

# Tiotropium as an Add-on Treatment Option for Severe Uncontrolled Asthma in Preschool Patients

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**Background:** Toddlers with asthma suffer disproportionally more than school-aged children from exacerbations with emergency visits and hospital admissions despite inhaled corticosteroid (ICS) treatment. A recent trial for children  $\leq 5$  years showed tolerability of tiotropium and potential to reduce asthma-related events.

**Methods:** We conducted a retrospective analysis of electronic outpatient records (2017–2019) of children  $< 6$  years treated with ICS plus long-acting  $\beta_2$ -agonists (LABAs) plus tiotropium as an add-on for uncontrolled severe asthma. The primary endpoint was a comparison of systemic corticosteroid (SCS) prescriptions 6 months before and after ICS/LABA/tiotropium start. Secondary endpoints included physician visits, hospitalisations and antibiotic prescriptions. We compared outcomes with children without asthma matched for age, sex, season and screening date.

**Results:** Compared with a mean 2.42 (95% CI: 1.75, 3.36) SCS courses per patient within 6 months prior to ICS/LABA/tiotropium, 0.74 (95% CI: 0.25, 1.08) SCS courses per patient were prescribed within 6 months after starting ICS/LABA/tiotropium ( $P < 0.001$ ). Physician visits dropped from 9.23 (95% CI: 7.15, 12.72) to 5.76 (95% CI: 3.10, 7.70) per patient ( $P < 0.01$ ). Nineteen hospitalisations were recorded 6 months before ICS/LABA/tiotropium compared with one hospitalisation after ( $P < 0.01$ ). A mean 1.79 antibiotic courses (95% CI: 1.22, 2.23) per patient were prescribed before ICS/LABA/tiotropium compared with 0.74 (95% CI: 0.22, 1.00) after ICS/LABA/tiotropium ( $P < 0.001$ ). Hospitalisation rates for patients at observation end were not statistically different from healthy controls before/after matching.

**Interpretation:** Our retrospective study showed that adding tiotropium to ICS/LABA is a new treatment option for patients with severe preschool asthma; however, larger confirmatory studies are needed.

**Keywords:** preschool asthma, severe uncontrolled asthma, tiotropium, inhaled steroids, long-acting  $\beta_2$ -agonists, LABAs

## Introduction

Asthma is the most common chronic disease in children, imposing a high lifetime burden on individuals, their caregivers and healthcare systems.<sup>1</sup> Asthma is one of the main causes of hospitalisation in children and is particularly common in those aged  $< 5$  years.<sup>2</sup> Young children with asthma have increased vulnerability to adverse outcomes due to smaller airways, and possibly increased bronchial reactivity, in comparison with older children.<sup>3</sup>

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Diagnosing asthma in preschool children is challenging due to the heterogeneity of the disease, the continuing development of the immune system in a young population and the lack of diagnostic options such as lung function measurements.<sup>4,5</sup> Recurrent wheezing occurs in a large proportion of preschool children, typically with viral respiratory tract infections, but deciding when this is the initial presentation of asthma is often difficult for health-care professionals.<sup>5,6</sup>

Validated treatment options are scarce due to the paucity of data overall and lack of conclusive studies in such a young patient population. The Global Initiative for Asthma (GINA) recommends treatment with daily low-dose inhaled corticosteroids (ICS) for preschool children with persistent asthma.<sup>7</sup> If symptoms do not improve or become worse, increasing the dose of ICS or adding additional treatment with leukotriene receptor antagonists (LTRAs) is recommended.<sup>6,8,9</sup> However, ICS therapy in preschool children with persistent asthma has its limitations: whilst a recent meta-analysis found strong evidence to support daily ICS use, with a reduction in exacerbations of 44%, total prevention of exacerbations was not achieved.<sup>10</sup> Continuous use of ICS in children is associated with side effects including growth retardation; keeping the ICS dose low considerably reduces side effects.<sup>11</sup> At present, there are insufficient data for guidelines to recommend additional controller therapies, such as combinations of ICS with long-acting  $\beta_2$ -agonists (LABAs) or long-acting muscarinic antagonists (LAMAs) in this age group.<sup>7</sup>

Tiotropium is the only registered and recommended LAMA add-on therapy for use in children >6 years and adults.<sup>12–14</sup> Our group recently showed that inhalation of tiotropium using a soft mist inhaler is suitable for children aged <5 years, although children aged <5 years needed assistance from a parent/caregiver and/or a valve holding chamber.<sup>15,16</sup> Safety and tolerability of tiotropium have been shown to be similar to those of placebo, and improvements in lung function have been shown across various age groups and asthma severities.<sup>12–14,17</sup> A recent study in young children (aged 1–5 years) with persistent asthmatic symptoms found that tiotropium added to ICS with and without additional controller medicine was well tolerated and showed potential to reduce the risk of asthma exacerbations compared with placebo.<sup>17</sup>

Since our centre was strongly engaged in the development programme of tiotropium, we were optimistic that adding a LAMA to ICS might be of great value for children with uncontrolled preschool asthma. Additionally, Frankfurt

serves as one of the four major asthma clinics in Germany. We get referral of many patients with uncontrolled preschool asthma. Taking our early experience with LAMA into account, we started to use tiotropium more liberally, in severe cases, outside a controlled study although tiotropium is still not licensed in children below 6 years in Europe.

The aim of this retrospective, real-life pilot study was to evaluate therapeutic outcomes including number of hospitalisations, severe exacerbations with systemic corticosteroid (SCS) demand, number of physician visits and number of antibiotic prescriptions, 6 months before and 6 months after the start of therapy with ICS/LABA/LAMA in children aged <6 years with severe preschool asthma. The results are compared with healthy children matched for age, sex and screening date.

## Methods

We carried out a retrospective analysis of the electronic medical records (Medistar CompuGroup Medical SE & Co. KGaA, Hannover, Germany) of our paediatric and adolescent outpatient clinic for allergic and respiratory diseases at the University Hospital in Frankfurt, Germany from 2017 to 2019 to identify children who had commenced ICS/LABA/tiotropium therapy.

Before starting treatment with tiotropium, a detailed verbal and written explanation took place about the possible benefits and side effects of tiotropium as well as the off-label use. Prior to retrospective data collection, written informed consent was obtained from all caregivers. Additionally, caregivers consented to us contacting the local physician to get all external data transferred to our clinic and to have these data published. Ethics approval was obtained from the ethics committee of Goethe University in Frankfurt (application number 149/19). The study was conducted in accordance with the Declaration of Helsinki.

The diagnostic criteria and treatment algorithm in these patients were completely similar and based on current GINA guidelines, starting with ICS and if patients were still uncontrolled adding a second preventer like montelukast, LABA or both treatment options. Tiotropium as an add-on treatment to ICS/LABA was recommended by the paediatric pneumology staff after discussing each single case with severe uncontrolled asthma in detail. In addition, we contacted the local physicians/paediatricians of these children and asked them to send us a print-out of their electronic charts 6 months before and 6 months after starting ICS/LABA/

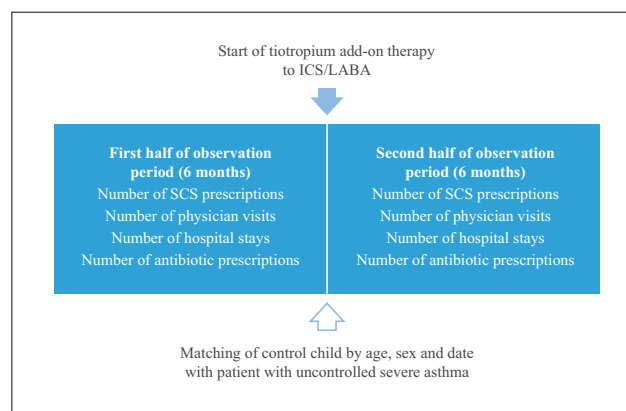
tiotropium therapy to document all consultations and antibiotic prescriptions in this two-part observation period.

To be included in the study, patients had to be diagnosed with uncontrolled severe asthma (as defined by Pedersen et al<sup>18</sup>); in addition, patients had  $\geq 1$  asthma exacerbation within 6 months prior to screening and had to be aged between  $>6$  months and  $<6$  years at the time of the first ICS/LABA/tiotropium dose. Children suffering from an additional genetic disease such as cystic fibrosis, other significant lung diseases or immunodeficiencies were excluded. The primary endpoint was the number of SCS treatments in the group of children with severe uncontrolled asthma after 6 months of ICS/LABA/tiotropium treatment compared with the 6 months before treatment. Secondary endpoints included the number of physician visits (local paediatrician), hospital stays and antibiotic prescriptions. Since the numbers of physician visits and antibiotic prescriptions in this age group were not known, these outcomes were compared to a healthy control group. We also evaluated treatment success (as estimated by parents or caregivers) using a 10-point Likert scale, with a rating of 10 suggesting very good improvement and a rating of 0 meaning no improvement at all. Healthcare utilisation, including visits to the local paediatrician, prescriptions of antibiotics and rate of hospitalisations, was compared pre and post ICS/LABA/tiotropium treatment within the group of children with asthma as well as compared to the healthy control group.

## Statistical Analysis

Basic descriptive statistics including absolute and relative frequency distributions and two-sided 95% confidence intervals (CIs) are reported. Statistical analyses were performed with GraphPad Prism 5.0 (GraphPad Software, San Diego, CA, USA). For comparisons, the Mann–Whitney *U*-test or the Wilcoxon–Mann–Whitney test and Fisher test were used.  $P < 0.05$  is statistically significant.

Healthy controls were matched by sex, time interval of observation and age; ie, if a patient with asthma received their first dose of ICS/LABA/tiotropium in December, a control child with the same age, sex and time interval (6 months pre and 6 months after the selection date) was selected by the data bank of the local physician. If no control was available with the exact birthday, a control with the least difference in age was selected. This allowed us to seasonally match the controls to patients (Figure 1). We included this control group since no data on physician visits or antibiotic prescriptions in this age group were available in Germany. All healthy age- and sex-matched



**Figure 1** Design of the retrospective study.

**Abbreviations:** ICS, inhaled corticosteroids; LABA, long-acting  $\beta_2$ -agonists; SCS, systemic corticosteroids.

controls were recruited by a local general paediatrician. All patient and control subject data were anonymised.

## Results

In 2017–2019, 2184 patients aged 0–18 years were treated in our outpatient clinic. Of these, 934 (42.7%) were aged  $<6$  years; of these, 436 were diagnosed with preschool asthma. Twenty-one patients in this group (4.8%) received ICS/LABA/tiotropium therapy due to uncontrolled severe asthma. For 19 of these 21 patients, we were able to collect data on SCS prescriptions, hospitalisations and antibiotic prescriptions from their local paediatricians for the 6 months before and after start of their ICS/LABA/tiotropium therapy. Missing data are either due to unwillingness of the paediatrician to share the electronic charts or due to a frequent change of physicians, meaning that we could not follow up the medical history of the child. Data for the children in the control group are complete. Baseline characteristics of the 21 children with severe uncontrolled asthma treated with ICS/LABA/tiotropium are summarised in Table 1. In addition to these 21 patients with uncontrolled severe asthma, baseline data for 42 age- and sex-matched controls are also presented. Within the uncontrolled severe asthma group, the median duration of asthma was 23 months; 42% had received at least ICS/LABA, and 58% had received ICS, LABA and LTRA. The mean daily dose of fluticasone was 200  $\mu\text{g}$ . More than half of the patients with asthma had a family history of atopy; in the control group, patients with a family history of atopy and with atopic dermatitis were excluded. Six patients had a positive prick test  $\geq 3$  mm. One was mono-sensitised to mites, one was positive to mites and grass

**Table 1** Patient and Control Demographics Before Switching to ICS/LABA/Tiotropium Treatment

Demographic Data 6 Months Before ICS/LABA/LAMA Treatment	ICS/LABA/LAMA (n=21)	Controls (n=42)	P
Age, months (range)	21.7 (6–46)	21.7 (6–46)	
Sex (male/female), n	11/10	22/20	
Family history of atopy, n (%)	11 (52.4)	0	<0.001
Atopic dermatitis, n (%)	6 (28.6)	0	<0.001
Allergy (prick $\geq 3$ mm), n (%)	6 (28.6)	0	<0.001
Passive smoke exposure	2 (9.5)	NA	
Duration of asthma, months, median (range)	23 (4–46)	NA	
ICS/LABA, %	42	NA	
ICS/LABA/LTRA, %	58	NA	
Fluticasone, $\mu$ g, median daily dose (range)	200 (100–200)	NA	
Duration of ICS therapy, months, median (range)	22 (5–44)	NA	

**Note:** For comparison the Fisher test was applied.

**Abbreviations:** ICS, inhaled corticosteroid; LABA, long-acting  $\beta_2$ -agonist; LAMA, long-acting muscarinic receptor antagonist; LTRA, leukotriene receptor antagonist; NA, not applicable.

pollen, and one was positive to mites and birch pollen. In total, mite sensitisation was most common. One was sensitised to birch pollen only, one to ash pollen only, and one to cat and horse. Clinical symptoms suggestive of gastroesophageal reflux disease (GERD) like chronic cough or heartburn were found in eight of 22 patients. Of these eight patients, seven patients underwent combined bronchoscopy and gastroscopy with pH-monitoring for 24 hours. One patient underwent pH-monitoring for 24 hours only. In three of eight patients, GERD was diagnosed and treated.

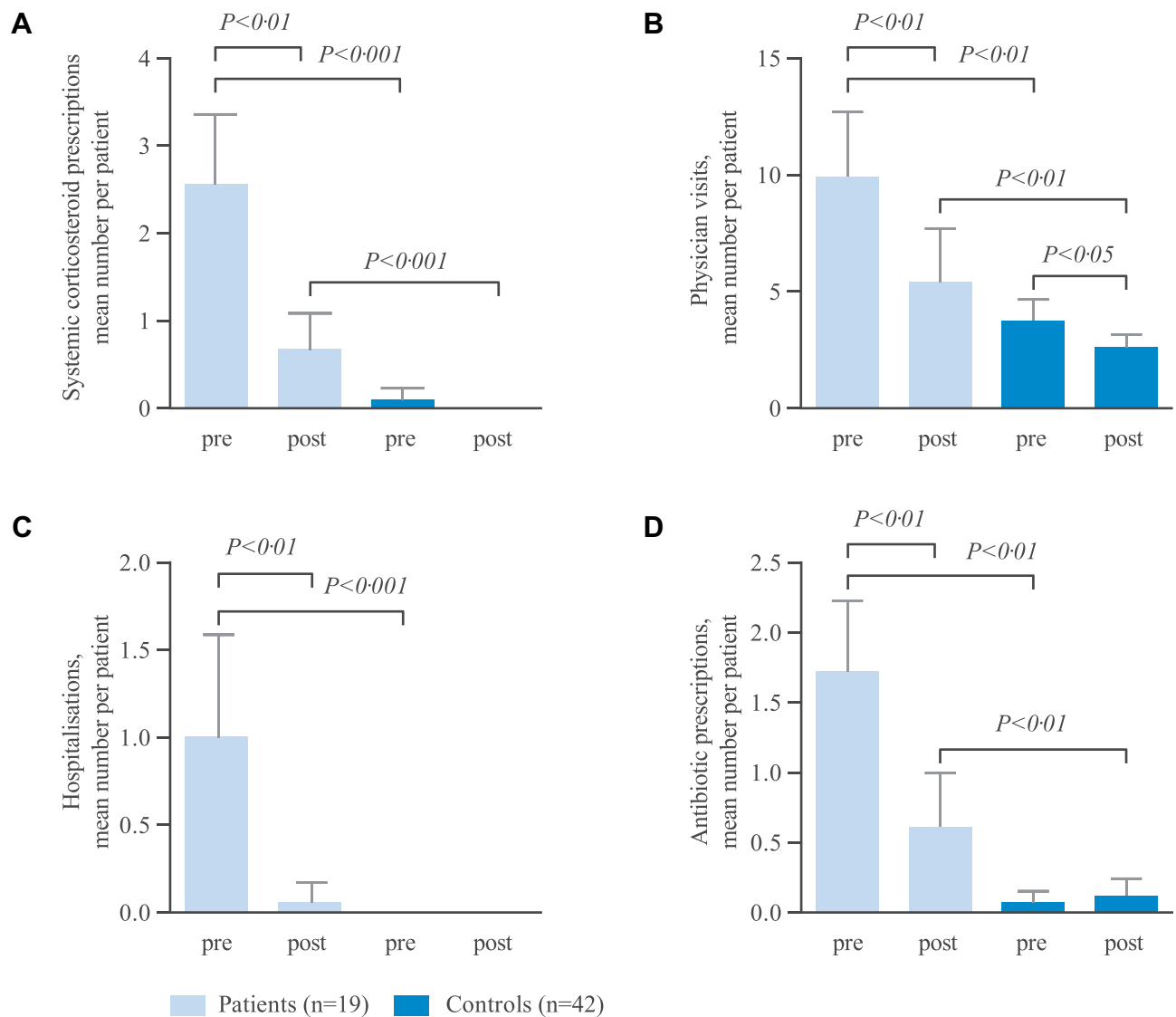
SCS administration was determined in 19 patients with uncontrolled severe asthma. SCS was given for severe asthma exacerbations when persistent airflow obstruction existed despite bronchodilator treatment. An average of 2.42 SCS courses (95% CI: 1.75, 3.36) per patient were prescribed in the 6 months before starting ICS/LABA/tiotropium (a total of 46 SCS courses in the whole group). Eight patients were treated parenterally with prednisolone, 28 patients received betamethasone per os for 3 days and 10 patients were treated once with 100 mg prednisolone rectally. In the 6 months after starting ICS/LABA/tiotropium therapy, the mean number of courses per patient was 0.74 (95% CI: 0.25, 1.08;  $P<0.001$  compared with children with asthma in the 6 months before starting ICS/LABA/tiotropium; a total of 14 courses of SCS). In the healthy control group, two of 42 children

received two courses of SCS due to severe croup syndrome, each in the first half of the observation period (6 months prior to matching; an average of 0.10 number of courses; 95% CI:  $-0.04$ ,  $0.23$ ; four prescriptions in total;  $P<0.001$  compared with children with asthma in the 6 months before and after start of ICS/LABA/tiotropium), with no prescription at the end of the second half of the observation period (6 months after matching;  $P<0.001$  versus children with asthma in the 6 months after starting ICS/LABA/tiotropium; [Figure 2A](#)).

In the 6 months prior to ICS/LABA/tiotropium therapy, 17 patients visited their paediatrician 157 times, with an average of 9.24 visits per patient (95% CI: 7.15, 12.72). After 6 months of ICS/LABA/tiotropium, there were 98 visits (average 5.76 per patient; 95% CI: 3.10, 7.70;  $P<0.01$  vs the patients 6 months prior to ICS/LABA/tiotropium therapy). In the control group, there was an average of 3.76 paediatrician visits 6 months prior to matching (95% CI: 2.87, 4.65;  $P<0.01$  vs children with asthma 6 months before start of ICS/LABA/tiotropium; [Figure 2B](#)) and 2.60 (95% CI: 2.04, 3.15) at the end of the observation period ( $P<0.01$  vs children with asthma 6 months after switch to ICS/LABA/tiotropium and  $P<0.05$  vs control children 6 months prior to matching).

In the asthma patient cohort, 19 hospital stays were recorded 6 months before starting ICS/LABA/tiotropium (one hospital stay per patient; 95% CI: 0.41, 1.60), compared with only one hospitalisation 6 months after starting ICS/LABA/tiotropium therapy (average of 0.05 hospital stays per patient; 95% CI:  $-0.06$ ,  $0.17$ ;  $P<0.01$ ). None of the healthy controls was hospitalised ([Figure 2C](#)).

A mean 1.80 (95% CI: 1.22, 2.23) antibiotic prescriptions for respiratory tract infections per patient in the asthma group were recorded in the 6 months before starting ICS/LABA/tiotropium (34 courses in total), compared with a mean 0.74 (95% CI: 0.22, 1.00) prescriptions per patient in the 6 months after treatment with ICS/LABA/tiotropium started ( $P<0.01$  vs patients with asthma in the 6 months before treatment). In the healthy control group, an average of 0.07 (95% CI:  $-0.01$ ,  $0.15$ ) prescriptions per child were recorded 6 months prior to matching ( $P<0.01$  vs children with asthma in the 6 months before starting ICS/LABA/tiotropium therapy) compared with an average of 0.12 (95% CI: 0,  $0.24$ ) prescriptions per child at the end of the observation period (five prescriptions in total;  $P<0.01$  vs children with asthma in the 6 months after starting ICS/LABA/tiotropium; [Figure 2D](#)). No statistically significant



**Figure 2** (A) Systemic corticosteroid administration; (B) physician visits; (C) hospitalisations; (D) use of antibiotics. Data are shown as mean±standard error of mean. Pre=observation period 1 (up to 6 months before start of ICS/LABA/tiotropium therapy); post=observation period 2 (up to 6 months after start of ICS/LABA/tiotropium therapy). Treatment differences of tiotropium, physician visits and antibiotic use between pre and post observation were evaluated by the Wilcoxon–Mann–Whitney test. **Abbreviations:** ICS, inhaled corticosteroids; LABA, long-acting  $\beta_2$ -agonist.

differences in treatment responses were observed with and without LTRA use, either before or after the addition of tiotropium (Table 2).

In terms of perception of treatment success, according to the Likert scale (0=no improvement to 10=very good improvement), as estimated by the parents and caregivers of 20 patients with severe asthma, 18 gave scores between 8 and 10; the maximum rating of 10 was given for 12 patients, indicating excellent improvement. For only two patients, a rating of  $\leq 4$  was given. The average score was 8.3; the median score was 10 (Figure 3). Tolerability of tiotropium was excellent. No adverse events were reported.

## Discussion

Optimising asthma management among preschool children is an unmet need, since this age group suffers from significant morbidity, including higher rates of hospitalisation and intensive care unit admission.<sup>1,2</sup> Tiotropium Respimat® was found to be a well-tolerated and efficacious add-on to ICS plus one or more controller medications in several clinical trials in children and adolescents.<sup>12–14,17</sup> In addition, a recent trial in preschool children with persistent asthmatic symptoms showed that tiotropium added to ICS was well tolerated and had a potential to reduce the risk of asthma-related events compared with placebo, defined as: a) asthma exacerbation

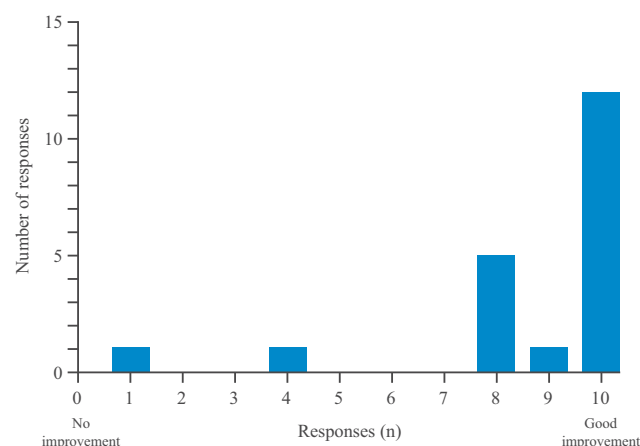


**Table 2** Treatment Response in Patients with and without LTRA Use

	Before Tiotropium			Plus Tiotropium		
	ICS without LTRA	ICS with LTRA	P	ICS without LTRA	ICS with LTRA	P
	(n=9)	(n=12)		(n=9)	(n=12)	
Systemic steroids	n=13	n=33	0.275	n=4	n=10	0.630
Mean	1.86	2.75		0.57	0.83	
No data	2	0		2	0	
Hospitalisation	n=3	n=16	0.100	n=0	n=1	0.461
Mean	0.43	1.33		0	0.08	
No data	2	0		2	0	
Antibiotic use	n=13	n=21	0.834	n=6	n=8	0.680
Mean	1.86	1.75		0.857	0.67	
No data	2	0		2	0	
Physician visits	n=68	n=89	0.758	n=44	n=54	0.672
Mean	9.71	8.90		6.29	5.4	
No data	2	2		2	2	

**Notes:** Absolute numbers of events (n) and average values (event/number of patients) are shown. For comparison the Wilcoxon test was applied.

**Abbreviations:** ICS, inhaled corticosteroids; LTRA, leukotriene receptor antagonist.



**Figure 3** Parental assessment of treatment according to the Likert scale (0=no improvement; 10=very good improvement; children with asthma only).

or asthma worsening; b) asthma exacerbations (broad) and asthma worsening; or c) asthma exacerbations (broad) plus pneumonia or asthma worsening.<sup>17</sup> However, there are currently no reliable data available on the frequency of hospitalisations, SCS courses, physician visits and antibiotic treatments in preschool patients with severe asthma receiving ICS/LABA/tiotropium therapy.

In our retrospective study we observed significant improvements in all measured parameters after 6 months of ICS/LABA/tiotropium treatment. Most impressively, SCS courses dropped from 46 to 14 significantly during observation. The high number of SCS courses before

adding tiotropium underpins the severity of our study group. However, it is well known that SCS should be used very restrictively since recent concern has been raised about oral corticosteroid overuse.<sup>19,20</sup> In addition, asthma control was much increased since hospitalisations and physician visits after ICS/LABA/tiotropium therapy were not statistically different from healthy controls. Moreover, we found that most parents and carers of the asthma group were satisfied with ICS/LABA/tiotropium therapy given to their children.

There is, however, a need for second-line controller medication in young children with asthma who are not well controlled by ICS.<sup>6</sup> Lemanske et al found that LABA step-up in children aged 6–17 years with uncontrolled asthma was significantly more likely to provide the best treatment response compared with ICS or LTRA step-up.<sup>21</sup> In addition, optimising asthma management among preschool children by quintupling the dose of ICS at the early signs of loss of asthma control did not reduce the rate of severe asthma exacerbations and may be associated with diminished linear growth.<sup>22</sup> These findings shaped our hospital's policy to prescribe LABAs, leading to high use in preschool patients with severe asthma. However, more recent data suggest that adding a LABA to ICS in children was not associated with a significant reduction in exacerbations requiring systemic steroids.<sup>23,24</sup> Thus, adding tiotropium as a second controller in patients with severe

uncontrolled preschool asthma seems to be much more promising than adding a LABA. In our study, ICS/LABA/LAMA treatment significantly reduced hospital stays in preschool children with asthma and had a marked socio-economic impact in terms of direct costs (physician and emergency room visits and hospitalisations) and indirect costs (absence from work of caregivers).

Some reports indicate higher use of antibiotics in asthmatic than in non-asthmatic children;<sup>25–27</sup> however, antibiotics are not indicated as treatment for asthma exacerbations. Still, many physicians do use antibiotics during exacerbations in real life. Misuse of antibiotics increases microbial resistance,<sup>27</sup> leading to higher medical costs, prolonged hospital stays and increased mortality.<sup>27</sup> In our study, ICS/LABA/LAMA treatment significantly reduced antibiotic use in preschool children with asthma, most likely due to better asthma control.

Our study has several limitations; these include the fact that it was a retrospective analysis and not a randomised clinical trial. Another limitation of the study might be that the controls were healthy, and it would have been better to pair the study population with controls with severe asthma not on tiotropium therapy. However, we were unable to find a fitting control group. Therefore, we decided to compare the study population to healthy controls in order to demonstrate that the need for physician visits and antibiotic prescriptions decreases and nearly approaches “a normal level”. In addition, the number of patients included was small, and the data for hospitalisations, antibiotic and SCS prescriptions were available for 19 of the 21 patients. The endpoints for this study were exploratory and used for descriptive statistical analyses only. In addition, the use of a Likert scale instead of a more standardised survey such as the Asthma Control Test further limits our findings.

In conclusion, we have shown that tiotropium is a potential add-on treatment option for preschool patients with severe asthma; however, this population is not currently included in the tiotropium label.<sup>12–14,17</sup> A two-arm, prospective, double-blind study (ICS plus placebo vs ICS/LAMA) over 12 months in preschool patients with severe asthma may help to strengthen the evidence to adapt current guidelines to modern care reality.

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## Author Contributions

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; agreed to submit to the current journal; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

## Disclosure

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