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ORIGINAL RESEARCH

# Accelerated or hyperfractionated radiotherapy for esophageal carcinoma: a meta-analysis of randomized controlled trials

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#### compared with conventional schedules (OR =3.90, 95% confidence interval [CI]: 2.47-6.16, P < 0.001). Favorable results were observed for the 1-year (OR =2.58, 95% CI: 2.05–3.26, P < 0.001), 3-year (OR = 2.30, 95% CI: 1.83–2.89, P < 0.001) and 5-year (OR = 2.36, 95% CI: 1.74–3.21, P<0.001) overall survival and for the 1-year (OR =2.46, 95% CI: 1.72–3.51, P < 0.001), 3-year (OR = 2.08, 95% CI: 1.49–2.90, P < 0.001) and 5-year (OR = 2.15, 95% CI: 1.38-3.34, P < 0.001) overall local control rate in the modified fractionation radiotherapy group. However, the altered radiotherapy increased the risk of acute radiation esophagitis (OR = 1.70, 95% CI: 1.27–2.28, P<0.001) and acute radiation tracheitis (OR =1.47, 95% CI: 1.09–1.99, P=0.01). No significant differences in the risk of esophageal perforation (OR = 1.30, 95% CI: 0.51-3.32, P=0.58) or esophagorrhagia (OR =0.88, 95% CI: 0.41-1.88, P=0.74) were found

with conventional radiotherapy.

between the two groups. **Conclusion:** Chinese patients with squamous cell esophagus carcinomas gained a significant benefit in terms of the response rate, survival and local control rates from the modified fractionation radiotherapy, but also had an increased risk of acute radiation reactions. Otherwise, there was no observed statistically significant difference in terms of early adverse reactions. Keywords: esophageal carcinoma, radiotherapy, fractionation, meta-analysis

Objective: The goal of this study was to evaluate the efficacy and safety of modified (accelerated

and/or hyperfractionated) radiotherapy in the treatment of esophageal carcinoma, compared

Methods: Studies published in the PubMed, Cochrane Library, EMBASE, CBM, VIP, CNKI and

Wanfang databases in the most recent two decades were searched for use in this meta-analysis.

Only randomized controlled trials were included. The heterogeneity analysis and calculation

of the pooled odds ratio (OR) were performed using RevMan 5.3 software. The assessment of

Results: Twenty trials with a total of 1,742 Chinese patients who met the inclusion criteria were

included. The pooled results showed that modified radiotherapy improved the response rate

publication bias and sensitivity analyses was conducted using Stata 13.0 software.

## Introduction

Esophageal carcinoma is the eighth most common malignant tumor, with >4.5 million new cases diagnosed every year, and it is the sixth leading cause of cancer-related deaths worldwide, causing ~400,000 deaths annually.<sup>1</sup> The occurrences of esophageal cancer tend to follow a geographic distribution. The high-risk areas are also known collectively as the "esophageal cancer belt", which is located from northern Iran to North Central China and accounts for ~90% of esophageal squamous cell carcinoma cases.2-4

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Esophageal cancer is difficult to detect in its early stages; as a result, patients often present at the time of diagnosis with locally advanced stages or suffer from metastatic disease that may have progressed to lymphatic and hematogenous dissemination. Therefore, radiotherapy (RT) and chemotherapy (CT) have gradually become the most common and necessary treatment in esophageal carcinoma, although surgery is considered as the most effective treatment.5 Conventional RT is usually delivered at 1.8-2.0 Gy per fraction once a day from Monday through Friday every week. However, the results for conventional RT are unsatisfactory, with a 5-year survival of  $\sim$ 5%–10%,<sup>6-8</sup> which has led us to explore and investigate new therapeutic strategies. In recent years, two types of modified RT have been studied: the hyperfractionation (HF) regime, with two or three fractions given per day with a 4-6 h interval between fractions and a decreasing dose per fraction, and accelerated RT, with a higher dose in a shorter overall treatment time that is usually combined with HF.9-12 Considerable interest has arisen in the comparison between altered fractionated RT and other therapeutic strategies among multiple malignancies in recent years.<sup>13–17</sup>

Unconventional fractionated RT has been applied to treat patients with esophageal carcinoma since the 1980s, and several trials have studied the role of accelerated or hyperfractionated RT in esophageal cancer, providing promising but conflicting results; therefore, this meta-analysis aimed to systematically and accurately estimate the efficacy and toxicity of accelerated or hyperfractionated RT and provide a clearer understanding, with the aid of greater statistical power and more precise results, of the significance of each of these modalities in the treatment of esophageal cancer.

# Materials and methods Search strategy

A computerized retrieval of studies was performed by searching the PubMed, Cochrane Library, EMBASE, CBM, VIP, CNKI and Wanfang databases for the most recent two decades (January 1, 1996 to December 31, 2015). There was no limit on the language. The key words used were "esophageal neoplasms", "radiotherapy", "accelerat\*", "hyperfraction\*" and "random\*". The retrieval was adjusted based on the specific database, and all retrieval strategies were confirmed after multiple pre-retrieval tests of the combination of subject words and free words.

# Inclusion and exclusion criteria

To be eligible, trials were required to meet the following inclusion criteria: 1) study design: randomized controlled

trials (RCTs); 2) study objective: patients with squamous cell carcinoma of the esophagus and no distant metastases and a Karnofsky performance scale (KPS) score  $\geq 60$ ; 3) study intervention: comparison of modified RT (accelerated or/and hyperfractionated) with conventional RT (1.8-2 Gy fraction per day for 5 days/week with a total dose of 40–70 Gy). Trials of RT using combined CT were included only when the CT schedule and doses were consistent in the two arms; and 4) outcome parameters: response rate (complete remission plus partial remission, classified according to the Response Evaluation Criteria in Solid Tumors)<sup>18</sup> as an index to evaluate the short-term efficacy; 1-, 3- and 5-year overall survival (OS) and 1-, 3- and 5-year local control rates as indexes to measure the long-term efficacy; acute toxicity included acute radiation reactions (esophagitis and tracheitis) and early adverse reactions (esophageal perforation and esophagorrhagia).

Articles were excluded if 1) they were unrelated or repeated studies found in the literature; 2) they did not offer essential or clear information; 3) they were confounded by additional therapeutic differences, such as adjuvant CT or salvage resection, between the two arms; 4) they focused on a special population, such as the elderly or ethnic minority groups; and 5) an escalated dose of modified fractionation RT was administered.

## Data extraction and quality assessment

Two review authors independently extracted and then crosschecked the recorded data; disagreements were resolved by discussion. Data extraction forms included the following information: the first author, publication year, patients' characteristics, number of patients, details of the intervention and the outcome parameters.

According to the Cochrane Handbook for Systematic Reviews of Interventions (Version 5.1.0),<sup>19</sup> only the studies that met methodological quality criteria A (adequate randomization) or B (only trials that were stated to be randomized without further details) were included.

# Statistical method and analyses

The statistical analyses of this meta-analysis were performed using RevMan version 5.3 software. We conducted an analysis for heterogeneity prior to calculating the pooled odds ratios (ORs) with 95% confidence intervals (95% CIs) for dichotomous variables. Statistical heterogeneity was assessed using Cochran's chi-square tests. If there was no substantial statistical heterogeneity (P>0.05,  $I^2 \leq 50\%$ ), data were combined by the fixed-effect model (Mantel and Haenszel methods); otherwise, the heterogeneity was evaluated by the random-effect model (DerSimonian and Laird methods). Publication bias was measured by observing the symmetry of the funnel plots, and Egger's test was used to analyze and explore the data.  $P \le 0.05$  was considered statistically significant. A sensitivity analysis was also performed. The publication bias assessment and sensitivity analysis were conducted using STATA version 13.0 software.

#### Results

# Selection and characteristics of eligible studies

Our initial search strategy identified 1,058 studies: 41 from PubMed, 11 from Cochrane Library, 49 from EMBASE, 124 from CBM, 241 from VIP, 337 from CNKI and 253 from the Wanfang databases. After screening the titles, abstracts and full text, 1,038 trials were excluded due to duplication or not meeting the inclusion criteria. As shown in Figure 1, 20 articles were included in the final meta-analysis. Among the included studies, only four studies reported details of adequate randomization. The other trials did not provide details of the randomization.

A description of each of the included trials is summarized in Table 1. This meta-analysis included 1,742 Chinese patients (1,152 male, 590 female). There were 858 cases in the modified RT group and 884 cases in the conventional RT group. The patients' genders were well matched between the two randomization arms. The ages of the patients were fairly similar among the studies, ranging from 31 to 77 years old. The KPS scores of the included studies were >60, and the lesion lengths were mostly  $\leq 10$  cm. Of the included studies, 3 compared accelerated fractionation (AF) RT with conventional fractionation (CF) RT, 7 compared HF RT with CF and 10 compared continuous accelerated HF RT with CF. Only one included trial comparing continuous accelerated HF with CF employed CT as a sequential treatment to RT, but there were no studies in the literature that reported RT combined with concurrent CT.

### Short-term efficacy

Six trials were available for inclusion in the evaluation of the short-term efficacy of the response rate (complete remission plus partial remission). There was no evidence of heterogeneity between the two arms (P=0.97,  $I^2$ =0.0%). Thus, the fixed-effects model (Mantel and Haenszel methods) was selected for the pooled analysis. The meta-analysis showed that there was a significant advantage in the modified RT group compared with the conventional RT group (OR =3.90, 95% CI: 2.47–6.16, P<0.001; Figure 2).

#### Long-term efficacy

Analysis of the 1-year survival was based on 1,379 cases from 14 RCTs. The results, which were obtained using a fixed-effects model with a low risk of heterogeneity (*P*=0.89, *I*<sup>2</sup>=0.0%), suggested that the modified RT improved the 1-year survival (OR =2.58, 95% CI: 2.05–3.26, *P*<0.001; Figure 3A). For the 3-year survival, the metaanalysis from 15 trials showed a statistically significant difference that favored the modified RT therapy (OR =2.30,



Figure I Search flow diagram for the meta-analysis.

References	Age (years)	KPS	Lesion length (cm)	Inclusion period*	Quality assessment	Arms	Subjects (n)	Number of fractions	Dose (Gy)	Duration
Sun et al	≤75	≥70	3–10	2003.10-2005.12	A	AF	29	_	50–70	3.6–5.0 weeks
(2006) <sup>20</sup>			2-12			CF	29	-	40–70	4.0-7.0 weeks
Liu et al	48–69	≥70	3-10	2003.10-2005.12	В	AF	29	28–33	56–66	28–33 days
(2013) <sup>21</sup>			2-12			CF	29	28-33	56-66	37–45 days
Zhuang et al	46–77	>60	_	2003.4-2006.4	В	AF	22	30	60	30 days
(2009)22						CF	22	30	60	42 days
Peng et al	41–75	>60	≤7	1987.3–9	В	HF	27	34	51	23 days
(1996)23						CF	27	30–35	60–70	6–7 weeks
Meng	≤70	≥70	_	2004.6-2008.12	В	HF	28	-	61.0-73.2	5–6 weeks
(2011) <sup>24</sup>						CF	28	_	60–70	6–7 weeks
Guo et al	47–76	≥70	_	2000.3-2004.3	В	HF	26	-	65	5 weeks
(2011) <sup>25</sup>						CF	39	_	70	7 weeks
Chen and Lin	31–76	≥80	≤7	1997.8-1998.10	А	HF	50	66	75.9	45–53 days
(2007) <sup>26</sup>						CF	50	33	66	45–52 days
Zhao and	_	≥70	>3	1995.1-1996.5	В	HF	115	-	70	- ,
Guo (2002) <sup>27</sup>						CF	112	_	70	-
Huang and	37–73	≥90	≤9	-	В	HF	26	64	76.8	32 days
Gao (2001) <sup>28</sup>						CF	35	35	70	7 weeks
Pei and Zhu	32–77	≥80	≤8	1986.1-1989.4	В	HF	46	70	80.5	49–53 days
(2000) <sup>29</sup>						CF	46	35	70.0	47–56 days
Xie and Shi	≤70	≥70	_	-	А	CAHF	11	44	66	29–30 days
(1999) <sup>30</sup>						CF	11	38	68.4	52–56 days
Ke et al	38–76	≥70	≤10	2005.1-2007.1	В	CAHF	30	_	66	4.4 weeks
(2012)31						CF	30	_	66	6.6 weeks
Zhu et al	38–76	≥60	≤10	2004.1-2005.1	В	CAHF	30	_	66	4.4 weeks
(2010)32						CF	30	-	66	6.6 weeks
Wang	<70	≥60	≤7	2006.7-2007.12	В	CAHF	12	_	66–70	_
(2008)33						CF	14	-	55–70	5.5–7 weeks
Li et al	<70	≥60	≤8	1990.10-1992.5	В	CAHF	48	_	54	3.5 weeks
(2003)34						CF	50	-	60–70	6–7 weeks
Zhang et al	≤70	≥70	≤6	1994.10-1998.5	В	CAHF	39	_	60–70	4.5–6 weeks
(2002)35						CF	37	-	60–66	6–7 weeks
Peng et al	≤70	≥70	≤8	1989.5-1994.12	В	CAHF	106	34-40	51-60	3.3-4.0 weeks
(2001)36						CF	110	30–35	60–70	6–7 weeks
Fan et al	<70	≥60	≤8	1990.12-1992.7	В	CAHF	48	_	54	3.5 weeks
(2000)37						CF	48	30–35	60–70	6–7 weeks
Yang (2011) <sup>38</sup>	_	≥70	≤7	2003.5-2007.6	А	CAHF + CT	100	4045	60–67.5	4-4.5 weeks
. ,						CF + CT	100	30–35	60–70	6–7 weeks
Qian et al	<70	>80	<8	1992.6-1993.12	В	CAHF	34	23	65±1.6	34 days
(2000) <sup>39</sup>						CF	35	33	65±2.1	45 days

Table I Description of baseline characteristics included trials

Notes: "-", not mentioned. Data presented as n, range, or mean ± standard deviation. \*Inclusion periods shown as 'year.month' (eg, 2003.10 is October 2003). Abbreviations: AF, accelerated fractionation; CAHF, continuous accelerated hyperfractionation; CF, conventional fractionation; CT, chemotherapy; HF, hyperfractionation; KPS, Karnofsky performance scale.

Reference	Modifie Events	d RT Total	Convent Events	tional RT Total	Weight (%)	Odds ratio M–H, fixed, 95% Cl	Odds rat M–H, fixe	io ed, 95% Cl
Liu et al (2013) <sup>21</sup>	24	29	17	29	15.1	3.39 (1.01, 11.41)		
Sun et al (2006)20	24	29	17	29	15.1	3.39 (1.01, 11.41)		
Wang (2008)33	10	12	6	14	4.8	6.67 (1.05, 42.43)		
Yang (2011)38	82	100	51	100	47.3	4.38 (2.30, 8.33)		
Zhang et al (2002)35	38	39	34	37	4.6	3.35 (0.33, 33.78)		
Zhuang et al (2009)22	18	22	14	22	13.1	2.57 (0.64, 10.31)	_	
Total (95% CI)		231		231	100	3.90 (2.47, 6.16)		•
Total events	196		139					
Heterogeneity: $\gamma^2=0.9$	1, df=5 (F	e.97);	<sup>/2</sup> =0%			⊢		+ + +
Test for overall effect:	7=5 84 (F	, ><0 0000	1)			0.01	0.1	1 10 100
	_ 0.01 (/	0.0000	•••				Favors modified RT	Favors conventional RT

Figure 2 Forest plot comparing response rate between modified RT and conventional RT. Abbreviations: CI, confidence interval; M–H, Mantel–Haenszel; RT, radiotherapy.

Reference	Modifie Events		Conven Events		Weight (%)	Odds ratio M–H, fixed, 95% Cl	Odds rat M–H, fixe	io ed, 95% Cl	
Fan et al (2000)37	34	48	22	48	7.2	2.87 (1.24, 6.66)			
Guo et al (2011) <sup>25</sup>	16	26	18	39	6.2	1.87 (0.68, 5.13)	_		
Ke et al (2012) <sup>31</sup>	21	30	17	30	5.7	1.78 (0.62, 5.17)			
Li et al (2003)34	34	48	23	50	7.3	2.85 (1.24, 6.57)			
Liu et al (2013)21	20	29	16	29	5.6	1.81 (0.62, 5.29)			
Meng (2011) <sup>24</sup>	21	28	13	28	3.6	3.46 (1.12, 10.75)			
Peng et al (1996)23	17	27	9	27	3.7	3.40 (1.11, 10.40)			
Peng et al (2001)36	74	106	50	110	16.6	2.77 (1.59, 4.85)		_ <b>_</b>	
Qian et al (2000) <sup>39</sup>	24	34	18	35	5.8	2.27 (0.84, 6.11)	-		
Yang (2011)38	94	100	79	100	5.3	4.16 (1.60, 10.83)			
Zhang et al (2002)35	32	39	24	37	4.9	2.48 (0.86, 7.15)	-		
Zhao and Guo (2002)27	80	115	47	112	16.2	3.16 (1.83, 5.46)		_ <b></b>	
Zhu et al (2010) <sup>32</sup>	21	30	17	30	5.7	1.78 (0.62, 5.17)			
Zhuang et al (2009)22	14	22	15	22	6.1	0.82 (0.23, 2.85)			
Total (95% CI)		682		697	100	2.58 (2.05, 3.26)		•	
Total events	502		368					-	
Heterogeneity: $\chi^2 = 7.24$	, df=13 (F	P=0.89	); /²=0%			H-			<u> </u>
Test for overall effect: Z	=8.00 (P<	<0.000	01)			0.01	0.1	1 10	100
	``						Favors modified RT	Favors convention	al RT

8 Reference	Modifie Events		Conven Events		Weight (%)	Odds ratio M–H, fixed, 95% Cl	Odds rati M–H, fixe	io ed, 95% Cl
Fan et al (2000)37	19	48	9	48	5.5	2.84 (1.12, 7.18)		<b>-</b>
Guo et al (2011) <sup>25</sup>	11	26	8	39	3.7	2.84 (0.95, 8.53)	+	
Ke et al (2012)31	11	30	8	30	5.1	1.59 (0.53, 4.77)		_ <del>.</del>
Li et al (2003) <sup>34</sup>	19	48	10	50	6.0	2.62 (1.06, 6.46)		<b>-</b> _
Liu et al (2013) <sup>21</sup>	11	29	6	29	3.7	2.34 (0.73, 7.55)		<del>.</del>
Meng (2011) <sup>24</sup>	11	28	6	28	3.7	2.37 (0.73, 7.71)		
Pei and Zhu (2000)29	13	46	10	46	7.2	1.42 (0.55, 3.67)		- <b>-</b>
Peng et al (1996)23	12	27	5	27	2.8	3.52 (1.03, 12.07)		
Peng et al (2001)36	43	106	22	110	12.9	2.73 (1.49, 5.01)		
Qian et al (2000)39	15	34	7	35	3.9	3.16 (1.08, 9.20)		
Yang (2011)38	50	100	31	100	15.6	2.23 (1.25, 3.96)		<b>—</b>
Zhang et al (2002)35	19	39	14	37	7.4	1.56 (0.63, 3.89)		<b>_</b>
Zhao and Guo (2002)27	47	115	22	112	13.3	2.83 (1.56, 5.13)		<b>_</b>
Zhu et al (2010)32	11	30	8	30	5.1	1.59 (0.53, 4.77)		
Zhuang et al (2009) <sup>22</sup>	4	22	5	22	4.1	0.76 (0.17, 3.29)		
Total (95% CI)		728		743	100	2.30 (1.83, 2.89)		•
Total events	296		171					
Heterogeneity: $\chi^2$ =6.74,								
Test for overall effect: Z	=7.19 (P	<0.000	01)			0.01		10 100
							Favors modified RT	Favors conventional RT

Reference	Modifie Events		Conven Events		Weight (%)	Odds ratio M–H, fixed, 95% Cl	Odds ra M–H, fix	tio ed, 95% Cl	
Fan et al (2000)37	14	48	6	48	7.8	2.88 (1.00, 8.30)			
Huang and Gao (2001)2	<sup>28</sup> 7	26	8	35	9.1	1.24 (0.39, 4.01)		•	
Ke et al (2012)31	9	30	5	30	6.4	2.14 (0.62, 7.39)			
Li et al (2003)34	14	48	6	50	7.6	3.02 (1.05, 8.68)			
Liu et al (2013) <sup>21</sup>	4	29	3	29	4.7	1.39 (0.28, 6.83)		•	
Pei and Zhu (2000)29	11	46	8	46	11.1	1.49 (0.54, 4.14)			
Peng et al (1996)23	10	27	3	27	3.5	4.71 (1.12, 19.70)			
Peng et al (2001)36	35	106	15	110	18.0	3.12 (1.58, 6.15)			
Qian et al (2000)39	9	34	5	35	6.6	2.16 (0.64, 7.28)	_		
Zhao and Guo (2002)27	26	115	13	112	18.7	2.22 (1.08, 4.59)		<b>_</b>	
Zhu et al (2010) <sup>32</sup>	9	30	5	30	6.4	2.14 (0.62, 7.39)			
Total (95% CI)		539		552	100	2.36 (1.74, 3.21)		•	
Total events	148		77						
Heterogeneity: $\chi^2$ =4.33,	df=10 (F	P=0.93	): /²=0%			⊢		I	<u> </u>
Test for overall effect: Z						0.01	0.1	1 10	100
		2.500	,				Favors modified RT	Favors convention	al RT

Figure 3 Forest plots comparing survival rate between modified RT and conventional RT: (A) I-year survival; (B) 3-year survival; (C) 5-year survival. Abbreviations: CI, confidence interval; M–H, Mantel–Haenszel; RT, radiotherapy.

95% CI: 1.83–2.89, P<0.001). The fixed-effect model was used to summarize the studies, as there was no evidence of heterogeneity between two arms (P=0.94,  $I^2$ =0.0%; Figure 3B). For the 5-year survival, there was strong

evidence, based on 11 studies, indicating that patients benefited from the modified RT (OR =2.36, 95% CI: 1.74–3.21, P<0.001). Analysis of heterogeneity indicated that there was no heterogeneity among the included trials (P=0.93,  $I^2=0.0\%$ ). Therefore, the fixed-effect model was used to analyze the summary OR (Figure 3C).

The results of the 1-year local control rate based on 6 studies suggested an obvious advantage for the modified RT group (OR =2.46, 95% CI: 1.72–3.51, P<0.001; Figure 4A). For the 3-year local control rate, the meta-analysis based on 7 trials showed a statistically significant difference that favored the modified RT group (OR =2.08, 95% CI: 1.49–2.90, P<0.001; Figure 4B). For the 5-year local control rate, the results from 5 RCTs indicated that the modified RT improved the 5-year local control rate (OR =2.15, 95% CI: 1.38–3.34, P<0.001; Figure 4C). The heterogeneity analysis for the 1-, 3- and 5-year local control rate included RCTs (P=0.50, P=0.91 and P=0.97, respectively).

Therefore, the fixed-effect models were selected to analyze the pooled ORs.

#### Acute toxicity

Fifteen trials were included in the evaluation of acute radiation esophagitis and 13 trials in the evaluation of acute radiation tracheitis. The occurrences of acute radiation esophagitis and tracheitis were higher in the modified RT group than in the conventional RT group, with an OR value of 1.70 (95% CI: 1.27–2.28, P<0.001) and 1.47 (95% CI: 1.09–1.99, P=0.01), respectively. No evidence of heterogeneity was detected for acute radiation esophagitis (P=0.55, I<sup>2</sup>=0.0%) or acute radiation tracheitis (P=0.44, I<sup>2</sup>=1.0%; Figure 5).

Eight RCTs were available to evaluate the early adverse reactions (esophageal perforation and esophagorrhagia).

A	Reference	Modifie Events		Conven Events		Weight (%)	Odds ratio M–H, fixed, 95% Cl	Odds M–H, f	ratio Fixed, 95% Cl
	Ke et al (2012) <sup>31</sup>	22	30	17	30	11.6	2.10 (0.71, 6.22)		
	Meng (2011)24	23	28	15	28	6.8	3.99 (1.18, 13.50)		
	Peng et al (2001)36	22	106	17	110	33.7	1.43 (0.71, 2.88)		_ <b></b>
	Yang (2011)38	83	100	58	100	25.1	3.54 (1.84, 6.81)		<b>_</b> _
	Zhang et al (2002)35	31	39	21	37	11.3	2.95 (1.07, 8.13)		
	Zhu et al (2010)32	22	30	17	30	11.6	2.10 (0.71, 6.22)		
	Total (95% CI)		333		335	100	2.46 (1.72, 3.51)		•
	Total events	203		145					
	Heterogeneity: $\chi^2$ =4.36	, df=5 (P=0	0.50); <i>I</i>	<sup>2</sup> =0%			H		
	Test for overall effect: 2						0.0	1 0.1	1 10 100
			0.0000	.,				Favors modified RT	Favors conventional RT
в		Modifie	d RT	Conven	tional RT	Weight	Odds ratio	Odds	ratio
	Reference	Events	Total	Events	Total	(%)	M–H, fixed, 95% CI	M–H, f	ïxed, 95% Cl

Reference	Events	Total	Events	Total	(%)	M–H, fixed, 95% CI		M–H, fixe	d, 95% Cl	
Ke et al (2012) <sup>31</sup>	16	30	11	30	10.7	1.97 (0.70, 5.54)		_		
Meng (2011)24	13	28	6	28	6.7	3.18 (0.99, 10.23)				
Pei and Zhu (2000)29	26	46	15	46	13.6	2.69 (1.15, 6.28)			<b>_</b>	
Peng et al (2001)36	16	106	11	110	19.1	1.60 (0.71, 3.63)			<b></b>	
Yang (2011)38	31	100	16	100	23.0	2.36 (1.19, 4.67)			— <b>—</b>	
Zhang et al (2002)35	18	39	14	37	16.1	1.41 (0.56, 3.52)			<b></b>	
Zhu et al (2010) <sup>32</sup>	16	30	11	30	10.7	1.97 (0.70, 5.54)		—	<b></b>	
Total (95% CI)		379		381	100	2.08 (1.49, 2.90)			•	
Total events	136		84							
Heterogeneity: $\chi^2=2.10$	), df=6 (P=	0.91); <i>I</i>	<sup>2</sup> =0%			0		1	i i	
Test for overall effect: 2	Z=4.27 (P<	0.0001	)			0.	.01	0.1	1 10	100
	(		,				F	avors modified RT	Favors conve	ntional RT

С		Modifie	d RT	Conven	tional RT	Weight	Odds ratio		Odds rati	0	
	Reference	Events	Total	Events	Total	(%)	M–H, fixed, 95% C	i I	M–H, fixe	d, 95% Cl	
	Huang and Gao (2001)28	14	26	13	35	18.7	1.97 (0.70, 5.54)		_	<b>_</b>	
	Ke et al (2012)31	13	30	8	30	16.6	2.10 (0.71, 6.22)		_	<b>_</b>	
	Pei and Zhu (2000)29	24	46	13	46	22.8	2.77 (1.17, 6.57)				
	Peng et al (2001)36	13	106	8	110	25.2	1.78 (0.71, 4.49)		_		
	Zhu et al (2010)32	13	30	8	30	16.6	2.10 (0.71, 6.22)		_		
	Total (95% CI)		238		251	100	2.15 (1.38, 3.34)			•	
	Total events	77		50							
	Heterogeneity: $\chi^2=0.52$ , a	df=4 (P=0	0.97); <i>I</i>	<sup>2</sup> =0%							
	Test for overall effect: Z=	3 41 (P=(	0 0007	)				0.01	0.1 1	I 10	100
		J (/ V	0.0001	,					Favors modified RT	Favors conventi	onal RT

Figure 4 Forest plots comparing local control rate between modified RT and conventional RT: (A) I-year local control; (B) 3-year local control; (C) 5-year local control. Abbreviations: CI, confidence interval; M–H, Mantel–Haenszel; RT, radiotherapy.

Reference	Modified Events		Conven Events	tional RT Total	Weight (%)	Odds ratio M–H, fixed, 95% Cl	Odds ratio M–H, fixed, 95% Cl
Chen and Lin (2007) <sup>26</sup>	12	50	14	50	15.4	0.81 (0.33, 1.99)	
Fan et al (2000)37	10	48	7	48	8.0	1.54 (0.53, 4.46)	
Guo et al (2011) <sup>25</sup>	18	26	21	39	7.5	1.93 (0.68, 5.48)	
Huang and Gao (2001)28	9	26	3	35	2.4	5.65 (1.35, 23.67)	
Ke et al (2012)31	24	30	19	30	5.5	2.32 (0.72, 7.41)	
Li et al (2003) <sup>34</sup>	10	48	7	50	7.9	1.62 (0.56, 4.67)	
Meng (2011) <sup>24</sup>	10	28	9	28	8.4	1.17 (0.39, 3.55)	
Pei and Zhu (2000)29	12	46	11	46	11.8	1.12 (0.44, 2.89)	
Qian et al (2000) <sup>39</sup>	13	34	6	35	5.3	2.99 (0.98, 9.16)	
Sun et al (2006) <sup>20</sup>	29	29	29	29		Not estimable	
Wang (2008)33	11	12	12	14	1.3	1.83 (0.15, 23.15)	
Xie and Shi (1999) <sup>30</sup>	11	11	6	11	0.4	19.46 (0.92, 411.20)	
Zhao and Guo (2002) <sup>27</sup>	25	115	18	112	20.7	1.45 (0.74, 2.84)	
Zhu et al (2010) <sup>32</sup>	24	30	19	30	5.5	2.32 (0.72, 7.41)	
Zhuang et al (2009) <sup>22</sup>	22	22	22	22		Not estimable	
Total (95% CI)		555		579	100	1.70 (1.27, 2.28)	•
Total events	240		203				-
Heterogeneity: $\chi^2$ =10.78,		en 55)				F	
Test for overall effect: $Z=$		,				0.0	1 0.1 1 10 100
	3.33 (F -t	.0004,					Favors modified RT Favors conventional RT
3	Modifie	I RT	Conver	tional PT	Woight	Odds ratio	Odds ratio
Reference	Events		Events	Total	(%)	M–H, fixed, 95% Cl	M–H, fixed, 95% Cl
Chen and Lin (2007) <sup>26</sup>	10	50	11	50	12.7	0.89 (0.34, 2.32)	
Fan et al (2000)37	9	48	8	48	9.4	1.15 (0.40, 3.30)	



Figure 5 Forest plots comparing acute radiation reactions between modified RT and conventional RT: (A) acute radiation esophagitis; (B) acute radiation tracheitis. Abbreviations: CI, confidence interval; M–H, Mantel–Haenszel; RT, radiotherapy.

A fixed-effect model was selected for the pooled analysis because no evidence of heterogeneity was found (P=0.67,  $I^2$ =0.0%; P=0.86,  $I^2$ =0.0%). There was no observed statistically significant difference between the two arms, with an OR value of 1.30 (95% CI: 0.51–3.32, P=0.58) and 0.88 (95% CI: 0.41–1.88, P=0.74), respectively (Figure 6).

### Publication bias and sensitivity analyses

The publication biases were presented by funnel plots and examined by Egger's tests. Publication bias was found only in the analysis of acute esophagitis (t=2.43, P=0.03; Table 2).

Sensitivity analyses were performed to evaluate the stability of our meta-analysis. The results of these analyses showed that the data in this meta-analysis were relatively stable, with low sensibility.

# Discussion

Esophageal carcinomas are characterized by a high mortality rate. RT has become an important treatment method in the multidisciplinary management of esophageal cancer. Presently, the majority of clinical practices have suggested that the failure of malignant tumor treatment by CF RT is primarily due to local recurrence. Furthermore, Struikmans et al<sup>40</sup> have also shown that the accelerated proliferation of living tumor stem cells during RT is a primary cause for failures in the treatment of squamous cell carcinoma of the digestive and upper respiratory tracts. Therefore, inhibition of the accelerated repopulation of the tumor stem cells is a key factor in improving the survival and local control rates. Even if the primary tumor is resectable, the survival rate remains very low as a result of both surgery-related complications

A Reference	Modifie Events		Conven Events	tional RT Total	Weight (%)	Odds ratio M–H, fixed, 95% Cl	Odds rati M–H, fixe	o d, 95% Cl
Chen and Lin (2007) <sup>26</sup>	0	50	1	50	19.2	0.33 (0.01, 8.21) —		
Fan et al (2000)37	1	48	0	48	6.3	3.06 (0.12, 77.09)		
Huang and Gao (2001)28	1	26	0	35	5.2	4.18 (0.16, 106.73)		
Li et al (2003) <sup>34</sup>	1	48	0	50	6.1	3.19 (0.13, 80.23)		
Meng (2011) <sup>24</sup>	0	28	1	28	19.0	0.32 (0.01, 8.24) —	· · · · ·	
Pei and Zhu (2000)29	1	46	0	46	6.2	3.07 (0.12, 77.24)		
Peng et al (2001)36	0	106	2	110	31.5	0.20 (0.01, 4.29)		
Zhao and Guo (2002)27	2	115	0	112	6.4	4.96 (0.24, 104.39)		•
Total (95% CI)		467		479	100	1.30 (0.51, 3.32)		
Total events	6		4					-
	df=7 (P=0	).67); <i>I</i> 2	=0%			⊢		
Heterogeneity: $\chi^2$ =4.92,						0.01	0.1	1 10 1
Heterogeneity: $\chi^2$ =4.92, Test for overall effect: Z=	0.55 (P=0	).58)				0.01	0.1	1 10 1
	0.55 (P=0	0.58)					Favors modified RT	Favors conventional RT
	0.55 (P=0 Modifie	d RT		tional RT	Weight	F Odds ratio	Favors modified RT Odds rati	Favors conventional RT
Test for overall effect: Z=	,	d RT	Conven Events	tional RT Total	Weight (%)	F	Favors modified RT Odds rati	Favors conventional RT
Test for overall effect: Z=	Modifie	d RT				F Odds ratio	Favors modified RT Odds rati	Favors conventional RT
Test for overall effect: Z= B Reference	Modifie Events	d RT Total	Events	Total	(%)	F Odds ratio M–H, fixed, 95% Cl	Favors modified RT Odds rati	Favors conventional RT
Test for overall effect: Z= <b>Reference</b> Chen and Lin (2007) <sup>26</sup>	Modifie Events	d RT Total	Events 4	Total 50	(%) 26.8	F Odds ratio M–H, fixed, 95% Cl 0.48 (0.08, 2.74)	Favors modified RT Odds rati	Favors conventional RT
Test for overall effect: Z= <b>B</b> <b>Reference</b> Chen and Lin (2007) <sup>26</sup> Fan et al (2000) <sup>37</sup>	Modifie Events	d RT Total 50 48	Events 4 1	<b>Total</b> 50 48	(%) 26.8 10.4	Odds ratio         F           M-H, fixed, 95% CI         0.48 (0.08, 2.74)           0.33 (0.01, 8.22)	Favors modified RT Odds rati	Favors conventional RT
Test for overall effect: Z= <b>B</b> <b>Reference</b> Chen and Lin (2007) <sup>26</sup> Fan et al (2000) <sup>37</sup> Huang and Gao (2001) <sup>28</sup>	Modifie Events 2 0 1	d RT Total 50 48 26	Events 4 1 1	<b>Total</b> 50 48 35	(%) 26.8 10.4 5.7	Odds ratio         F           M-H, fixed, 95% CI         0.48 (0.08, 2.74)           0.33 (0.01, 8.22)            1.36 (0.08, 22.81)	Favors modified RT Odds rati	Favors conventional RT
Test for overall effect: Z= <b>B</b> <b>Reference</b> Chen and Lin (2007) <sup>26</sup> Fan et al (2000) <sup>37</sup> Huang and Gao (2001) <sup>28</sup> Li et al (2003) <sup>34</sup>	Modifie Events 2 0 1 0	d RT Total 50 48 26 48	<b>Events</b> 4 1 1 1 1	<b>Total</b> 50 48 35 50	(%) 26.8 10.4 5.7	Odds ratio         M-H, fixed, 95% CI           0.48 (0.08, 2.74)         0.33 (0.01, 8.22)           1.36 (0.08, 22.81)         0.34 (0.01, 8.56)	Favors modified RT Odds rati	Favors conventional RT
Test for overall effect: Z= <b>B</b> Reference Chen and Lin (2007) <sup>26</sup> Fan et al (2000) <sup>37</sup> Huang and Gao (2001) <sup>28</sup> Li et al (2003) <sup>34</sup> Meng (2011) <sup>24</sup>	Modifie Events 2 0 1 0 0 0	d RT Total 50 48 26 48 28	<b>Events</b> 4 1 1 1 0	<b>Total</b> 50 48 35 50 28	(%) 26.8 10.4 5.7 10.1	Odds ratio         F           M-H, fixed, 95% CI         0.48 (0.08, 2.74)           0.33 (0.01, 8.22)            1.36 (0.08, 22.81)            0.34 (0.01, 8.56)            Not estimable	Favors modified RT Odds rati	Favors conventional RT
Test for overall effect: Z= <b>B</b> Reference Chen and Lin (2007) <sup>26</sup> Fan et al (2000) <sup>37</sup> Huang and Gao (2001) <sup>28</sup> Li et al (2003) <sup>34</sup> Meng (2011) <sup>24</sup> Pei and Zhu (2000) <sup>29</sup>	Modifie Events 2 0 1 0 0 2	d RT Total 50 48 26 48 28 48 28 46	<b>Events</b> 4 1 1 1 0 3	<b>Total</b> 50 48 35 50 28 46	(%) 26.8 10.4 5.7 10.1 20.0	Odds ratio         F           0.48 (0.08, 25% Cl         0.33 (0.01, 8.22)           1.36 (0.08, 22.81)         0.34 (0.01, 8.56)           0.34 (0.01, 8.56)            Not estimable         0.65 (0.10, 4.09)	Favors modified RT Odds rati	Favors conventional RT
Test for overall effect: Z= <b>B</b> <u>Reference</u> Chen and Lin (2007) <sup>26</sup> Fan et al (2000) <sup>37</sup> Huang and Gao (2001) <sup>28</sup> Li et al (2003) <sup>34</sup> Meng (2011) <sup>24</sup> Peig and Zhu (2000) <sup>29</sup> Peng et al (2001) <sup>36</sup>	Modifie Events 2 0 1 0 2 2 2	d RT Total 50 48 26 48 28 48 28 46 106	<b>Events</b> 4 1 1 1 0 3 1	<b>Total</b> 50 48 35 50 28 46 110	(%) 26.8 10.4 5.7 10.1 20.0 6.7	Odds ratio         F           M-H, fixed, 95% CI         0.48 (0.08, 2.74)           0.33 (0.01, 8.22)            1.36 (0.08, 22.81)            0.34 (0.01, 8.56)            Not estimable         0.65 (0.10, 4.09)           2.10 (0.19, 23.47)	Favors modified RT Odds rati	Favors conventional RT
Test for overall effect: Z= <b>B</b> <b>Reference</b> Chen and Lin (2007) <sup>26</sup> Fan et al (2000) <sup>37</sup> Huang and Gao (2001) <sup>28</sup> Li et al (2003) <sup>34</sup> Meng (2011) <sup>24</sup> Pei and Zhu (2000) <sup>29</sup> Peng et al (2001) <sup>36</sup> Zhao and Guo (2002) <sup>27</sup>	Modifie Events 2 0 1 0 2 2 2	d RT Total 50 48 26 48 28 46 106 115	<b>Events</b> 4 1 1 1 0 3 1	<b>Total</b> 50 48 35 50 28 46 110 112	(%) 26.8 10.4 5.7 10.1 20.0 6.7 20.3	Odds ratio         F           M-H, fixed, 95% CI         0.48 (0.08, 2.74)           0.33 (0.01, 8.22)            1.36 (0.08, 22.81)            0.34 (0.01, 8.56)            Not estimable         0.65 (0.10, 4.09)           2.10 (0.19, 23.47)         1.65 (0.39, 7.08)	Favors modified RT Odds rati	Favors conventional RT
Test for overall effect: Z= <b>B</b> <b>Reference</b> Chen and Lin (2007) <sup>26</sup> Fan et al (2000) <sup>37</sup> Huang and Gao (2001) <sup>28</sup> Li et al (2003) <sup>34</sup> Meng (2011) <sup>24</sup> Pei and Zhu (2000) <sup>29</sup> Peng et al (2001) <sup>36</sup> Zhao and Guo (2002) <sup>27</sup> <b>Total (95% CI)</b>	Modifie Events 2 0 1 0 0 2 2 5 5	d RT Total 50 48 26 48 28 46 106 115 467	Events 4 1 1 0 3 1 3 1 4 1 4 4 4 4 4 4 4 4 4 4 4 4 4 4	<b>Total</b> 50 48 35 50 28 46 110 112	(%) 26.8 10.4 5.7 10.1 20.0 6.7 20.3	Odds ratio           M-H, fixed, 95% Cl           0.48 (0.08, 2.74)           0.33 (0.01, 8.22)           1.36 (0.08, 22.81)           0.34 (0.01, 8.56)           Not estimable           0.65 (0.10, 4.09)           2.10 (0.19, 23.47)           1.65 (0.39, 7.08)           0.88 (0.41, 1.88)	Favors modified RT Odds rati M–H, fixe	Favors conventional RT
Test for overall effect: Z= <b>B</b> Reference Chen and Lin (2007) <sup>26</sup> Fan et al (2000) <sup>37</sup> Huang and Gao (2001) <sup>28</sup> Li et al (2003) <sup>34</sup> Meng (2011) <sup>24</sup> Pei and Zhu (2000) <sup>29</sup> Peng et al (2001) <sup>36</sup> Zhao and Guo (2002) <sup>27</sup> Total (95% CI) Total events	Modifie Events 2 0 1 0 0 2 2 5 5 12 df=6 (P=0	d RT Total 50 48 26 48 28 46 106 115 467 0.86); <i>1</i> <sup>2</sup>	Events 4 1 1 0 3 1 3 1 4 1 4 4 4 4 4 4 4 4 4 4 4 4 4 4	<b>Total</b> 50 48 35 50 28 46 110 112	(%) 26.8 10.4 5.7 10.1 20.0 6.7 20.3	Odds ratio         F           M-H, fixed, 95% CI         0.48 (0.08, 2.74)           0.33 (0.01, 8.22)            1.36 (0.08, 22.81)            0.34 (0.01, 8.56)            Not estimable         0.65 (0.10, 4.09)           2.10 (0.19, 23.47)         1.65 (0.39, 7.08)	Favors modified RT Odds rati	Favors conventional RT

**Figure 6** Forest plots comparing early adverse reactions between modified RT and conventional RT: (**A**) esophageal perforation; (**B**) esophagorrhagia. **Abbreviations:** CI, confidence interval; M–H, Mantel–Haenszel; RT, radiotherapy.

and early lymphatic and hematogenous dissemination; this is especially true for squamous tumors in which the primary tumor has invaded the adventitia or adjacent structures (T3–T4).<sup>41</sup> In addition, the potential doubling time of the tumor is closely related to accelerated multiplication. Moreover, the length of time required for CF RT may be unfavorable when treating a proliferating tumor. Shortening the total treatment time may improve the local control rate of the tumor.<sup>42</sup> Therefore, modified HF techniques can be applied and continue to be developed.

Table 2 Publication biases assessment of this meta-analysis	Table	2 Publication	biases assessment	of this	meta-analysis	5
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Outcome	Egger's test (t-value)	P-value
Response rate	-0.16	0.88
One-year survival	-0.49	0.64
Three-year survival	-1.03	0.32
Five-year survival	-0.92	0.38
One-year local control	-0.79	0.47
Three-year local control	-0.35	0.74
Five-year local control	-1.20	0.32
Acute esophagitis	2.43	0.03
Acute tracheitis	1.04	0.33
Esophageal perforation	0.33	0.75
Esophagorrhagia	0.23	0.83

Various schedules of HF and AF of RT in randomized clinical trials have proven to be superior to standard fractionation in multiple tumor types and sites. However, it is likely that the findings regarding acute toxicity have limited the widespread adoption of this approach. In regard to head and neck cancer, a meta-analysis suggested that there was a significant benefit with OS and locoregional control (LRC) at 5 years for hyperfractionated and/or accelerated RT.13 HF improved the LRC by 8%-20% in the treatment of head and neck cancer.<sup>43–46</sup> Perhaps the largest trial of AF versus CF, which included 1,485 patients in Denmark, reported a better overall 5-year LRC and disease-specific survival for the former; however, it also reported a worse acute morbidity, and there were no statistically significant differences in OS and late toxicity.<sup>47</sup> In lung cancer, regardless of whether it was non-small-cell lung cancer or small-cell lung cancer, an individual patient data meta-analysis showed that either hyperfractionated or accelerated RT improved OS with an absolute benefit of 2.5% at 5 years, but increased the risk of acute esophageal toxicity.14 Similar results were also found with bladder cancer.15,16 Modified RT has also been used to treat patients with sarcoma. Donaldson et al<sup>17</sup> noted that a higher acute toxicity was found for HF in the treatment of rhabdomyosarcoma in children.

To the best of our knowledge, this meta-analysis is the first to evaluate the efficacy and safety of accelerated and/or hyperfractionated RT in the treatment of patients with esophageal carcinoma, compared with conventional RT. The included trials of this meta-analysis showed conflicting results on the efficacy and safety of modified RT. In contrast to the results of this study, some of the included studies suggested that the differences in the survival rates and acute toxicity between the modified and the conventional RT groups in patients with esophageal cancer were not statistically significant. The results of this study showed that the accelerated and/or hyperfractionated RT group had an improved response rate, 1-, 3- and 5-year survival, and 1-, 3- and 5-year local control rate in the treatment of esophageal carcinoma, compared with the conventional RT group. With AF, the total fraction is delivered over a shorter number of elapsed days, and with HF, the daily dose is decreased, but there is an increased number of overall treatment dose. The theoretical advantage of this regimen is a decreased opportunity for tumor proliferation to occur during treatment by shortening the overall treatment time. Some reports have suggested that accelerated regeneration always begins at 3-5 weeks after the beginning of RT. At the same time, an increased daily dose should be an effective way to overcome tumor repopulation. The reduction in total treatment time decreases the opportunity for tumor cells to repopulate during RT treatment and, therefore, increases the probability of tumor control with a given total dose.48,49 The data for acute toxicity were also available in this metaanalysis. The overall OR indicated that altered RT increased the incidence of acute radiation reactions, such as radiation esophagitis and tracheitis, which were the primary acute side effects occurring during RT for esophageal cancer. Possible explanations for these results are that the altered RT may increase the total dose of RT and shorten the total treatment time, which is related to the occurrence of acute radiation esophagitis and tracheitis, whereas the RT time and dose in conventional RT are more in line with the biologic characteristics of RT,<sup>50</sup> allowing sufficient time for the repair for related organs and tissues. However, there was no observed statistically significant difference in the early adverse reactions (esophageal perforation and esophagorrhagia) in this meta-analysis. Further observations with an expanded sample size are needed.

The randomized trials assessing hyperfractionated and/ or accelerated RT in esophageal cancer appear to offer clearer results for their efficacy and safety. However, there are several potential limitations to our meta-analysis. First, eligible studies were limited because the RCTs included in this meta-analysis focusing on Chinese patients lacked sufficient statistical power; none of these studies were multicenter clinical trials or multinational samples. Second, most of the 20 studies included a low number of patients, and only 3 trials included more than 100 patients per arm. These low numbers are the reason that it was advantageous and statistically significant for this meta-analysis to use statistical methods to pool the results of individual studies, thereby expanding the sample size. Third, the long-term toxicity data are inaccessible. The adoption of these various schedules of RT depends on the accurate reporting of long-term results. Therefore, for clinicians to be confident in using a specific RT schedule, the long-term toxicity profiles require further clinical research and discussion. Fourth, we compared only the different RT methods and did not take into account their combination with CT. Combination modified RT and CT should be tested for its efficacy and safety in esophageal cancer in the future.

## Conclusion

In Chinese patients with squamous cell esophagus carcinoma, a significant benefit was obtained with modified fractionation RT, suggesting that the appropriateness of its use be reinforced in clinical practice.

## Disclosure

The authors report no conflicts of interest in this work.

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