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ORIGINAL RESEARCH

Hsa_circ_0003998 may be used as a new biomarker for the diagnosis and prognosis of hepatocellular carcinoma

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Guang-Lei Qiao,^{1,*} Li Chen,^{2,*} Wei-Hua Jiang,^{1,*} Cheng Yang,³ Chun-Mei Yang,⁴ Li-Na Song,¹ Ying Chen,¹ Hong-Li Yan,⁵ Li-Jun Ma¹

¹Department of Oncology, Tongren Hospital, Shanghai Jiao Tong University School of Medicine, Shanghai, People's Republic of China; ²Department of Emergency Medicine, Renji Hospital, School of Medicine, Shanghai Jiaotong University, Shanghai, People's Republic of China: ³Department of Special Treatment, Third Affiliated Hospital of Second Military Medical University, Shanghai, People's Republic of China; ⁴Department of Clinical Laboratory Diagnostics, Bei Hua University School, Jilin, People's Republic of China; ⁵Department of Laboratory Diagnosis, and Reproductive Medicine Center, Changhai Hospital, Second Military Medical University, Shanghai, People's Republic of China

*These authors contributed equally to this work

Correspondence: Hong-Li Yan Department of Laboratory Diagnosis, and Reproductive Medicine Center, Changhai Hospital, Second Military Medical University, 168, Changhai Road, Shanghai 200433, People's Republic of China Tel +86 133 2180 5629 Email 13321805629@163.com

Li-Jun Ma

Department of Oncology, Tongren Hospital, Shanghai Jiao Tong University School of Medicine, 419, Hami Road, Shanghai 200336, People's Republic of China Tel +86 181 2122 6769 Email Ijma56@126.com



Background: Circular RNAs (circRNAs) play important roles in the progression of cancers, but the precise role of circRNAs in the diagnosis and prognosis of hepatocellular carcinoma (HCC) remains to be clarified. The aim of the current study was to explore the diagnostic and prognostic values of hsa_circ_0003998 in HCC.

Methods: CircRNAs expression was measured using RNA-seq analysis from HCC tissues (n=6) (three cases with or without portal vein invasion). Hsa_circ_0003998 in 200 pairs of HCC and adjacent noncancerous tissues and HCC cell lines was examined using qRT-PCR and the clinicopathologic significance was determined. We also detected the plasma levels of hsa_circ_0003998 in HCC, hepatitis B patients and healthy controls. The clinical diagnosis and prognostic values were further determined using receiver operating characteristic (ROC) curve, Kaplan–Meier curve and Cox regression.

Results: Hsa circ 0003998 was upregulated in HCC tissues (P<0.001) and HCC cell lines (HepG2, HuH7, MHCC97H) (P<0.001). In addition, upregulation of hsa circ 0003998 level was associated with higher serum alpha-fetoprotien (AFP) level (P=0.003), larger tumor diameter (P=0.009), lower differentiation level (P=0.023) and microvascular invasion (P=0.028). The plasma level of hsa circ 0003998 in HCC patients was significantly higher than those in hepatitis B patients (P<0.001) and healthy controls (P<0.001). Its level was significantly reduced after the operation (P<0.001). The area under the ROC curve (AUC) for distinguishing HCC from adjacent noncancerous tissues was 0.894 (95% CI=0.86-0.922, P<0.001), the sensitivity and specificity were 0.84 and 0.8, respectively. Comparing with hepatitis B patients and healthy controls, hsa circ 0003998, respectively, had an AUC value of 0.833 (95% CI=0.763-0.889, P<0.001) and 0.892 (95% CI=0.831-0.937, P<0.001). Their sensitivity and specificity were 0.83, 0.7 and 0.8, 0.84, respectively. Moreover, the combination of hsa circ 0003998 and AFP showed the highest AUC value of 0.947, the sensitivity and specificity were 0.88 and 0.92, respectively. The hsa circ 0003998 (P=0.003) and AFP (P=0.008) levels were independent prognostic factors for HCC. The overall survival of HCC patients with high level of hsa circ 0003998 was significantly poorer than those with low level (P=0.005).

Conclusion: Our findings suggest that hsa_circ_0003998 may be used as a novel potential biomarker for the diagnosis and prognosis of HCC patients.

Keywords: hepatocellular carcinoma, circular RNA, hsa_circ_0003998, biomarker, diagnosis, prognosis

Introduction

Hepatocellular carcinoma (HCC) is the most common malignancy of the liver and the fourth leading cause of cancer-related mortality wordwide.^{1,2} Although surgical

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Circular RNA (circRNA) is a type of endogenous noncoding RNA with a stable structure formed by special loop splicing.⁵ It was regarded as non-functional byproducts of splicing errors.⁶ Recently, mainly because of improvement in high-throughput sequencing and bioinformatics, a growing number of circRNAs has been discovered in a number of cancers.^{7,8} CircRNAs may act as miRNA sponges, protein-binding molecules and templates for translation into polypeptides in the regulation of physiopathology.^{9–11} Increasing studies demonstrate that circRNA-mediated networks involved in cell apoptosis, proliferation, cell cycle control and metastasis in cancer.^{12–15} Furthermore, circRNAs might also act as potential novel diagnostic and prognostic biomarkers of cancer.^{16,17}

In the present study, we utilized RNA-sequencing to determine if there were differentially expressed circRNAs in six cases of HCC tissues (three cases with or without portal vein invasion). We found that hsa_circ_0003998 expression in HCC tissues with portal vein invasion was significantly higher than those without portal vein invasion. Hsa_circ_0003998 is a circRNA that is 304nt in spliced sequence length, and its gene is located at chr20: 47570092–47580435. It derives from exons 6 and 7 of the ADP ribosylation factor guanine nucleotide exchange factor 2 (ARFGEF2) gene. Therefore, we determined the relationship between the clinicopathological features or prognosis of HCC.

Patients and methods

Patients and samples

Two hundred paired HCC and adjacent noncancerous tissues were collected from Tongren Hospital, Shanghai Jiao Tong University School of Medicine, People's Republic of China from August 2013 to September 2015. At the same time, we collected peripheral blood samples from 100 HCC patients, 50 hepatitis B patients and 50 healthy controls. The blood samples of HCC were collected before surgery and after surgery (more than 21 days after surgery), respectively. Five milliliter blood was obtained from each subject in K2-EDTA tubes and centrifuged at 1600g for 10 mins at 4°C, followed by 12,000g for 10 mins at 4°C. The supernatants (plasma) were collected and stored at -80° C until use. HCC was verified by pathologists for routine diagnosis. The clinical staging was determined by the Barcelona clinic liver cancer staging system. The patients underwent preoperative chemoradiotherapy were excluded. All specimens were stored at -80° C in RNA fixer reagent till use. The study was approved by the Human Ethics Committee of Tongren Hospital, Shanghai Jiao Tong University School of Medicine. The study complied with Declaration of Helsinki and all patients agreed to participate in the study and gave written informed consent.

Cell culture

The HCC cell lines HepG2, HuH7, MHCC97H, PLC/PRF/ 5 as well as the immortalized human hepatic cell line L02 were obtained from ATCC. Cells were cultured in DMEM (HyClone, USA) containing 10% fetal bovine serum (Gibco, USA) and 1% penicillin/streptomycin at 37°C in a humidified atmosphere of 5% CO_2 .

Total RNA extraction and reverse transcription

Total RNA from tissues was extracted by using the Trizol reagent (Invitrogen, USA) according to the instruction from the manufacturer. Total RNA from plasma specimens was extracted by using miRNeasy Mini Kit (Qiagen, German). RNA concentration was measured with a NanoDrop ND-1000 (Thermo Fisher Scientific, Waltham, MA, USA). cDNA was synthesized using PrimeScriptTM RT Master Mix (TaKaRa, Dalian, People's Republic of China) according to the manufacturer's protocol (with random primers).

qRT-PCR

qRT-PCR was performed with SYBR Premix Ex TaqTM II (TaKaRa, Dalian, People's Republic of China) on LightCycler 480II real-time PCR system (Roche) following manufacturer's instructions. The primers used for the PCR reactions were hsa_circ_0003998 (forward: 5'-CAG GAGGTGGTGAAGGACAT-3' and reverse: 5'-CCTGA CTGTGCTTCAAACGA-3') and GAPDH (forward: 5'-GGGAGCCAAAAGGGTCAT-3' and reverse: 5'-GAGTC CTTCCACGATACCAA-3'). These primers were synthesized by Sangon Biotech (Shanghai, People's Republic of China). The data were analyzed using the Δ Ct method. All results were expressed as the mean±SD from three repeated experiments.

RNA-seq

RNA-seq libraries were constructed based on the Illumina standard protocols. Total RNA was treated with RiboMinus Eukaryote Kit (Qiagen, Valencia, CA, USA) to remove ribosomal RNAs, and digested with RNAse R to remove linear RNAs. The enriched circRNAs were prepared by the NEBNext Ultra Directional RNA Library Prep Kit for Illumina (NEB, Beverly, MA, USA) following the Illumina protocol. The main steps involved: the fragmentation of circRNA, second-strand cDNA synthesis, end repair, add an A at the 3' end and ligated to adaptors. The ligated cDNA products were purified and subjected to PCR amplification. The libraries were sequenced on the Illumina HiSeq2500 platform (Illumina,USA) on a 100 bp paired-end run.

Sanger sequencing

qRT-PCR products were inserted into a T vector for Sanger sequencing by determining its full-length by Sangon Biotech (Shanghai, People's Republic of China).

Statistical analyses

All statistical data were analyzed using SPSS 13.0 (SPSS Inc., USA) and GraphPad Prism 5.0 (GraphPad software, USA). The differences in the levels of hsa_circ_0003998 were analyzed by the Student's t-test for paired data. Independent sample *t*-test and one-way (ANOVA were determined correlations between expression levels of hsa_circ_0003998 and clinicopathological factors. The receiver operating characteristic (ROC) curve was generated to evaluate its diagnostic value. The cutoff value was calculated according to Youden's index. Kaplan–Meier analysis with log-rank statistic was used to analyze the overall survival (OS). Independent prognostic factors were performed using the Cox regression model. Two-sided *P*<0.05 was considered statistically significant.

Results

Overview of circRNA profiles in HCC tissues

It was obtained that circRNA profiles from six cases of HCC tissues (three cases with or without portal vein invasion) (Table S1). Hierarchical clustering demonstrated the distributions of circRNA profiles (Figure 1). Overall, 121 circRNAs were co-expressed, including 14 upregulated and 8 downregulated circRNAs in HCC tissues with portal vein invasion compared to those without portal vein invasion. Among them, the most prominent circRNA was hsa_circ_0003998.

Hsa_circ_0003998 was up-regulated in HCC tissues and cells

It was measured the expression of hsa_circ_0003998 in 200 paired human HCC and adjacent noncancerous tissues and HCC cell lines using qRT-PCR. The specificity of products was confirmed using electrophoresis (Figure 2A). The accuracy was confirmed by Sanger sequencing (Figure 2B). Hsa_circ_0003998 was up-regulated in HCC tissues (P<0.001) (Figure 2C) and HCC cell lines (HepG2, HuH7, MHCC97H) (P<0.001) (Figure 2D).

Correlations between hsa_circ_0003998 levels and clinicopathological factors in HCC patients

It was analyzed that the association between hsa_circ_0003998 levels and clinicopathological factors of HCC patients. As shown in Table 1, upregulation of hsa_circ_0003998 level was significantly correlated with higher serum AFP level (P=0.003), larger tumor diameter (P=0.009), microvascular invasion (MVI) (P=0.028) and lower differentiation level (P=0.023).

Potential diagnostic values of hsa_circ_0003998

ROC curves were used to determine the diagnostic value of hsa_circ_0003998 in distinguishing HCC from adjacent noncancerous tissues. It was observed that the area under the ROC curve (AUC) was 0.894 (95% CI=0.86-0.922, P<0.001, Figure 3A). The sensitivity and specificity were 0.84 and 0.8, respectively. The value of the cutoff was 9.205.

The plasma level of hsa circ 0003998 in postoperative patients was significantly decreased than those in preoperative patients (post vs pre: 10.79±0.97 vs 10.21±1.2, P < 0.001) (Figure 3B). The plasma level of hsa circ 0003998 in HCC patients was significantly higher than those in hepatitis B patients (P < 0.001) and healthy controls (P<0.001) (Figure 3C). ROC curve showed that, comparing with hepatitis B patients and healthy controls, hsa circ 0003998, respectively, had an AUC value of 0.833 (95% CI=0.763-0.889, P<0.001) (Figure 3D) and 0.892 (95% CI=0.831-0.937, P<0.001) (Figure 3E). Besides, their sensitivity and specificity were 0.83, 0.7 and 0.8, 0.84, respectively. The values of cutoff were 11.345 and 11.255, respectively. Moreover, the combination of hsa circ 0003998 and AFP for discriminating between HCC and healthy controls showed the highest



Figure I Overview of the RNA-seq signatures.

Notes: Hierarchical clustering analysis showed distinguishable circRNA profiles among HCC tissues with or without portal vein invasion (high: with portal vein invasion, low: without portal vein invasion). Yellow indicated higher expression level and purple indicated lower expression level. The red arrow stands for hsa_circ_0003998. **Abbreviation:** HCC, hepatocellular carcinoma.



Figure 2 hsa_circ_0003998 expression features. (A) Electrophoresis of qRT-PCR products. Lane M is DL2000 Marker, lanes I–5 are products. (B) Validation of splicing junction site through sequencing of qRT-PCR products. (C) High levels of hsa_circ_0003998 in HCC tissues (n=200, P<0.001). (D) High levels of hsa_circ_0003998 in HCC cell lines. The \triangle Ct value was determined by subtracting the Ct value of GAPDH from the Ct value of hsa_circ_0003998. Larger Δ Ct value indicated lower level. **P<0.001 represented the statistical differences.

AUC value of 0.947 (95% CI=0.898-0.977, *P*<0.001) (Figure 3F). The sensitivity and specificity were 0.88 and 0.92, respectively.

Potential prognostic values of hsa circ 0003998

The HCC patients were divided into high (n=100) and low (n=100) expression groups according to the median level of hsa_circ_0003998 (Δ Ct=8.235) in HCC tissues. Kaplan-Meier survival curves revealed that high hsa_circ_0003998 expression was significantly correlated with poor OS (*P*=0.005, Figure 4).

On univariate analysis, AFP (P=0.013), TNM stage (P=0.044) and hsa_circ_0003998 expression (P=0.006) were correlated with poor OS in HCC patients (Table 2). Furthermore, Cox multivariate analysis revealed that hsa_circ_0003998 (P=0.003) and AFP (P=0.008) were the independent prognostic factors for poor OS (Table 2).

Discussion

HCC is the sixth high morbidity and the fourth leading cause of cancer mortality worldwide.² HCC is accounted for 80–90% cases of liver cancer. It is rather distressed that the majority of HCC patients are diagnosed at advanced stages, missing the opportunity for curative treatment.¹⁸ Therefore, it is imperative to discover novel tumor markers for early diagnosis of HCC.

Recent reports revealed that the imbalanced expression of circRNAs is common in tumor and played crucial roles in biological processes.¹⁹ Moreover, it is suggested that circRNA might act as a potential diagnostic and prognostic biomarker in HCC.^{20,21} For example, it has been found that hsa_circ_0005075 has diagnostic value in HCC (AUC=0.94).²² Currently looking for a diagnostic target for HCC has become a hot spot for researchers Downregulation of hsa_circ_0001445 in HCC is correlated with tumor differentiation, tumor stage and MVI, and considered as a risk factor for OS and recurrence-free survival.²³

Table I The relationsh	ip between hsa circ 0003998	expression levels ($ riangle {C}$ t	t) and clinical	characteristics of HCC patients

Characteristics	Patient number (total=200)	Mean±SD	P-value
Gender			
Male	108	7.6±1.59	0.077
Female	92	8.01±1.68	
• / >			
Age (years)		772 - 172	0 (79
≤60	91	7.73±1.63	0.678
>60	109	7.83±1.66	
HCC history			
Negative	176	7.82±1.84	0.474
Positive	24	7.56±1.84	
Smoking			
Negative	140	7.85±1.57	0.384
Positive	60	7.63±1.8	
Alcoholism		7.00.1.77	
Negative	134	7.89±1.52	0.213
Positive	66	7.56±1.87	
HBsAg			
Negative	52	8.08±1.63	0.135
Positive	148	7.68±1.64	
Anti-HCV			
Negative	194	7.8±1.66	0.368
Positive	6	7.19±1.13	0.500
		7.17±1.15	
AFP			
≥400 ng/mL	132	7.55±1.7	0.003
<400 ng/mL	68	8.24±1.44	
Child-Pugh classification			
Child-Pugh A	168	7.82±1.65	0.528
Child-Pugh B	32	7.62±1.6	
Tumor diameter			
≥5 cm	142	7.61±1.72	0.009
<5 cm	58	8.22±1.36	0.007
		0.22±1.30	
TNM			
1/11	131	7.92±1.56	0.14
III/IV	69	7.54±1.78	
MVI			
Positive	48	7.33±1.8	0.028
Negative	152	7.93±1.57	
Differentiation	135	7.98±1.48	0.023
High/moderate	65		0.023
Low		7.37±1.89	
BCLC stage			
Stage A	102	7.76±1.75	0.95
Stage B	62	7.84±1.42	
Stage C	36	7.76±1.73	

(Continued)

Table I (Continued).

Characteristics	Patient number (total=200)	Mean±SD	P-value
ALT ≥50 U/L <50 U/L	75 125	7.74±1.66 7.81±1.64	0.766
AST ≥40 U/L <40 U/L	60 140	7.73±1.79 7.81±1.58	0.748
Liver cirrhosis Yes No	122 78	7.66±1.71 7.98±1.52	0.191

Note: Bold values indicate *P*<0.05.

Abbreviations: HCC, hepatocellular carcinoma; HBsAg, hepatitis B surface antigen; HCV: hepatitis C virus; AFP, alpha-fetoprotien; MVI, microvascular invasion; BCLC, Barcelona clinic liver cancer; ALT, alanine aminotransferase; AST, aspartate transaminase.



Figure 3 hsa_circ_0003998 as a biomarker. (A) ROC curves of hsa_circ_0003998 for discriminating between HCC and noncancerous tissues (P<0.001). (B) The plasma levels of hsa_circ_0003998 in preoperative and postoperative patients (P<0.001). (C) The plasma levels of hsa_circ_0003998 in HCC, hepatitis B patients and healthy controls (P<0.001). (D) ROC curves of hsa_circ_0003998 for discriminating between HCC and hepatitis B patients (P<0.001). (E) ROC curves of hsa_circ_0003998 for discriminating between HCC and hepatitis B patients (P<0.001). (E) ROC curves of hsa_circ_0003998 for discriminating between HCC and hepatitis B patients (P<0.001). (E) ROC curves of hsa_circ_0003998 for discriminating between HCC and healthy controls (P<0.001). (F) ROC curves of combination of hsa_circ_0003998 and AFP for discriminating between HCC and healthy controls (P<0.001). (F) ROC curves of combination of hsa_circ_0003998 and AFP for discriminating between HCC and healthy controls (P<0.001).

Abbreviations: ROC, receiver operating characteristic; HCC, hepatocellular carcinoma; AFP, alpha-fetoprotien.

In the current study, circRNA profiles screened by RNA-seq showed that hsa_circ_0003998 was significantly higher in HCC tissues with portal vein invasion than in HCC tissues without portal vein invasion. HCC has the characteristics of easy invasion and metastasis, which are the critical factors of high recurrence rate and poor prognosis.²⁴ To explore the possible effect of

hsa_circ_0003998 in HCC, we found that it was significantly higher in HCC tissues than in adjacent noncancerous tissues (P < 0.001). Moreover, hsa_circ_0003998 level was significantly higher in HCC cell lines than in human hepatic cell line (P < 0.001). Collectively, these findings demonstrated that hsa_circ_0003998 was significantly upregulated in HCC.



Figure 4 The overall survival analysis in HCC patients by Kaplan–Meier method (P=0.005). Abbreviation: HCC, hepatocellular carcinoma.

We also found that upregulation of hsa_circ_0003998 level was significantly associated with higher serum AFP level, larger tumor diameter, lower differentiation level and MVI. The plasma level of hsa_circ_0003998 in HCC patients was significantly higher than those in hepatitis B patients and healthy controls. Besides, the plasma level of hsa_circ_0003998 was significantly reduced after operation. The phenomenon might be due to the decreasing release of tumor-derived nucleic acid after tumor excision. The results suggest that hsa_circ_0003998 plays an important role during tumor-igenesis of HCC.

The early diagnosis of HCC is of paramount importance for good prognosis. Currently, combination of radiology and serum AFP levels fail to diagnose HCC at the early stage.²⁵ Therefore, it is urgent to recognize efficient molecular markers for HCC diagnosis. CircRNAs are endogenous, conserved, abundant and steady in cells, and are related to carcinomas.²⁶ Moreover, in comparison to their cognate linear isoforms, the expression levels of circRNAs are above 10 fold.²⁷ They were appealed as molecular biomarkers for cancer diagnosis and monitoring. The ROC analysis showed that AUC was 0.894, sensitivity and specificity were 0.84 and 0.8 for distinguishing HCC from adjacent noncancerous tissues. Comparing with healthy controls, hsa circ 0003998 had an AUC value of 0.892, the sensitivity and specificity were 0.8 and 0.84, respectively. Moreover, the combination of hsa circ 0003998 and AFP showed the highest AUC value of 0.947, the sensitivity and specificity were 0.88 and 0.92, respectively. Thus, hsa circ 0003998 was recognized as a novel biomarker for distinguishing HCC from non-HCC with favorable sensitivity and specificity.

KaplanMeier analysis suggests that HCC patients with high level of hsa_circ_0003998 had significantly shorter OS than those with low level. Moreover, the Cox regression suggests that hsa_circ_0003998 was the independent favorable predictor of OS in HCC patients, but the functions of circRNAs in carcinogenesis and

Parameters	Regression coefficient β	HR (95% CI)	P-value
Univariate analysis			
Gender	-0.309	0.734 (0.513–1.05)	0.091
Age	-0.026	0.974 (0.682–1.391)	0.885
Smoking	0.212	1.237 (0.845–1.81)	0.274
Alcoholism	0.025	1.025 (0.702–1.496)	0.898
HBsAg	-0.003	0.997 (0.668–1.486)	0.997
AFP	0.5	1.648 (1.112–2.444)	0.013
Tumor diameter	0.286	1.331 (0.889–1.993)	0.165
TNM	0.374	1.454 (1.01–2.093)	0.044
Differentiation	0.306	1.359 (0.938–1.967)	0.105
BCLC stage	-0.039	0.962 (0.76-1.218)	0.747
ALT	0.052	1.053 (0.732–1.514)	0.781
AST	0.191	1.21 (0.827–1.771)	0.326
Liver cirrhosis	0.232	1.261 (0.871–1.826)	0.22
Hsa_circ_0003998 expression	-0.505	0.604 (0.422–0.864)	0.006
Multivariate analysis			
AFP	0.538	1.713 (1.153–2.544)	0.008
Hsa_circ_0003998 expression	-0.539	0.583 (0.407–0.836)	0.003

Table 2 Univariate and multivariate analyses of various prognostic parameters in HCC

Note: Bold values indicate P<0.05.

Abbreviations: HCC, hepatocellular carcinoma; HBsAg, hepatitis B surface antigen; AFP, alpha-fetoprotien; BCLC, Barcelona clinic liver cancer; ALT, alanine aminotransferase; AST, aspartate transaminase; HR, hazard ratios; CI, confidence interval.



Figure 5 TargetScan miRNA predictions.

tumor progression remain to be elucidated clearly. Increasing evidence suggests that circRNA regulates tumor progression via acting as a miRNA sponge or regulating the expression of parental gene.^{9,28,29} This is supported by the finding that circ-ITCH could function as miR-17 and miR-224 sponges and regulate p21 and PTEN genes to suppress aggressive biological behaviors of bladder cancer.³⁰ CircMTO1 may also sponge miR-9 and inhibit its oncogenic effect with circMTO1/ miR-9/p21 axis in HCC.¹⁶ Using bioinformatics program (Circular RNA Interactome, https://circinterac tome.nia.nih.gov/index.html), it is discovered that miR-1184, miR-1243, miR-136, miR-145, miR-197, miR-326, miR-330-5p, miR-513a-5p, miR-576-3p and miR-636 are the potential binding targets of hsa circ_0003998 (Figure 5). This is in line with the findings form Yu et al³¹ that hsa_circ_0003998 acts as an oncogene in non-small cell lung cancer by modulating miR-326/Notch1. Such data suggest that hsa circ 0003998 plays an important role through sponging miRNA in HCC, which may provide a direction for future study to explore the precise mechanism of hsa circ 0003998 in carcinogenesis of HCC both in vivo and in vitro.

Conclusion

In summary, hsa_circ_0003998 was expressed higher in HCC compared to the non-HCC. Hsa_circ_0003998 correlated with AFP, tumor diameter, differentiation and MVI

might be used as a novel potential biomarker for early diagnosis and prognosis of HCC patients.

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Disclosure

The authors report no conflicts of interest in this work.

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Supplementary material

Table SI The patient profiles of HCC samples for RNA-sequencing

Characteristics	Portal vein invasion (n=3)	Without portal vein invasion (n=3)	
Gender			
Male	2	2	
Female	1	I	
Age (years)			
≤60	3	3	
>60	0	0	
HCC history			
Negative	3	3	
Positive	0	0	
HBsAg			
Negative	0	1	
Positive	3	2	
Anti-HCV			
Negative	3	3	
Positive	0	0	
AFP			
≥400 ng/mL	3	3	
<400 ng/mL	0	0	
Child-Pugh classification			
Child-Pugh A	1	1	
Child-Pugh B	2	2	
Tumor diameter			
≥5 cm	2	2	
<5 cm	1	I	
TNM			
1/11	1	1	
III/IV	2	2	
Differentiation			
High/moderate	1	2	
Low	2	I	
BCLC stage			
Stage A	0	0	
Stage B	0	2	
Stage C	3	I	

Abbreviations: HCC, hepatocellular carcinoma; HBsAg, hepatitis B surface antigen; HCV, hepatitis C virus; BCLC, Barcelona clinic liver cancer; AFP, alpha-fetoprotien.

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