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#### ORIGINAL RESEARCH

## Overexpression of Romol is an unfavorable prognostic biomarker and a predictor of lymphatic metastasis in non-small cell lung cancer patients

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**Introduction:** Reactive oxygen species modulator-1 (Romo1) is a protein that modulates levels of reactive oxygen species (ROS) and has been reported to affect cancer cell invasion and proliferation via persistent inflammation. Several studies have demonstrated the clinical application of Romo1 as a prognostic marker in non-small cell lung cancer (NSCLC); however, there have been no studies investigating the mechanism by which Romo1 adversely affects the prognosis of these patients.

**Methods:** We examined Romo1, ROS, and vascular endothelial growth factor (VEGF) in tumor tissues immunohistochemically. We conducted survival analyses of patients who had curative resection (n=30) in accordance with clinical parameters including levels of Romo1 expression.

**Results:** Romol levels were associated with serologic inflammatory markers and high lymphatic metastatic tendencies. Significantly longer disease-free survival (68.7 vs 24.2 months, P=0.031) and overall survival (92.7 vs 51.6 months) were observed in the group with low Romol compared with high Romol. Survival outcomes were also significantly associated with serologic inflammatory markers. Spearman's correlation analyses demonstrated significant positive correlations of Romol expression with VEGF-C (P=0.008, R=0.478) and ROS (P=0.016, R=0.436) in tumor samples.

**Conclusion:** The current study demonstrates that Romol induces lymphatic metastasis of NSCLC by modulating persistent inflammation and oxidative stress (ROS)/VEGF signaling. Lymphatic metastasis associated with elevated Romol was shown to be a key reason for unfavorable survival rates.

**Keywords:** non–small cell lung carcinoma, inflammation, reactive oxygen species modulator-1, vascular endothelial growth factor A, reactive oxygen species

#### Introduction

Lung cancer is the leading cause of cancer deaths, with an estimated 1.6 million new cases and 1.4 million deaths annually worldwide.<sup>1</sup> In the Republic of Korea, there are 23,000 new cases and ~16,000 deaths annually, which represents 22.6% of all cancer-related mortalities.<sup>2</sup> The World Health Organization classifies lung cancers into four groups: small cell lung cancer, non-small cell lung cancer (NSCLC) including adeno-carcinoma, squamous cell carcinoma, and large cell carcinoma. Approximately half of all patients with NSCLC which account for about 80% of lung cancer diagnoses<sup>3</sup> are initially diagnosed as having metastatic disease which is not suitable for local treatment; thus, the role of systemic chemotherapy is crucial. Despite increasing use of targeted therapies for systemic treatment including epidermal growth factor receptor,

OncoTargets and Therapy 2018:11 4233-4246

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 Commercial use of this work is published and licensed by Dove Medical Press Limited. The full terms of this license are available at https://www.dovepress.com/terms.php and incorporate the Creative Commons Attribution — Non Commercial (unported, v3.0) License (http://creativecommons.org/licenses/by-nc/3.0). By accessing the work you hereby accept the Terms. Non-commercial uses of the work are permitted without any further permission from Dove Medical Press Limited, provided the work is properly attributed. For permission for commercial use of this work, please see paragraphs 4.2 and 5 of our Terms (https://www.dovepress.com/terms.php). tyrosine kinase inhibitor, and anaplastic lymphoma kinase inhibitor, 5-year survival rates of patients with NSCLC remain disappointingly low at <20%.<sup>4</sup> Recently, the introduction of immune checkpoint inhibitors is promising; however, at present, the only available biomarker for their use is programmed death-ligand 1 (PD-L1).<sup>5</sup> Continued research on appropriate biomarkers, and not just for immunotherapy, is required to develop new anticancer agents, expand indications for targeted agents or immune checkpoint inhibitors, and establish combination therapies required to maximize efficacy.

There is not a great deal of controversy about whether persistent inflammation is a trademark of malignancy.<sup>6</sup> Chronic inflammation is also thought to be one of the barriers against CD8+ T-cells which are major anticancer effector cells in the immuno-oncology field.7 Recently, a novel protein called reactive oxygen species modulator-1 (Romo1), that induces mitochondrial production of reactive oxygen species (ROS), has been reported to affect cancer cell invasion and proliferation via persistent inflammation.8,9 It was first discovered in 2006 as a newly overexpressed gene in malignant tissues that had become resistant in the course of systemic chemotherapy.<sup>10</sup> A few studies have demonstrated the clinical application of Romo1 as a prognostic marker in NSCLC.<sup>11,12</sup> In another study, upregulated Romo1 was shown to be associated with poorer survival rate of patients with hepatocellular carcinoma (HCC) and the authors suggested that cancer invasiveness induced by Romo1 was the reason for poor survival.<sup>13</sup> Romo1 was also shown to have potential as an adverse prognostic marker in patients with colorectal cancer (CRC), and was reported to be associated with high lymphatic metastatic tendency.14

The aim of the current study was to demonstrate the value of Romo1 as a prognostic marker, to check the correlation between Romo1 and serologic biomarkers of persistent inflammation, and to investigate the mechanism by which Romo1 affects the prognosis of patients with NSCLC who had undergone curative resection.

#### Methods

#### Study patients and specimens

We collected formalin fixed, paraffin-embedded samples from patients with NSCLC who underwent curative resection in Korea University Guro Hospital from 2007 to 2010. All patients whose tissues were used in this research provided written informed consent for their use in future research. Patients who had died within 1 month of surgical resection, or received any treatment including chemotherapy or concurrent chemoradiotherapy before surgery, were excluded to reduce bias in the survival analysis. We also excluded three cases whose samples were not available for immunohistochemical (IHC) staining; thus, a total of 30 patients were enrolled. We collected the samples after surgery and verified pathologic staging according to the seventh edition of the American Joint Committee on Cancer (AJCC) Cancer Staging Manual. We also collected relevant clinical data by reviewing medical records retrospectively. This study was performed with permission of the Institutional Review Board of Guro Hospital and written informed consent was obtained from all patients (KUGGR-2010-057).

## Immunohistochemistry for Romo I, vascular endothelial growth factor (VEGF), and ROS

Tissue microarray (TMA) recipient blocks were made by using the collected paraffin blocks as donor blocks. In each donor block, morphologically ideal areas were designated and marked on their respective hematoxylin and eosin stained slides. Tissue cores of 0.6 mm diameter from all donor blocks were taken by a cylindrical tissue puncher (MicroDigital Co., Ltd. Seoul, Korea) and transferred into the hole on the recipient paraffin blocks.

Immunohistochemistry was used to demonstrate ROMO1, VEGF-C, and VEGF-D expression in primary tumor tissues. We deparaffinized the TMA slides with xylene and incubated them with peroxidase blocking reagent (3% H<sub>2</sub>O<sub>2</sub> diluted with dextrose water) for 15 minutes to eliminate endogenous peroxidase activity. Subsequently, we retrieved antigens by steaming samples at 95°C for 20 minutes and freezing them for 10 minutes. Then, we blocked the TMA slides with blocking buffer, and incubated them with antibodies for Romo1 (OriGene, Rockville, MD, USA) at a 1:150 dilution, VEGF-D (Abcam, Cambridge, UK) at a 1:50 dilution, and VEGF-C (Abnova, Taipei City, Taiwan) at a 1:25 dilution. We then treated samples with 3,3'-diaminobenzidine chromogen solution for 7 minutes, followed by counterstaining with hematoxylin and dehydration. Negative control slides without the primary antibody were included.

For determination of ROS levels, the above-constructed TMA blocks were examined using a ROS detection kit (1:100, OxyIHC Oxidative Stress Detection Kit; Merck Millipore, Billerica, MA, USA) according to the manufacturer's instructions.

All IHC staining was evaluated independently under a light microscope by two investigators (Baek-hui Kim and Hong Jun Kim) who were unaware of the clinical information. When cytoplasmic staining was observed, the stained cells were recorded as positive. The staining intensity was graded from 0 to 3, and the staining percentage of positive cells was classified into six grades (percentage score 0-5). Results from the two evaluators were ~80% identical. When the results were discordant between the two investigators, a third party conducted an inspection. IHC score was calculated by multiplying the percentage score by the intensity score (possible range 0-15).

## Serologic inflammatory biomarkers

Evaluation of serologic inflammatory biomarkers, including white blood cell (WBC), neutrophil, lymphocyte, C-reactive protein (CRP), albumin, and platelet (PLT), was performed just before patients' operations. The neutrophil–lymphocyte ratio (NLR) was calculated as the absolute neutrophil count divided by the absolute lymphocyte count; the platelet– lymphocyte ratio (PLR) was calculated as the PLT count divided by the absolute lymphocyte count. The CRP–albumin ratio was calculated as the serum CRP level (mg/L) divided by serum albumin level (g/dL).

## Statistical analysis

We estimated the disease-free survival (DFS) and overall survival (OS) as clinical outcome variables. DFS was defined as the period from surgical resection until the time of the first detection of recurrence, or death from any cause. OS was defined as the period from surgical resection to death from any cause; we censored data for cases without cancer recurrence or death, at the last follow-up. Survival curves were constructed by the Kaplan–Meier method and analyzed by the log-rank test.

We categorized patients into two groups according to each clinical variable. For WBC, CRP, and PLT, patients were grouped by their upper normal values. For albumin level, patients were divided by the lower normal value. For those clinical data with no known normal range (Romo1, NLR, PLR, and CRP-albumin ratio), we performed receiver operating curve (ROC) analysis using 5-year survival rates to determine the optimal cutoff levels. We assessed the relationship between clinical parameters including serologic biomarkers with the Romo1 levels, by chi-square test. Using the Mann-Whitney U test, we measured the associations between Romol levels in two patient groups by clinical parameters. We considered a *P*-value <0.05 as statistically significant and used a Bonferroni corrected P-value ( $P_{\perp}$ ) for multiple comparisons. All statistical analyses were performed using SPSS version 20.0 for Windows (IBM Corporation, Armonk, NY, USA).

## **Results** Patient characteristics

Patient characteristics are shown in Table 1. Among 30 patients, eight (23.3%) were female and 22 (76.7%) were male. Their median age was 65.2 years (range 44–84 years). Eighteen patients (60%) were never smokers and 12 patients (40%) were current or former smokers. Nineteen patients (63.3%) had adenocarcinoma, nine had squamous cell carcinoma (30.0%), and two had pleomorphic carcinoma (6.7%). Eleven patients were stage IIA (36.7%) and 19 patients were stage IIIA (63.3%), meaning that all 30 patients showed postoperative pathologic findings of lymph node metastasis; 11 patients for N1 (36.7%) and 19 patients for N2 (63.3%). Only four patients (13.3%) had lymph node ratio (LNR) <0.1 between the metastatic and examined lymph nodes.

# Romol expression and clinicopathologic parameters

Romo1 staining was typically observed in the cytoplasm of NSCLC cells. Romo1 expression levels were not normally distributed, and a representative example is shown in Figure 1 (average 7.6, range 0-15).

The process for determining the Romo1 cutoff level is described hereafter, and the cutoff was determined to be 8. With this cutoff, we classified 13 patients as being Romo1 low and 17 patients as Romo1 high. We evaluated the clinical parameters in these two groups, and NLR, CRP, CRP– albumin ratio, lymphatic invasion, LNR, and lymph node (N) stage were shown to be significantly associated with Romo1; *P*-values were 0.013, 0.04, 0.001, 0.024, 0.014, and 0.023, respectively (Table 1). These results were supported by the Mann–Whitney *U* test (Table S1).

## Survival analyses

In the analyses described above, upregulated Romo1 was shown to be associated with high LNR, lymphatic invasion, and N2 stage rather than N1 stage, suggesting that Romo1 induces a high lymphatic metastatic tendency in patients with NSCLC. In addition, Romo1 was related to serologic biomarkers consistent with persistent inflammation which is believed to play an essential role in carcinogenesis and cancer progression. These findings suggest that Romo1 might augur an unfavorable prognosis for NSCLC patients. We evaluated the prognostic potential of Romo1, and several inflammatory markers in our cohort. The median follow-up time from curative resection was 38.8 months (range 1.4–115 months) and the median follow-up time among surviving patients was 50.6 months (range 5.4–115 months). Four patients out

| Parameters                     | Romol                | P-value               |       |
|--------------------------------|----------------------|-----------------------|-------|
|                                | <8                   |                       |       |
|                                | Patients,<br>n (%)   | Patients,<br>n (%)    |       |
| Age (years)                    |                      |                       | 0.31  |
| <65                            | 7 (23.3)             | 6 (20.0)              |       |
| ≥65                            | 6 (20.0)             | 11 (36.7)             |       |
| Sex                            | ( )                  | ( )                   | 0.222 |
| Male                           | (36.7)               | 11 (36.7)             |       |
| Female                         | 2 (6.7)              | 6 (20.0)              |       |
| Smoking                        |                      |                       | 0.367 |
| Never                          | 9 (30.0)             | 9 (30.0)              |       |
| Ever                           | 4 (13.3)             | 8 (26.7)              |       |
| Pathology                      |                      |                       | 0.352 |
| Adenocarcinoma                 | 8 (26.7)             | 11 (36.7)             |       |
| Squamous cell carcinoma        | 5 (16.7)             | 4 (13.3)              |       |
| Pleomorphic carcinoma          | 0 (0.0)              | 2 (6.7)               |       |
| Site                           | 2 (1 7)              | 0 (0 ( 7)             | 0.068 |
| Right lung                     | 2 (6.7)              | 8 (26.7)              |       |
| Left lung                      | (36.7)               | 9 (30.0)              | 0.274 |
|                                | 13 (43.3)            | 14 (52.2)             | 0.374 |
| <10,000/μL<br>>10.000/μL       | · · · ·              | 16 (53.3)             |       |
| ≥10,000/µL<br>PLT <sup>a</sup> | 0 (0.0)              | l (3.3)               | 0.141 |
| . = .                          | 12 (40 0)            | 12 (40 0)             | 0.141 |
| <300,000/µL<br>⇒300,000/µL     | 12 (40.0)<br>1 (3.3) | 12 (40.0)<br>5 (16 7) |       |
| ≥300,000/µL                    | 1 (3.3)              | 5 (16.7)              | 0.012 |
| NLR <sup>a</sup>               | 9 (2( 7)             | 2 (10.0)              | 0.013 |
| <2.1                           | 8 (26.7)             | 3 (10.0)              |       |
| ≥2.1                           | 5 (16.7)             | 14 (46.7)             | 0.007 |
| PLR <sup>a</sup><br><101       | 5 (16.7)             | 2 (6 7)               | 0.087 |
|                                | · ,                  | 2 (6.7)               |       |
| ≥101<br>CRPª                   | 8 (26.7)             | 15 (50.0)             | 0.04  |
| <10.5 mg/L                     | 12 (40 0)            | 10 (33 3)             | 0.04  |
| •                              | 12 (40.0)            | 10 (33.3)<br>7 (23.3) |       |
| ≥10.5 mg/L                     | l (3.3)              | 7 (23.3)              | 0141  |
|                                | 1 (2 2)              | E (14 7)              | 0.141 |
| <3.5 g/dL<br>≥3.5 g/dL         | I (3.3)<br>I2 (40.0) | 5 (16.7)<br>12 (40.0) |       |
| CRP-albumin ratio <sup>a</sup> | 12 (40.0)            | 12 (40.0)             | 0.001 |
|                                | 10 (33.3)            | 3 (10.0)              | 0.001 |
| ≥0.6                           | 3 (10.0)             | 14 (46.7)             |       |
|                                | 5 (10.0)             | 14 (10.7)             | 0.024 |
| Lymphatic invasion<br>No       | 10 (33.3)            | 6 (20.0)              | 0.024 |
| Yes                            | 3 (10.0)             | II (36.7)             |       |
| Vascular invasion              | 5 (10.0)             | 11 (56.7)             | 0.177 |
| No                             | 10 (33.3)            | 9 (30.0)              | ••••• |
| Yes                            | 3 (10.0)             | 8 (26.7)              |       |
| Perineural invasion            |                      |                       | 0.936 |
| No                             | 9 (30.0)             | 12 (40.0)             |       |
| Yes                            | 4 (13.3)             | 5 (16.7)              |       |
| LNR                            |                      |                       | 0.014 |
| <0.1                           | 4 (13.3)             | 0 (0.0)               |       |
| ≥0.1                           | 9 (30.0)             | 17 (56.7)             |       |
| N stage                        |                      |                       | 0.023 |
| NI                             | 8 (26.7)             | 3 (10.0)              |       |
| N2                             | 5 (16.7)             | 14 (46.7)             |       |
| T stage                        |                      |                       | 0.713 |
| ТІ                             | 7 (23.3)             | 6 (20.0)              |       |
| T2                             | 8 (26.7)             | 9 (30.0)              |       |

 Table I The proportions of patients with low and high Romol

 expression according to clinicopathologic parameters

Note: <sup>a</sup>Before surgical resection.

Abbreviations: CRP, C-reactive protein; LNR, lymph node ratio; N, lymph node; NLR, neutrophil to lymphocyte ratio; PLR, platelet to lymphocyte ratio; PLT, platelet; Romo I, reactive oxygen species modulator-1; T, tumor; WBC, white blood cell. of 11 with stage IIA and 15 patients out of 19 with stage IIIA underwent vinorelbine plus cisplatin chemotherapy as postoperative adjuvant treatment. At the time of analysis, 14 patients had died (46.7%), and cancer had recurred in 20 patients (66.7%) after surgery. The median follow-up time from recurrence was 16.1 months (range 0.2–77.3 months). Eleven patients with recurrence showed local recurrence, 13 patients had distant metastasis, and four patients had both. Fifteen patients out of 20 who had had recurrent disease underwent palliative chemotherapy; the chemotherapy regimens included pemetrexed/cisplatin, gemcitabine/cisplatin, pemetrexed monotherapy, taxane monotherapy, etoposide/ cisplatin, and gemcitabine/carboplatin. Ten patients had no recurring disease and were still alive.

The median values for Romo1, NLR, PLR, and CRP– albumin ratio were 8.0 (range 0–15), 2.2 (range 0.7–5.9), 130.3 (range 55.9–351.6), and 0.87 (range 0.05–12.7), respectively. To examine the prognostic value of these markers, we chose 5-year survival as the stratifying point for ROC analysis, and the ROC curves of Romo1 and CRP–albumin ratio showed statistical utility being drawn above the reference line (Figure 2). We used the cutoff value that made the sum of sensitivity and specificity the highest. The determined optimal cutoffs for Romo1, NLR, PLR, and CRP–albumin ratio were 8, 2.1, 101, and 0.6, with an area under the curve of 0.715, 0.635, 0.630, and 0.755, respectively.

Analyses for DFS with respect to clinical parameters are shown in Table S2. The mean DFS was 47.4 months (95% CI 30.6–64.2 months). By the log-rank test, Romo1  $\geq$ 8 (*P*=0.031), NLR  $\geq$ 2.1 (*P*=0.024), CRP  $\geq$ 10.5 mg/L (*P*=0.007), and CRP–albumin ratio  $\geq$ 0.6 (*P*=0.004) were significantly associated with shorter DFS (Figure 3).

Analyses of OS with respect to clinical parameters are also summarized in Table S2. Mean OS was 70.0 months (95% CI 53.5–86.6 months). By the log-rank test, Romol  $\geq$ 8 (*P*=0.011), NLR  $\geq$ 2.1 (*P*=0.044), PLR  $\geq$ 101 (*P*=0.026), and CRP–albumin ratio  $\geq$ 0.6 (*P*=0.006) were significantly associated with shorter OS (Figure 4). Based on the number of inflammatory markers identified as risk factors affecting OS, NLR, PLR, and CRP–albumin ratio, patients were divided into three groups (group A=0; group B=1 or 2; group C=3 risk factors), and the OS for each group was compared (Figure 5). OS was significantly shorter in group C compared with group A (*P*=0.045) or B (*P*=0.024).

## Relationship between Romol and VEGF

Romol regulates mitochondrial release of ROS, which induces angiogenesis/lymphangiogenesis via hypoxia-inducible

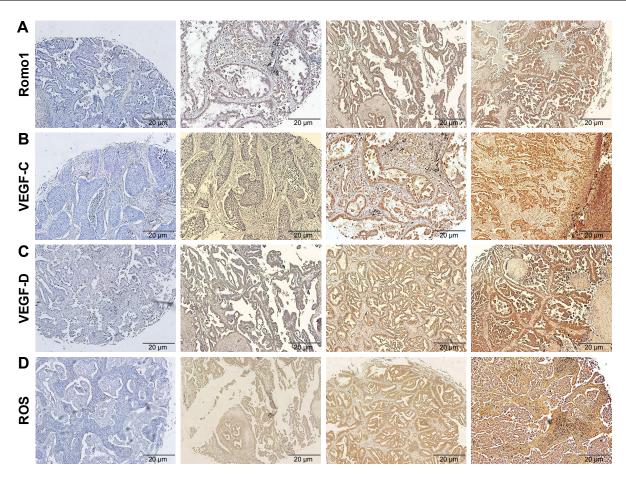


Figure I Representative IHC-stained tissue samples. Magnification, ×100; (**A**) Romo I, negative control, IHC score of 2, 10, and 15; (**B**) VEGF-C, negative control, IHC score of 2, 9, and 15; (**C**) VEGF-D, negative control, IHC score of 2, 8, and 15; (**D**) ROS, negative control, IHC score of 1, 7, and 15. **Abbreviations:** IHC, immunohistochemical; Romo I, reactive oxygen species modulator-I; ROS, reactive oxygen species; VEGF, vascular endothelial growth factor.

factor/VEGF signaling.<sup>15</sup> Since Romo1 seems to influence a high lymphatic metastatic tendency, which is also associated with VEGF-C and VEGF-D, we investigated the correlation between Romo1 and VEGF-C, and VEGF-D in clinical samples by IHC staining (Figure 1). To determine whether a relationship exists between Romo1 expression, VEGF-C, VEGF-D, and ROS, correlation analyses were performed in paired tissue samples. Spearman's correlation analyses demonstrated significant positive correlations of Romo1 with VEGF-C (P=0.008, R=0.478) and ROS (P=0.016, R=0.436) (Figure 6).

## Discussion

In this study, Romo1 overexpression was shown to be significantly correlated with early recurrence and an unfavorable prognosis in NSCLC patients who underwent curative surgery. There were also significant associations of unfavorable clinical outcomes with novel inflammatory biomarkers including NLR, PLR, and CRP–albumin ratio. Furthermore, there was significant association of Romo1 with high LNR, lymphatic invasion, and N2 stage rather than N1 stage, demonstrating that the Romo1 expression level is related with lymphatic metastatic proclivity. In addition, Romo1 was associated with serum biomarkers of persistent inflammation, although this was likely predictable given that Romo1 controls oxidative stress. Considering both the oxidative stress/inflammation related with Romo1 and the lymphatic metastatic tendency associated with Romo1, we were able to speculate about a significant correlation between Romo1 and VEGF, and our subsequent analyses support it.

These results suggest that Romo1 might affect lymphatic metastasis of the primary tumor by regulating chronic inflammatory and oxidative stress (ROS)/VEGF signaling. Lymphatic metastasis related with Romo1 appears to be an essential correlate of the unfavorable clinical outcomes related to Romo1 overexpression. To our knowledge, this is the first study to provide a new hypothesis that lymphatic metastasis is the key reason for poor survival of NSCLC patients with elevated Romo1 and to propose persistent inflammation and oxidative stress/VEGF pathway as a possible mechanism by which Romo1 induces lymphatic metastasis of NSCLC.

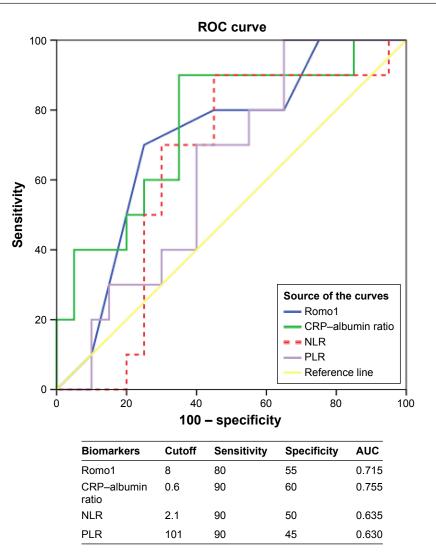


Figure 2 ROC analysis to set best cutoff for Romo I and serologic inflammatory biomarkers (NLR, PLR, and CRP-albumin ratio).

Abbreviations: AUC, area under the curve; CRP, C-reactive protein; NLR, neutrophil to lymphocyte ratio; PLR, platelet to lymphocyte ratio; ROC, receiver operating curve; Romo I, reactive oxygen species modulator-I.

ROS levels were shown to be increased in various malignant diseases and associated with carcinogenesis and cancer progression;<sup>9</sup> thus, studies on Romo1, a key regulator of mitochondrial ROS,<sup>10</sup> have mainly been performed in the oncology field, especially in regard to Romo1's role in cancer cell invasiveness. In an earlier study, Romo1 knockdown induced downregulation of cell proliferation by blocking the extracellular signal-regulated kinases (ERK) pathway. Given that the ERK pathway is crucial for cell cycle entry, this finding suggests that Romo1 causes persistent oxidative stress via increasing ROS, resulting in carcinogenesis as well as cell proliferation.<sup>8</sup> In another study, nuclear factor kappa B (NF-kB) signaling pathway was downregulated by Romo1 knockdown, suggesting that Romo1 induces cancer cell invasion by upregulating the NF-kB pathway. Increasing invasiveness accelerated by Romo1 was interrupted by an inhibitor of kB kinase (IKK), demonstrating the cooperative relationship between the NF-kB pathway and Romo1.<sup>16</sup>

Since Romo1 was first found a decade ago, there have been studies demonstrating Romo1 as a prognostic biomarker in HCC, CRC, and NSCLC,<sup>11,13,14</sup> but no study has investigated the mechanism by which Romo1 adversely affects prognosis of cancer patients. We have shown here that Romo1 expression is correlated with lymphatic invasion of the primary tumor, but not vascular or neural invasion; whether it is invasion of the primary tumor into lymphatics or vasculature currently depends on the lymphangiogenic or angiogenic vessels rather than the dormant vessels,<sup>17</sup> and the progression to lymphangiogenesis or angiogenesis depends on specific and different pro-angiogenic factors.<sup>18</sup>

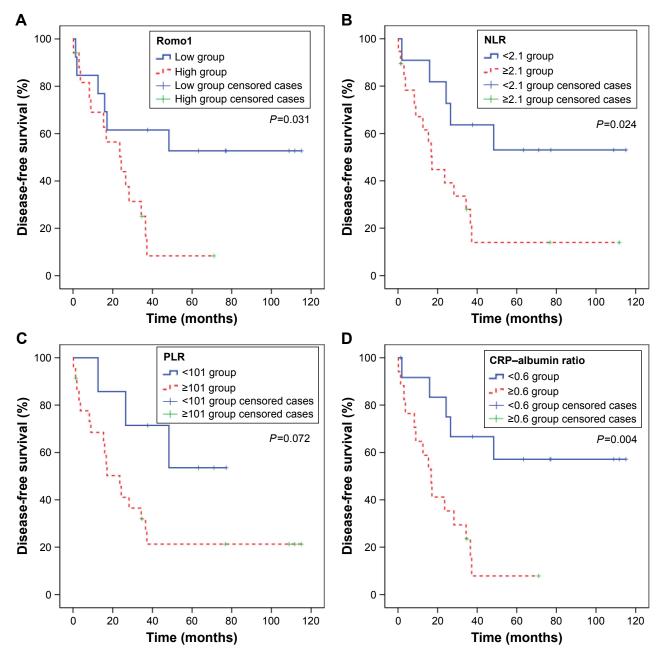


Figure 3 Kaplan–Meier curves of disease-free survival according to Romol (A), NLR (B), PLR (C), and CRP–albumin ratio (D). Abbreviations: CRP, C-reactive protein; NLR, neutrophil to lymphocyte ratio; PLR, platelet to lymphocyte ratio; Romol, reactive oxygen species modulator-I.

The VEGF gene family, which includes placental growth factor, VEGF-A, VEGF-B, VEGF-C, and VEGF-D,<sup>19</sup> has signal proteins that induce angiogenesis and lymphangiogenesis. Among them, VEGF-C and VEGF-D are reported to promote the growth of tumor-associated lymphatic vessels and enhance lymphatic metastasis.<sup>20</sup> Based on both the fact that Romo1 is an upstream regulator of ROS, which has a cooperative relationship with the VEGF family,<sup>21</sup> and the hypothesis that Romo1 may cause lymphangiogenesis, authors investigated the correlation of Romo1 with VEGF-C and VEGF-D. In the current study, Romo1 was significantly related only with VEGF-C, suggesting that Romo1 might cause lymphatic metastasis via oxidative stress/VEGF-C signaling.

Romo1 could be a druggable target. Upregulated Romo1 has been reported to be associated with cancer invasiveness in HCC patients,<sup>13</sup> and high lymphatic metastatic tendency in CRC patients<sup>14</sup> as well as our study patients. Because the main driver of mortality in cancer is metastasis,<sup>22</sup> targeting Romo1 might improve survival rates. In fact, considering

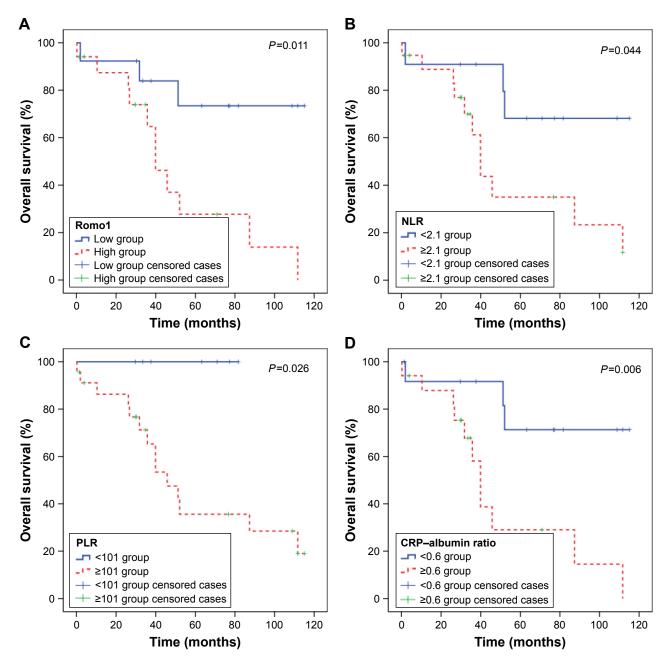


Figure 4 Kaplan–Meier curves of overall survival according to Romo I (A), NLR (B), PLR (C), and CRP–albumin ratio (D). Abbreviations: CRP, C-reactive protein; NLR, neutrophil to lymphocyte ratio; PLR, platelet to lymphocyte ratio; Romo I, reactive oxygen species modulator-I.

that chronic ROS stress is thought to be harmful in cancer progression, several antioxidants have been tested for their ability to prevent cancer invasion; however, all have shown disappointing results.<sup>23</sup> Rather than terminating ROS already released by mitochondria, regulating Romo1 may be a better option, making it a promising drug target.

In this study, Romo1 expression showed a significant correlation with novel inflammatory biomarkers, including NLR, PLR, and CRP–albumin ratio. Lately, these markers have been identified in several cancers as easily measurable prognostic indicators, and many studies suggest that systemic persistent inflammation has an essential role in cancer biology.<sup>6,24</sup> Considering that Romo1 is a regulator of ROS and correlates with inflammatory biomarkers, we think that carcinogenic inflammation could be regulated by targeting Romo1.

Inflammation has both tumor suppressing and tumor promoting features; acute inflammation inhibits cancer progression, while chronic inflammation induces carcinogenesis.<sup>7</sup> This recognition guided clinical researchers into

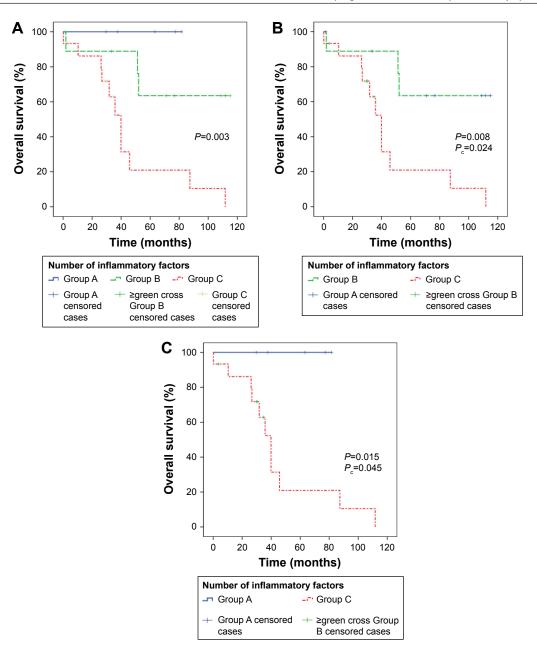


Figure 5 Kaplan-Meier curves of overall survival according to the number of inflammatory risk factors (**A**). Analyses using Bonferroni corrected P values (P), showed that overall survival was significantly shorter in group C compared with group B (**B**) or group A (**C**). Number of risk factors: group A =0; group B =1 or 2; group C =3.

the immuno-oncology field in pursuing research that promotes the cytotoxic properties of antigen-specific T-cells and/or suppresses cancer promoting inflammation.<sup>7</sup> For over a decade, the immuno-oncology field has been in the spotlight, and admired for showing innovative practice changing successes.<sup>25</sup> However, given the absence of narrow patient selection based on a proper prediction of the therapeutic effect, immunotherapy has been considered as only stratified medicine rather than precision medicine like targeted agents. Microsatellite instability status and PD-L1 expression status have been studied as predictive markers in a few cancers including NSCLC and CRC.<sup>26,27</sup> Romo1 also has potential as a proper predictive biomarker for immune checkpoint inhibitors, considering both the fact that Romo1 induces chronic harmful inflammations, and the fact that the tumor microenvironment corrupted by chronic inflammations is one of the barriers of major anticancer effector cells in immuno-oncology, CD8<sup>+</sup> T-cells.<sup>7,28</sup> Based on these, Romo1 is expected to have possible roles as not only a predictive biomarker but also a target of drugs, which could be combined with immune checkpoint blockade therapy, because regulating harmful inflammation by targeting Romo1 could be beneficial for avoiding the resistance of immunotherapeutic approaches.

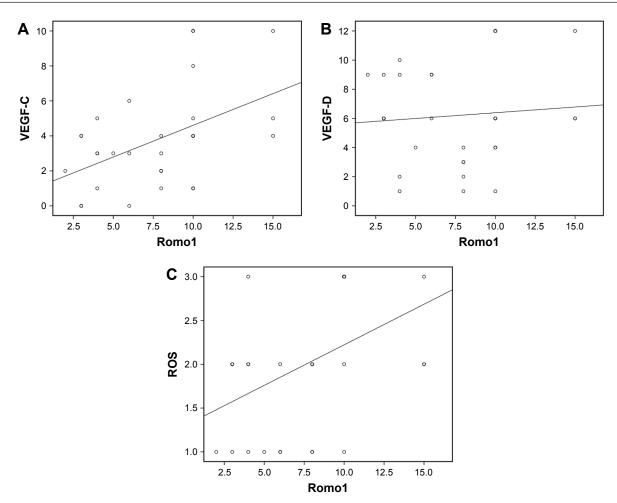


Figure 6 Spearman's correlation analyses evaluating correlation among Romo I, VEGF-D, and ROS; Romo I expression levels showed significant positive correlation with VEGF-C (*P*=0.008, *R*=0.478) (**A**) and ROS (*P*=0.016, *R*=0.436) (**C**) in tumor samples. (**B**) There was no significant correlation between Romo I expression and VEGF-D levels.

Abbreviations: Romo I, reactive oxygen species modulator-I; ROS, reactive oxygen species; VEGF, vascular endothelial growth factor.

#### Limitations

This study has several limitations. First, IHC was used for the quantification of protein levels including Romo1 and VEGF, although the IHC method possibly has variant results. However, there is no standard quantification method established for the analysis of Romo1 expression and IHC is a generally conducted method for measuring protein expression. Not only that, protein expression analyses with a TMA could cause sampling error, because of a small portion of the whole tumor sample. Therefore, caution is needed not to overinterpret results of this study. Second, the results shown represent only associations rather than causations among serum inflammatory markers, overexpression of biomarkers including Romo1, VEGF, and ROS, and clinical variables related with lymphatic metastasis. In order to demonstrate the hypothesis of the mechanism by which Romo1 induces lymphatic metastasis of NSCLC by modulating persistent inflammation and oxidative stress (ROS)/VEGF signaling,

further properly designed in vitro studies are mandated. Third, the current study was conducted at a single center retrospectively with a relatively small sample size. Because our study cohort included patients with a relatively homogenous T stage, it is thought that possible bias in comparative analysis of Romo1 expression between the N1 group and N2 group (stage IIA and stage IIIA) was compromised. This might be the reason why the analyses were able to show statistical significance, even with a relatively small cohort. Our results should be validated by further prospective studies including many patients and using other quantification methods also.

## Conclusion

The current study suggests a new hypothesis that Romo1 can induce lymphatic metastasis of NSCLC by modulating persistent inflammation/VEGF signaling. In this study, lymphatic metastasis related to Romo1 was shown to be a key reason of unfavorable survival rates. With further research, antibodies targeting Romo1 could be designed and used as novel targeted agents. Romo1 could also be used as an indicator of harmful inflammatory conditions, so that researchers could expect possible barriers of immune checkpoint inhibitors and overcome them by targeting Romo1.

## Disclosure

The authors report no conflicts of interest in this work.

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## Supplementary materials

| Table SI Association between Romo | I and clinicopathologic parameters |
|-----------------------------------|------------------------------------|
|-----------------------------------|------------------------------------|

| Parameters                     | Patients, n (%) | Average score of Romol | P-value |
|--------------------------------|-----------------|------------------------|---------|
| All                            | 30 (100)        | 8                      |         |
| Age (years)                    |                 |                        | 0.468   |
| <65                            | 13 (43.3)       | 7                      |         |
| ≥65                            | 17 (56.7)       | 8                      |         |
| Sex                            |                 |                        | 0.251   |
| Male                           | 22 (73.3)       | 7                      |         |
| Female                         | 8 (26.7)        | 9                      |         |
| Smoking                        | ( ),            |                        | 0.59    |
| Never                          | 18 (60.0)       | 8                      |         |
| Ever                           | 12 (40.0)       | 7                      |         |
| Pathology                      |                 |                        | 0.465   |
| Adenocarcinoma                 | 19 (63.3)       | 7                      |         |
| Squamous cell carcinoma        | 9 (30.0)        | 7                      |         |
| Pleomorphic carcinoma          | 2 (6.7)         | 12                     |         |
| Site                           |                 |                        | 0.169   |
| Rt                             | 10 (33.3)       | 9                      |         |
| Lt                             | 20 (66.7)       | 7                      |         |
| Romolª                         |                 |                        | N/A     |
| <8                             | 13 (43.3)       | 4                      |         |
| ≥8                             | 17 (56.7)       | 10                     |         |
| WBC <sup>a</sup>               |                 |                        | 0.953   |
| <10,000/μL                     | 29 (96.7)       | 8                      |         |
| ≥10,000/µL                     | I (3.3)         | 8                      |         |
| PLT <sup>a</sup>               |                 |                        | 0.205   |
| <300,000/μL                    | 24 (80.0)       | 7                      |         |
| ≥300,000/μL                    | 6 (20.0)        | 9                      |         |
| NLRª                           | ( ),            |                        | 0.013   |
| <2.1                           | (36.7)          | 5                      |         |
| ≥2.1                           | 19 (63.3)       | 9                      |         |
| PLR <sup>a</sup>               |                 |                        | 0.022   |
| <101                           | 7 (23.3)        | 5                      | •••     |
| ≥101                           | 23 (76.7)       | 8                      |         |
| CRPª                           | 20 (/ 0)        | ·                      | 0.03    |
| <10.5 mg/L                     | 22 (73.3)       | 7                      | 0.00    |
| $\geq$ 10.5 mg/L               | 8 (26.7)        | 10                     |         |
| Albumin <sup>a</sup>           | 0 (20.7)        | 10                     | 0.068   |
| <3.5 g/dL                      | 6 (20.0)        | 10                     | 0.000   |
| ≥3.5 g/dL                      | 24 (80.0)       | 7                      |         |
| CRP–albumin ratio <sup>a</sup> | 24 (00.0)       |                        | 0.002   |
| <0.6                           | 13 (43.3)       | 5                      | 0.002   |
| <0.0<br>≥0.6                   |                 | 9                      |         |
|                                | 17 (56.7)       | 7                      | 0.031   |
| Lymphatic invasion             |                 |                        | 0.031   |
| No                             | 16 (53.3)       | 6                      |         |
| Yes                            | 14 (46.7)       | 9                      | 0.000   |
| Vascular invasion              |                 | -                      | 0.292   |
| No                             | 19 (63.3)       | 7                      |         |
| Yes                            | 11 (36.7)       | 8                      | 0.000   |
| Perineural invasion            | 21 (70.0)       | 0                      | 0.982   |
| No                             | 21 (70.0)       | 8                      |         |
| Yes                            | 9 (30.0)        | 8                      | 0.002   |
|                                | 4 (12 2)        | 3                      | 0.002   |
| <0.1                           | 4 (13.3)        | 3                      |         |
| ≥0.1                           | 26 (86.7)       | 8                      |         |
| N stage                        |                 | ,                      | 0.057   |
| NI                             | 11 (36.7)       | 6                      |         |
| N2                             | 19 (63.3)       | 9                      |         |
| T stage                        |                 | -                      | 0.866   |
| TI                             | 13 (43.3)       | 7                      |         |
| T2                             | 17 (56.7)       | 8                      |         |

Note: "Before surgical resection.

Abbreviations: CRP, C-reactive protein; LNR, lymph node ratio; N, lymph node; N/A, not applicable; NLR, neutrophil to lymphocyte ratio; PLR, platelet to lymphocyte ratio; PLT, platelet; Romo I, reactive oxygen species modulator-I; T, tumor; WBC, white blood cell.

| Parameters                      | Patients, n (%) | Mean DFS (months) | P-value | Mean OS (months) | P-value |
|---------------------------------|-----------------|-------------------|---------|------------------|---------|
| All                             | 30 (100)        | 47.4              |         | 70               |         |
| Age (years)                     |                 |                   |         |                  | 0.079   |
| <65                             | 13 (43.3)       | 64.1              | 0.074   | 86.9             |         |
| ≥65                             | 17 (56.7)       | 32                |         | 56.7             |         |
| Sex                             | ( )             |                   |         |                  | 0.778   |
| Male                            | 22 (73.3)       | 49.4              | 0.778   | 71.3             |         |
| Female                          | 8 (26.7)        | 31.6              |         | 67.8             |         |
| Smoking                         |                 |                   |         |                  | 0.396   |
| Never                           | 18 (60.0)       | 48                | 0.881   | 64.8             |         |
| Ever                            | 12 (40.0)       | 46.6              |         | 75.5             |         |
| Pathology                       |                 |                   |         |                  | 0.221   |
| Adenocarcinoma                  | 19 (63.3)       | 44.1              | 0.325   | 69.1             |         |
| Squamous cell carcinoma         | 9 (30.0)        | 57.9              |         | 73.4             |         |
| Pleomorphic carcinoma           | 2 (6.7)         | 14.2              |         | 14.9             |         |
| Site                            |                 |                   |         |                  | 0.708   |
| Rt                              | 10 (33.3)       | 40                | 0.7     | 66               |         |
| Lt                              | 20 (66.7)       | 51                |         | 72.2             |         |
| Romol                           |                 |                   |         |                  | 0.011   |
| <8                              | 13 (43.3)       | 68.7              | 0.031   | 92.7             |         |
| ≥8                              | 17 (56.7)       | 24.2              |         | 51.6             |         |
| WBC <sup>a</sup>                | 17 (30.7)       | 2 1.2             |         | 51.0             | 0.657   |
| <10,000/µL                      | 29 (96.7)       | 48.1              | 0.797   | N/A              | 0.057   |
| ≥10,000/μL                      | I (3.3)         | 28.2              | 0.777   |                  |         |
| 2 10,000/μL<br>PLT <sup>a</sup> | 1 (3.3)         | 20.2              |         |                  | 0.392   |
|                                 | 24 (00 0)       | 50                | 0 ( 1 1 | 72.0             | 0.392   |
| <300,000/µL                     | 24 (80.0)       | 50                | 0.644   | 73.8             |         |
| ≥300,000/µL                     | 6 (20.0)        | 31.7              |         | 57.8             |         |
| NLR <sup>a</sup>                |                 | 70.4              | 0.00.4  |                  | 0.044   |
| <2.1                            | (36.7)          | 72.4              | 0.024   | 90.4             |         |
| ≥2.1                            | 19 (63.3)       | 30.5              |         | 56.4             |         |
| PLR <sup>a</sup>                |                 |                   |         |                  | 0.026   |
| <101                            | 7 (23.3)        | 55.6              | 0.072   | N/A              |         |
| ≥101                            | 23 (76.7)       | 37.7              |         |                  |         |
| CRP <sup>a</sup>                |                 |                   |         |                  | 0.146   |
| <10.5 mg/L                      | 22 (73.3)       | 59.6              | 0.007   | 76.9             |         |
| $\geq$ 10.5 mg/L                | 8 (26.7)        | 16.4              |         | 56.2             |         |
| Albumin <sup>a</sup>            |                 |                   |         |                  | 0.492   |
| <3.5 g/dL                       | 6 (20.0)        | 31.7              | 0.918   | 58.6             |         |
| ≥3.5 g/dL                       | 24 (80.0)       | 47.7              |         | 73               |         |
| CRP–albumin ratioª              |                 |                   |         |                  | 0.006   |
| <0.6                            | 13 (43.3)       | 76.1              | 0.004   | 92.7             |         |
| ≥0.6                            | 17 (56.7)       | 21.6              |         | 50.9             |         |
| Lymphatic invasion              | ( )             |                   |         |                  | 0.836   |
| No                              | 16 (53.3)       | 51.7              | 0.509   | 70.6             |         |
| Yes                             | 14 (46.7)       | 41.3              |         | 69.4             |         |
| Vascular invasion               |                 |                   |         |                  | 0.716   |
| No                              | 19 (63.3)       | 46.9              | 0.856   | 73.8             |         |
| Yes                             | 11 (36.7)       | 48.3              |         | 60.2             |         |
| Perineural invasion             | ()              |                   |         |                  | 0.749   |
| No                              | 21 (70.0)       | 50.2              | 0.519   | 66.9             |         |
| Yes                             | 9 (30.0)        | 38.8              |         | 74.9             |         |
| LNR                             | × /             |                   |         |                  | 0.057   |
| <0.1                            | 4 (13.3)        | 86.9              | 0.104   | N/A              |         |
| ≥0.1                            | 26 (86.7)       | 40.1              | -       |                  |         |
| N stage                         |                 |                   |         |                  | 0.299   |
| NI                              | 11 (36.7)       | 59.9              | 0.181   | 84.9             | 0.277   |
| N2                              | 19 (63.3)       | 37.3              |         | 62.3             |         |
|                                 |                 |                   |         | V2.0             |         |

#### Table S2 Survival analyses for patients

Note: "Before surgical resection.

Abbreviations: CRP, C-reactive protein; LNR, lymph node ratio; N, lymph node; N/A, not applicable; NLR, neutrophil to lymphocyte ratio; PLR, platelet to lymphocyte ratio; PLT, platelet; Romo I, reactive oxygen species modulator-I; WBC, white blood cell.

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