significant toxicity and relatively little activity for DAVLBH. These findings of both increased activity and decreased toxicity of EC145 in comparison with the unconjugated vinca alkaloid (DAVLBH) provide proof of principle that the approach both increases the selectivity of the drug for tumor cells in comparison with normal tissues and enhances cytotoxicity. These preclinical findings provide the basis for the introduction of this drug in clinical-trials setting (Table 2).



**Figure 1** Diagram of generic folate receptor–target conjugate.

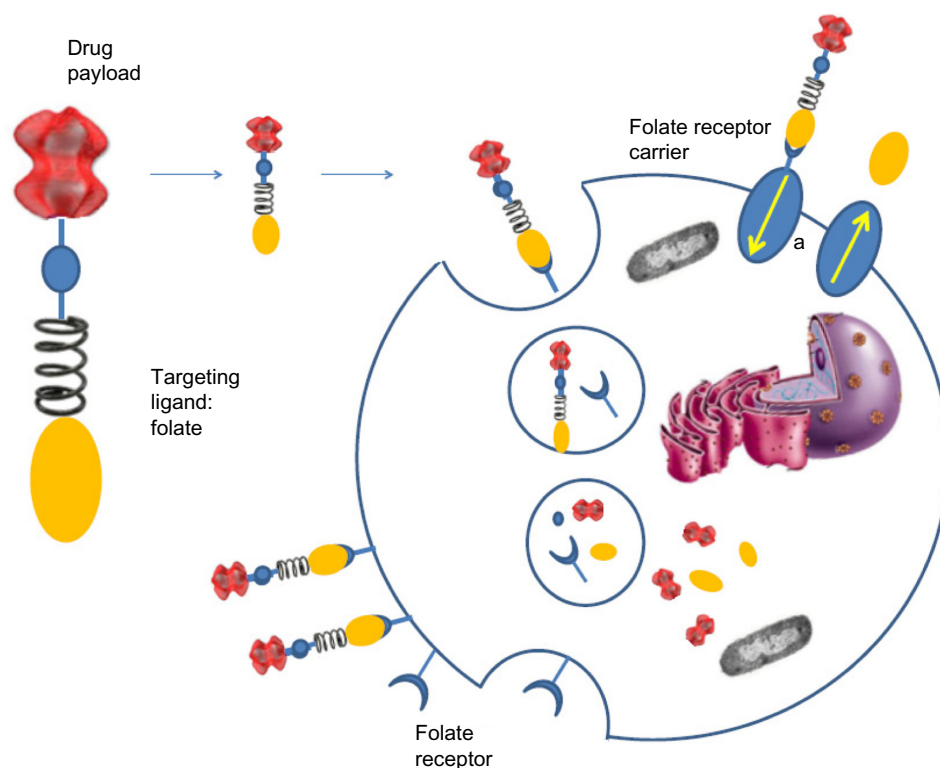
**Notes:** Folate (element 1) acts as a targeting moiety to deliver a therapeutically active molecule (element 4), identified here as “drug”; however, element 4 could also be an imaging agent, a hapten, or another chemical entity, depending on the desired endpoint or function. Elements 1 and 4 are separated by a spacer (element 2) and a cleavable bond (element 3). The spacer may be used to confer desirable chemical characteristics (hydrophilicity, hydrophobicity, acid stability, lability, etc) to the overall conjugate, and element 3 is formulated as either a cleavable or a stable bond depending, again, on the ultimate function of element 4.

## Clinical development: safety and efficacy

A Phase I study proved the safety of EC145 administered with flat escalation dose to patients with refractory solid tumors. EC145 was generally well tolerated at bolus doses of 2.5 mg, with one patient continuing on study for six cycles. Overall, the most common side effects were fatigue (n=9), constipation (n=7), and neuropathy (n=6).<sup>83</sup>

A Phase II nonrandomized trial investigated the efficacy and safety of EC145 in patients with advanced ovarian, fallopian tube, or primary peritoneal carcinoma.<sup>84</sup> EC145 was administered intravenously at the dose of 2.5 mg on day 1, day 3, and day 5 of week 1 and week 3 of a 4-week schedule. The most frequent drug-related >grade 2 adverse events included constipation (14/49; 28%), fatigue (10/49; 20%) and nausea (8/49; 16%). The disease-control rate at 8 weeks for all study patients was 41% (20/49). For patients with a history of ≤4 prior therapies, the 8-week disease-control rate was 50%, less when compared to that for patients with exposure to <3 prior chemotherapeutic regimens (59%). In addition to the reported rate of disease control, three patients exhibited partial response according to RECIST criteria.





**Figure 2** Folate receptor-mediated endocytosis of folate drug conjugates.  
**Note:** a, reduced folate receptor carrier.

Another clinical study evaluated the safety and efficacy of vintafolide in a nonrandomized Phase II clinical trial in patients with advanced ovarian, fallopian tube, or primary peritoneal carcinoma, after identification of FR expression using etarfolatide ( $n=47$ , median age 61 years).<sup>85</sup> The trial, conducted from 2007–2012, examined two different doses of vintafolide administered three times a week on week 1 and week 3 of a 4-week cycle. The disease-control rate (complete response + partial response + stable disease) at 8 weeks in patients receiving vintafolide as third-line or fourth-line intravenous therapy was 75%, compared (historically) with a rate of 47% in women receiving second-line or third-line PLD. There were also three partial responses. From this study, it appeared that vintafolide was very well tolerated, with minimal toxicity. Fatigue was the most common grade 3 toxicity, occurring in 8.2% of patients.<sup>85</sup>

On the basis of these data, EC145 was evaluated as part of the international randomized PRECEDENT trial.<sup>44</sup> This study compared PFS in subjects with platinum-resistant ovarian cancer who received combination therapy with EC145 and PLD (PLD/Doxil® [Janssen Products, LP, Titusville, NJ, USA]/Caelyx® [Janssen-Cilag Pty Ltd, NSW, Australia]) versus PLD alone. FR status (10%–100% of target lesions positive for FR expression) was assessed using 99mTc nuclear SPECT imaging with etarfolatide before initiation

of therapy. Patients were randomized to receive vintafolide (2.5 mg on day 1, day 3, and day 5 and day 15, day 17, and day 19 of each 4-week cycle) plus PLD (50 mg/m<sup>2</sup> intravenously, on day 1 of each 4-week cycle) or PLD alone (at the same dosage/schedule) until disease progression or death or until the maximum allowable cumulative dose of PLD had been given (550 mg/m<sup>2</sup> of doxorubicin HCl). Vintafolide plus PLD was the first combination to show a statistically significant increase in PFS for women with platinum-resistant ovarian cancer. The greatest benefit was observed in patients with 100% of lesions positive for FR, with median PFS of 5.5 months compared with 1.5 months for PLD alone (HR = 0.38; 95% CI = 0.17–0.85;  $P=0.013$ ). The group of patients with FR+ disease (10%–90%) experienced some PFS improvement (HR = 0.873; 95% CI = 0.33–2.28;  $P=0.79$ ), in contrast to patients with FR– neoplasia (HR = 1.806; 95% CI = 0.37–8.83;  $P=0.468$ ).<sup>44</sup>

On the basis of these promising results, a randomized double-blind Phase III trial comparing EC145 and PLD (PLD/Doxil®/Caelyx®) in combination versus PLD alone in participants with platinum-resistant ovarian cancer (the PROCEED study) is currently recruiting participants.<sup>86</sup> Patients are randomized to vintafolide with or without PLD. PLD 50 mg/m<sup>2</sup> is administered on day 1 of a 4-week cycle and vintafolide 2.5 mg or placebo is administered on days 1, 3, 5, 15, 17, and 19 of

**Table 2** Clinical trials of vintafolide (ECI45) in ovarian cancer

Study, year, reference	Phase	Patient population (n, cancer type)	Chemotherapy status	Dosing schedule	Disease status, n (%)	PFS	Toxicities (grade 3–4), n (%)
Sausville et al, 2007 <sup>83</sup>	I	32, solid tumors (including OC)	Chemo-refractory	Arm 1: bolus injection Arm 2: 1-hour infusion (D1, 3, 5 and D15, 17, 19 of each 28 D cycle)	OC, n=2/32 SD, 1 (50%) PD, 1 (50%)	NA	Arm 1: 2 (6%) Arm 2: 2 (6%) Constipation Fatigue Neuropathy Constipation Fatigue Nausea
Naumann et al, 2009 <sup>84</sup>	II	49 OPFC	Recurrent	ECI45 iv on MWF of W1, 3 (4 W cycle)	PR, 3 (6%) (DCR = CR + PR + SD) at 8 W 40.8% (20/49)	NA	Arm 1: 14 (28%) Arm 2: 10 (20%)
Naumann et al, 2013 <sup>44</sup>	II	149 OC	PL-resistant recurrent	Arm 1: PLD 50 mg/m <sup>2</sup> iv, D1 + ECI45 2.5 mg iv, W1–3 q28 Arm 2: PLD 50 mg/m <sup>2</sup> iv, D1 q28 days	NA	Arm 1: 5, 1 M Arm 2: 2, 7 M Arm 1 (FR+): 5.5 M Arm 2 (FR+): 1.5 M	Arm 1: 9 (6%) Arm 2: 8 (5%) Anemia Neutropenia Leucopenia Febrile/neutropenia Thrombocytopenia Fatigue Constipation Neuropathy Stomatitis Erythrocytopenia Ongoing
NCT01170650 PROCEED Study, 2014 (ongoing) <sup>86,*</sup>	III	640 OC (500/640 FR+)	PL-resistant	Arm 1: ECI45 iv bolus on D1, 3, 5 and D15, 17, 19 of a 4 W cycle + PLD 50 mg/m <sup>2</sup> every 4 W Arm 2: PLD 50 mg/m <sup>2</sup> every 4 W + placebo	Ongoing	Ongoing	Arm 1: 23 (15%) Arm 2: 10 (6%) Febrile/neutropenia Thrombocytopenia Fatigue Constipation Neuropathy Stomatitis Erythrocytopenia Ongoing

**Notes:** \*OS was a secondary endpoint, and in this trial, no difference was noted between treatment arms. The multivariate analysis, after adjusting for baseline imbalances, suggested an overall survival benefit for the vintafolide plus PLD versus PLD arm in the FR 100% subpopulation (hazard ratio =0.481; 95% confidence interval =0.169–1.370; P=0.171).

**Abbreviations:** CR, complete response; D, day; DCR, disease-control rate; FR+, folate receptor-positive disease; iv, intravenous; NA, not available; OC, ovarian cancer; OPFC, primary peritoneal, fallopian tube cancer; M, months; MWF, Monday–Wednesday–Friday; PD, progressive disease; PFS, progression-free survival; PLD, pegylated liposomal doxorubicin; PR, partial response; SD, stable disease; W, week; PL, platinum; OS, overall survival.

a 4-week cycle. Treatment can continue for up to 20 cycles or until unacceptable toxicity or disease progression. The primary outcome of this study is PFS, and the secondary outcomes are overall survival and incidence of adverse events. Enrollment of 640 patients, including approximately 500 that are folate receptor positive, is planned. All participants will undergo imaging with the FR-targeting investigational diagnostic agent EC20 during the screening period to assess binding of the imaging agent EC20 to tumors.

Table 2 describes clinical trials with vintafolide in ovarian cancer.

## Other anti-FR agents in ovarian cancer

Several other agents targeting folate receptors have recently been investigated in Phase I–II clinical trials.

Folate Immune is a novel immunotherapy comprising a vaccine EC90 with GP-0100 adjuvant and a folate-conjugated vaccine (EC17) that targets FR+ tumor cells to enhance tumor-cell removal by cytokine-stimulated immune cells. EC17 acts as an adapter molecule that redirects anti-fluorescein isothiocyanate-conjugated hapten antibody produced by the patient to FR-expressing tumor cells; the safety of this strategy was demonstrated in a Phase I trial including one ovarian cancer patient and renal cancer patients.<sup>87</sup> This approach has certain potential advantages over the farletuzumab strategy, because EC17, which activates the therapeutic mechanism, is a small molecule and is therefore very inexpensive and rapidly clearing, and thus can potentially achieve high tumor-to-normal targeting ratios.

Due to vintafolide's potential for success, recent efforts have focused on determining the impact of modifying the spacer, linker, or drug payload on the antitumor activity. In fact, preclinical studies were conducted to determine the impact of 1) substituting the DAVLBH vinca alkaloid unit in vintafolide with analogues of vincristine, vindesine, vinorelbine, or vinflunine; 2) substituting the naturally (S)-configured Asp-Arg-Asp-Asp-Cys peptide spacer in vintafolide with other hydrophilic spacers; and 3) varying the composition of the linker module to evaluate the impact of having a readily releasable, self-immolative linker system (eg, disulfide debased) versus a more stable linker system (eg, a thioether). In these studies, substitutions of some or all of the natural amino acids within vintafolide's hydrophilic spacer module did not significantly change the *in vitro* or *in vivo* potency of the SMDCs.

Furthermore, following vintafolide, several additional agents have also been studied in clinical trials recently.

EC0225, a folate conjugated to two different agents (a vinca alkaloid and mitomycin), has completed a Phase I trial.<sup>88</sup> BMS-753493 (epofolate) is a folate conjugate of epothilone A, a microtubule-stabilizing agent; it is under investigation in a Phase I/II clinical trial sponsored by Bristol-Myers Squibb in advanced cancer.<sup>89,90</sup> Another agent being tested in a Phase I trial in patients with refractory or metastatic tumors is EC0489, an analogue of vintafolide, with reduced hepatic clearance.<sup>91</sup> Nonetheless, vintafolide remains one of the most potent folate conjugates produced to date, and continued clinical development is warranted.

## Discussion

Anti-FR drugs represent a new viable option for treatment of recurrent ovarian cancer. Folate conjugates target both FR $\alpha$  and FR $\beta$ . This enables the possibility of targeting tumors that are low in FR $\alpha$  but high in FR $\beta$  (eg, tumors that are highly infiltrated by tumor-associated macrophages that are FR $\beta$ +).<sup>26</sup> On the other hand, this reduces the selectivity for tumor cells. Nonetheless, this could expand the range of those patients in the cancer population who may respond to FR-targeted therapy. Furthermore, differently from antibodies, a low-molecular-weight folate conjugate is rapidly distributed into tumor tissues and is rapidly cleared from systemic circulation, reducing concentration in the plasma and in nontarget tissues and is typically not immunogenic. This leads to a higher tumor-to-normal tissue ratio.<sup>45</sup> Finally, it is possible to produce these agents by total synthesis as a single pure chemical entity, which is not always possible for macromolecular conjugates like antibodies.

The potential disadvantages of folate conjugates include interference with FR targeting by the circulating folate, influenced by the patient's diet, and high and persistent accumulation in the kidneys due to FR $\alpha$  expression in the apical membrane of the proximal tubules.<sup>58</sup> While this has not adversely affected clinical translation of folate conjugates, it may reduce the prospect of clinical application of low-molecular-weight folate conjugate-based radiopharmaceuticals for targeted radiotherapy. It is worth noting that renal toxicity has not been reported in clinical trials of folate conjugate-based chemotherapies.

Regarding the clinical trial strategies used, there are some important distinctions between the trials of farletuzumab and those using vintafolide. In farletuzumab trials, the drug was used in combination with platinum/taxane and mostly focused on patients with platinum-sensitive early-stage ovarian cancer. Meanwhile, in vintafolide trials, the drug was combined with Doxil®/PLD and focused on patients

with platinum-resistant advanced disease, using etarfolatide imaging to identify patients with high FR expression. It is interesting that, in contrast to vintafolide, farletuzumab failed to show benefit in patients with advanced disease, who presumably are more likely to be FR+. Nevertheless, it should be underlined that in the vintafolide trials, great benefit was obtained in those patients who were confirmed to express FR $\alpha$  after etarfolatide's exposition. Furthermore, the developer of farletuzumab, Morphotek, Inc., is seeking to develop an FR assay to screen patients for FR expression status. It would be interesting to see the effect of incorporating this assay into a future trial on the clinical efficacy of farletuzumab.

## Conclusion

The recent movement of farletuzumab and vintafolide into Phase III trials suggests that FR targeting is finally reaching a critical point and that the strategy of identifying subpopulations of cancer patients with high FR expression could be very promising. Meanwhile, continued research is warranted, for example, on developing a serum-based FR assay kit and FR isoform selectivity. Appropriate preidentification of susceptible patients who may benefit from this therapeutic approach is paramount. Next trials should also focus on the investigation of more FR-targeted drugs, either as a therapeutic combination with existing therapy or even as monotherapy. FR targeting can, therefore, potentially become the first example in which scientific knowledge and innovative biomedical technology achieve significant results in ovarian cancer treatment.

## Disclosure

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