

# Model for Identifying High Carotid Body Chemosensitivity in Patients with Obstructive Sleep Apnea

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**Objective:** The carotid body (CB) is a major peripheral respiratory chemoreceptor. In patients with obstructive sleep apnea (OSA), high CB chemosensitivity (CBC) is associated with refractory hypertension and insulin resistance and known to further aggravate OSA. Thus, the identification of high CB (hCBC) among OSA patients is of clinical significance, but detection methods are still limited. Therefore, this study aimed to explore the association of CBC with OSA severity and to develop a simplified model that can identify patients with hCBC.

**Methods:** In this cross-sectional study of subjects who underwent polysomnography (PSG), CBC was measured using the Dejours test. We defined hCBC as a decrease of >12% in respiratory rate (RR) after breathing of pure O<sub>2</sub>. The association of CBC with OSA severity was explored by logistic regression, and a model for identifying hCBC was constructed and confirmed using receiver operating characteristic analysis.

**Results:** Patients with OSA (n=142) and individuals without OSA (n=38) were enrolled. CBC was higher in patients with OSA than in those without OSA (% decrease in RR, 15.2%±13.3% vs 9.1%±7.5%, P<0.05). Apnea-hypopnea index (AHI), fraction of apnea-hypopnea events in rapid-eye-movement sleep (F<sub>events-in-REM</sub>), and longest time of apnea (LTA) were associated with hCBC independently (odds ratio [OR]=1.048, OR=1.082, and OR=1.024 respectively; all P<0.05). The model for identifying hCBC allocated a score to each criterion according to its OR values, ie, 1 (LTA >48.4 s), 2 (AHI >15.7 events/hour), and 3 (F<sub>events-in-REM</sub> >12.7%). A score of 3 or greater indicated hCBC with a sensitivity of 79.4% and specificity of 88.2%.

**Conclusion:** High CBC is associated with the severity of OSA. A simplified scoring system based on clinical variables from PSG can be used to identify hCBC.

**Keywords:** carotid body, chemosensitivity, obstructive sleep apnea, severity, indicator

## Introduction

Obstructive sleep apnea (OSA) is a heterogeneous and increasingly common disorder characterized by repetitive narrowing and collapse of the pharyngeal airway during sleep.<sup>1</sup> The main feature of OSA is chronic intermittent hypoxia (CIH), which is associated with conditions such as hypertension, metabolic disorders, and cancer.<sup>2-4</sup> The pathogenesis of OSA still remains incompletely understood, but an unstable respiratory control system is one of the major non-anatomical mechanisms.<sup>5</sup> The respiratory control instability in OSA can be quantified using the gain of the ventilatory control feedback loop (loop gain, LG). The LG is elevated in OSA, and lowering the LG with acetazolamide or oxygen inhalation results in a reduction in OSA severity.<sup>6-8</sup>

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The carotid body (CB) is a key peripheral respiratory chemoreceptor that sense changes in the partial pressures of O<sub>2</sub> and CO<sub>2</sub> as well as the pH level in arterial blood and induce a ventilation response. High CB chemosensitivity (hCBC) is defined by an elevated ventilatory response to hypoxia or hypercapnia.<sup>9</sup> The CB enhances the sensitivity of central chemoreceptors to hypercapnia through afferent innervation.<sup>10</sup> In addition, hyperoxia induces a “physiological denervation” of the CB that may inhibit the normal ventilation responsiveness.<sup>11</sup> Thus, CBC is a principal component of the ventilatory control feedback loop, based on the dual role of the CB in the regulation of both peripheral and central chemosensitivity to the partial pressures of O<sub>2</sub> and CO<sub>2</sub>.<sup>12</sup> Thus, hCBC is related to respiratory control instability, which is one of the major pathogenic traits of OSA.<sup>5</sup>

Additionally, OSA patients exhibit enhanced cardiovascular reflex responses to acute hypoxia, and this is attributed to potentiation of CB activity.<sup>13,14</sup> Previous research has demonstrated associations between hCBC and refractory hypertension and insulin resistance.<sup>15</sup> Therefore, it is necessary to identify hCBC for effective management of OSA patients. Notably, the relationship between increased CB activity and OSA severity has not been characterized. Additionally, the gold standard method for determining CBC is the double-breath Dejours test,<sup>16,17</sup> which is relatively inconvenient in clinical practice. In the present study, we hypothesized that CBC is associated with OSA severity, and thus, it is possible to develop a simplified assessment model for identifying hCBC using clinical parameters from polysomnography (PSG). To test these hypotheses, we explored the association of CBC with OSA severity and evaluated the effectiveness of a model based on PSG parameters as a clinical tool for identifying hCBC.

## Methods

### Ethical Approval

This study was approved by the ethics committee of Ruijin Hospital ([2018] No. 107). Furthermore, it was performed in accordance with the principles of the Declaration of Helsinki. Written informed consent was obtained from all participants.

### Participants

For this prospective cross-sectional study, all participants were recruited before they underwent PSG at the Sleep Disorders

Center of Ruijin Hospital Affiliated to Shanghai Jiao Tong University School of Medicine from June 2020 to September 2020. The inclusion criteria were snoring, daytime sleepiness (Epworth Sleepiness Scale, ESS >6), refractory hypertension (failure of at least three antihypertensive drugs, including diuretics, to control systolic blood pressure below 140 mmHg and/or diastolic blood pressure below 90 mmHg), and sudden awakening due to gasping for breath.<sup>18</sup> The exclusion criteria were age <18 or >80 years, pregnancy, sleep disorders other than OSA (eg, insomnia, or narcolepsy), previous continuous positive airway pressure (CPAP) treatment for OSA, long-term sojourn in high altitude areas, chronic respiratory failure (PaO<sub>2</sub> <60 mmHg or diagnosed by medical history), long-term home oxygen therapy, and cardiac insufficiency (New York Heart Association [NYHA] II–IV).

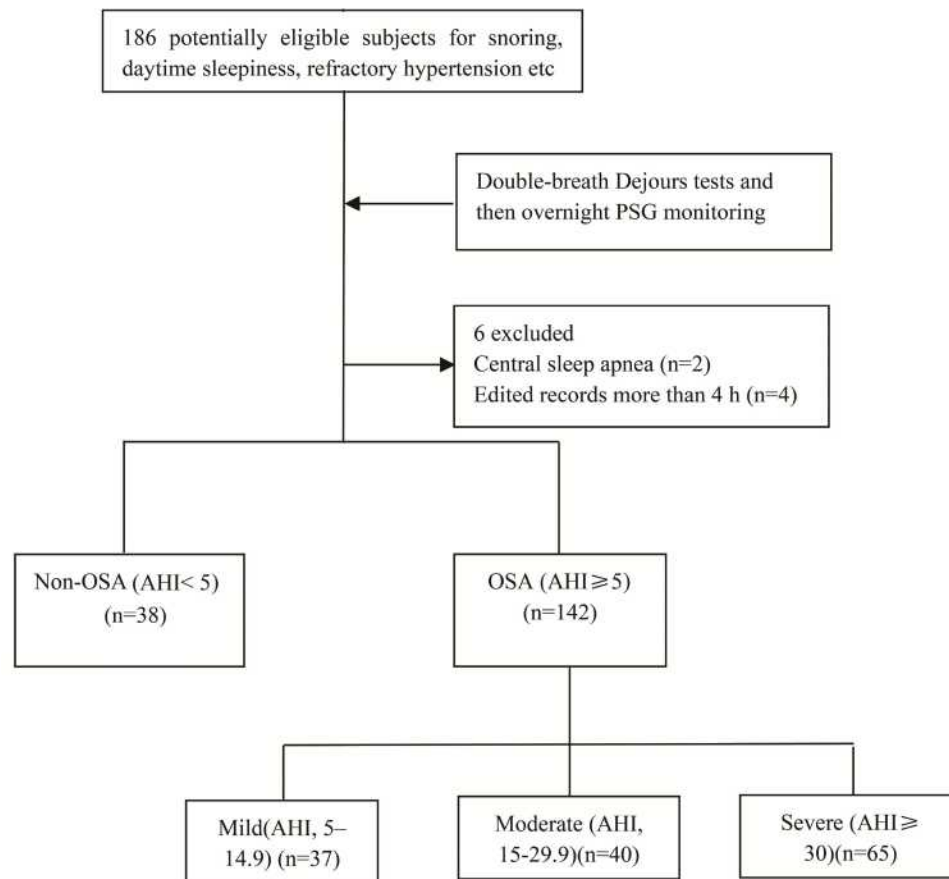
Volunteers for health examination in Ruijin Hospital with a healthy phenotype were collected to validate the results of Dejours test and the normal range of CBC.

### Study Design

A flowchart of the study participants is presented in [Figure 1](#). All participants completed the ESS upon enrollment. The sociodemographic and anthropometric data and comorbidities were documented. Height, weight, neck circumference, and abdominal circumference were assessed using standardized protocols. Body mass index (BMI) was calculated as the body weight (kg) divided by the body height squared (m<sup>2</sup>). Blood pressure was measured, and hypertension was defined as a systolic blood pressure ≥140 mmHg, diastolic blood pressure ≥90 mmHg, or a clinical diagnosis of hypertension and current prescription of antihypertensive medication.<sup>19</sup> Smokers were those who regularly had smoked 10–20 cigarettes/day for at least 3 years.<sup>20</sup>

### PSG Monitoring

All participants underwent an in-laboratory PSG examination (Alice 6, Philips Respironics, PA, USA). They were asked to refrain from alcohol, caffeine, sedatives, or hypnotics on the test day. The PSG channels included four electroencephalograms, a submental electromyogram, two electrooculograms (right and left), two electrocardiography channels, pulse oxygen saturation, oral and nasal airflow, nasal air pressure, thoracic-abdominal respiratory movement, snoring microphone, and body position. Only edited records with more than 4 h of good-quality signals were included. The apnea-hypopnea index (AHI) referred to the total event numbers of apnea and hypopnea per hour of sleep. Apnea was defined by a respiratory event with



**Figure 1** Flowchart of the study participants.

a drop in nasal airflow by  $\geq 90\%$ , and hypopnea was defined by a drop in peak signal excursions by  $\geq 30\%$  of pre-event baseline using nasal pressure for at least 10 seconds with a  $\geq 4\%$  oxygen desaturation from pre-event baseline. The event was considered OSA if there was effort to breath during apnea or hypopnea. The event was considered central sleep apnea (CSA) if there was no effort to breath during apnea or hypopnea.<sup>18</sup> The oxygen desaturation index (ODI) was defined as the total number of at least 4% oxygen desaturations per hour of sleep. The mean pulse oxygen saturation ( $SpO_2$ ) was defined as the mean oxygen saturation during the sleep period. The nadir  $SpO_2$  was the lowest oxygen saturation during apnea or hypopnea periods. The percentage of sleep duration with  $SpO_2 < 90\%$  (TS90) was determined. OSA was defined as mild (AHI, 5–14 events per hour), moderate (15–29 events per hour), or severe ( $> 30$  events per hour). Based on the AHI, the participants were categorized into two groups: the non-OSA group (AHI  $< 5$  events per hour) and the OSA group (AHI  $\geq 5$  events per hour).

### CBC Detected by Dejours Test

CBC was determined by the Dejours test (double-breath  $O_2$  test),<sup>16,17</sup> as the CB chemoreflex sensitivity to hypoxia (mainly) and hypercapnia. During the Dejours test, the participants breathed room air first, then two breaths of pure  $O_2$  delivered at a 10 L/min flow, and then room air again, via a mouthpiece connected to a three-way valve in a pressure-type spirometer system (TOW-Intelligent Technology, Shanghai, China). The curve of respiratory flow was recorded, and the respiratory rate (RR) and the tidal volume (VT) were calculated by the spirometer system. In the Dejours test, the inhalation of two tidal volumes of pure  $O_2$  results in a decrease in ventilation (RR or VT) that reflects CBC and can be expressed as the percentage of decrease of the pre-oxygen RR or VT.<sup>16,17</sup> Because the transient fall in ventilation occurs after a short lag and is maximal at 10–20 s after the  $O_2$  inhalation in resting subjects,<sup>17</sup> the % decrease in RR or VT was calculated as follows: (the mean RR or VT values during the 20 s after pure oxygen breathing minus the mean of RR or VT during room air breathing) divided by the mean of RR or VT during

room air breathing (Figure 2A). The test was repeated three times for each participant to assess reproducibility.

## Statistical Analysis

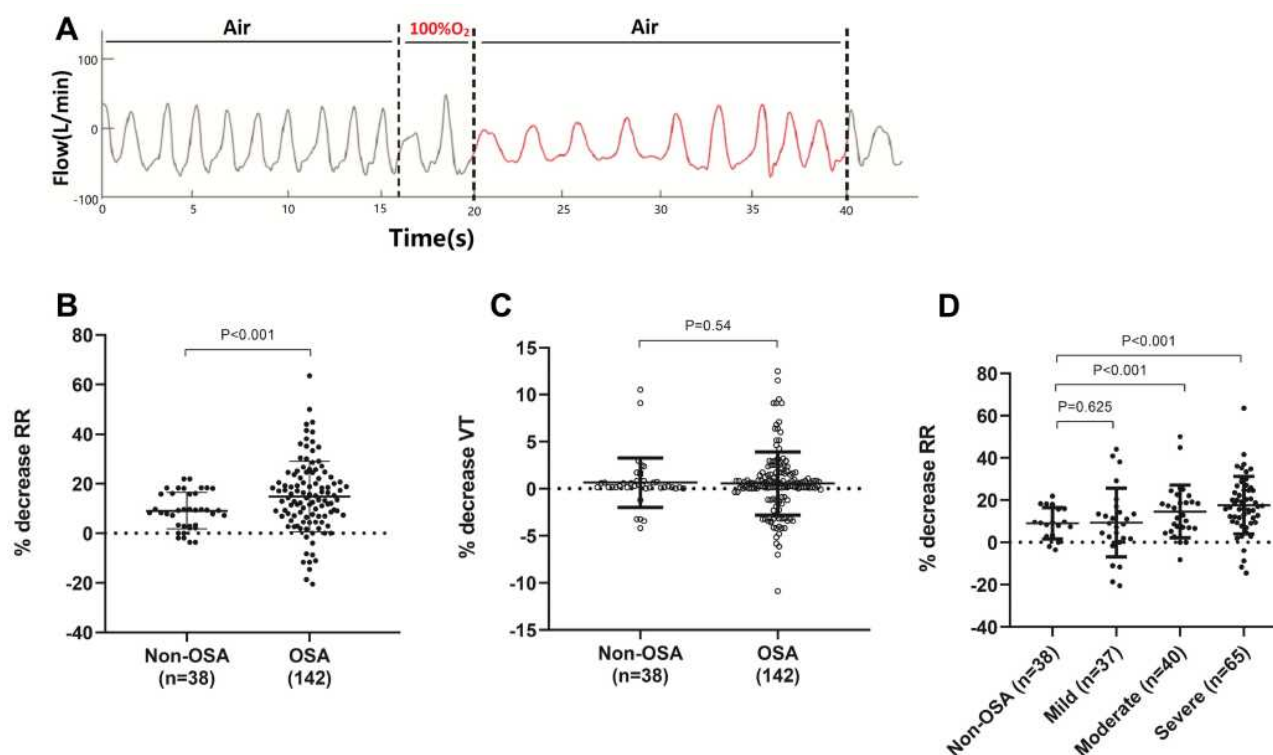
All statistical analyses were performed using SPSS 20.0 for Windows (IBM Corp., Armonk, NY, USA). *P*-values <0.05 were considered statistically significant. Continuous variables are expressed as mean  $\pm$  standard deviation (SD). Normal distribution was assessed using the Kolmogorov–Smirnov test. According to the distribution, one-way analysis of variance (ANOVA) or the Kruskal–Wallis test was used for multiple comparisons among groups. Categorical variables are presented as a percentage and were analyzed using Fisher's exact test. Pearson's correlation analysis was used to investigate the correlation between clinical characteristics, PSG data, and CBC. Multivariable stepwise linear regression analysis was performed to study the associations between the defined independent variables and CBC (as the dependent variable). Multivariable regression was used to determine the

independent indicators for hCBC. Receiver operating characteristic (ROC) curves were generated for each independent indicator to derive the best cutoff values. Dichotomized variables according to those cutoffs were then used in a multivariable logistic regression model to identify the independent indicators that could be included in a clinical scoring tool and determine the weighting they could be given according to their odds ratio (OR) values. The sensitivity and specificity of this scoring tool were determined by ROC curve analysis.

## Results

### Characteristics of Study Participants

The demographic characteristics and PSG data for the study participants are presented in Table 1 and Supplementary Table 1. The 180 participants recruited for this study had a mean age of  $44.8 \pm 12.9$  years, a mean BMI of  $25.6 \pm 4.2$  kg/m<sup>2</sup>, and a mean AHI of  $27.7 \pm 9.3$  events per hour. Higher values of age, BMI, ESS score, AHI, TS90, longest time of apnea



**Figure 2** Carotid body chemosensitivity (CBC) in non-OSA individuals and OSA patients. **(A)** Respiratory flow curve from pressure-type spirometer for the effect of 100% O<sub>2</sub> (hyperoxia) on spontaneous ventilation. **(B and C)** Comparison of Dejours test results between the non-OSA and OSA groups. CBC was evaluated as the % decrease in respiratory rate (RR) or tidal volume (VT) produced by two breaths of 100% O<sub>2</sub>. The % decrease in RR in the OSA group was significantly higher than that in the non-OSA group ( $15.2 \pm 13.3$  vs  $9.1 \pm 7.5$ ,  $P < 0.05$ ), whereas the % decrease in VT did not differ between the groups ( $P = 0.54$ ). **(D)** Comparison of Dejours test results between the non-OSA group and the OSA severity subgroups. The moderate and severe OSA groups had significantly increased CBC compared with the non-OSA group based on the % decrease in RR ( $14.6 \pm 12.5$  and  $17.6 \pm 13.6$ , respectively, vs  $9.1 \pm 7.5$ ,  $P < 0.05$ ), and no difference was observed between the mild OSA and non-OSA groups. The % decreases in RR and VT were calculated as follows: decrease in RR or VT (the mean RR or VT value during the period of 20 s after pure oxygen breathing minus the mean RR or VT during exposure to air) divided by the mean RR or VT during exposure to air. The red line shows the period of time used to calculate the mean RR and VT after oxygen inhalation. Values are shown as mean  $\pm$  SD.

**Table 1** Demographic, Polysomnographic, and Ventilation Data from Dejours Test for the Non-OSA and OSA Groups

Characteristics	All (n=180)	Non-OSA Group (n=38)	OSA Group (n=142)	P*
Age, years	44.8±12.9	40.1±12.9	46.4±12.6	<0.001
Male, n (%)	136 (71.6)	34 (70.8)	102 (71.8)	<0.001
BMI, kg/m <sup>2</sup>	25.6±4.3	24.1±3.8	26.0±4.2	<0.001
ESS score	4.8±1.4	2.3±1.6	5.2±1.3	<0.001
AHI, events per hour	27.7±9.3	3.6±1.1	30.4±12.0	<0.001
Mean SpO <sub>2</sub> , %	94.5±2.8	95.8±1.3	93.7±3.1	<0.001
Nadir SpO <sub>2</sub> , %	81.2±11.6	89.6±4.3	78.2±11.9	<0.001
TS90, %	8.7±4.5	0.7±0.6	13.2±3.8	<0.001
MAI, events per hour	25.8±9.9	16.1±8.7	31.3±10.4	<0.001
ODI, events per hour	25.0±10.2	1.7±0.2	26.4±10.1	<0.001
LTA, min	55.4±17.9	22.3±7.47	67.6±13.4	<0.001
F <sub>events-in-REM</sub> , (%)	14.5±5.8	10.5± 3.5	18.5±4.6	<0.001
Decrease in RR, %	14.8±9.9	9.1±7.5	15.2±13.3	<0.001
Decrease in RR ≥12%, n (%)	102 (56.7)	8 (21.1)	94 (66.2)	<0.001
Decrease in VT, %	1.87±2.36	1.84±2.23	1.91±2.61	0.54

**Note:** \*P<0.05 versus non-OSA group.

**Abbreviations:** BMI, body mass index; ESS, Epworth Sleepiness Scale; AHI, apnea-hypopnea index; SpO<sub>2</sub>, pulse oxygen saturation; TS90, percentage of sleep duration with SpO<sub>2</sub> <90%; MAI, micro-arousal index; ODI, oxygen desaturation index; LTA, longest time of apnea; F<sub>events-in-REM</sub>, fraction of apnea-hypopnea events in rapid-eye-movement sleep (REM) (% total events).

(LTA), and fraction of apnea-hypopnea events in rapid-eye-movement sleep (% total events) (F<sub>events-in-REM</sub>) were observed in the OSA group compared with the non-OSA group (all  $P<0.001$ ). The mean SpO<sub>2</sub> and nadir SpO<sub>2</sub> were significantly lower in the OSA group than in the non-OSA group (both  $P<0.001$ ). The prevalence of hypertension (27.7%) in the severe OSA subgroup was higher than in the non-OSA group (23.7%) and the other OSA subgroups (10.8% for the mild subgroup and 7.5% for the moderate subgroup) ([Supplementary Table 1](#)). To evaluate the effectiveness of Dejours test utilizing a spirometer system, an additional 35 healthy volunteers were recruited ([Supplementary Table 4](#)).

## Comparison of CBC Between the OSA and Non-OSA Groups

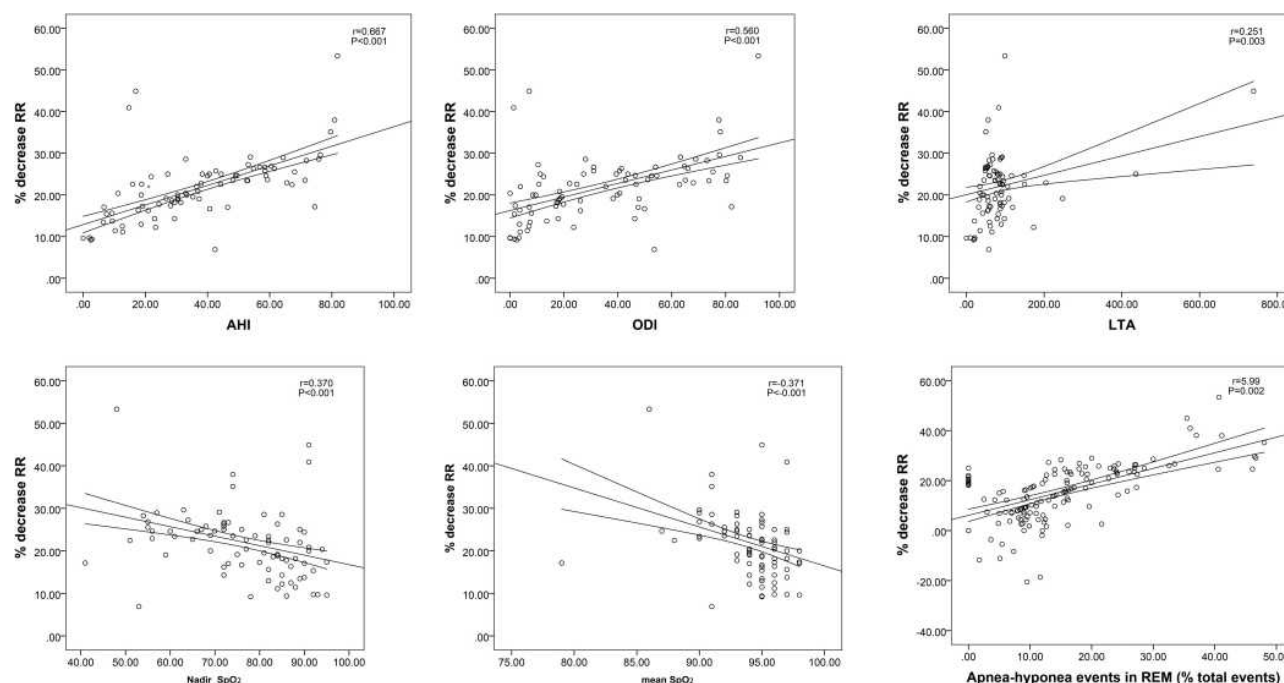
CBC was significantly higher in OSA patients than in participants without OSA based on the % decrease in RR (15.2% ±13.3% vs 9.1%±7.5%, ( $P<0.05$ ; [Figure 2B](#) and [Table 1](#)). No significant differences were observed in the % decrease in VT between the groups ( $P=0.54$ ; [Figure 2B](#)). Furthermore, patients with moderate and severe OSA showed significantly increased CBC compared with the non-OSA participants based on the % decrease in RR (14.6%±12.3% and 17.6% ±13.5% vs 9.1%±7.5%,  $P<0.05$ ), whereas no difference was observed between patients with mild OSA and non-OSA participants ([Figure 2C](#)).

In the healthy volunteers, the percentage decreases in RR and VT were 9.85%±14.08% and 1.91%±3.15%, respectively, after two-breath pure oxygen inhalation ([Supplementary Table 4](#)). These results are consistent with the findings in a previous human study.<sup>16</sup> Herein, we defined hCBC as a decrease of >12% in RR after breathing of pure O<sub>2</sub> (ie, a change percentage 20% greater than that observed in normal subjects). Accordingly, the prevalence of hCBC in the OSA group was 66.2%, which was higher than that in the non-OSA group (21.1%,  $P<0.001$ ; [Table 1](#)). The percentage of patients with hCBC also increased with increasing OSA severity (35.1%, 72.5%, and 80.0% for the mild, moderate, and severe OSA subgroups, respectively; [Supplementary Table 1](#)).

## Clinical Variables Associated with hCBC

First, the associations between OSA features and CBC were investigated. Significant correlations were observed between CBC and AHI ( $r=0.667$ ,  $P<0.001$ ), ODI ( $r=0.660$ ,  $P<0.001$ ), LAT ( $r=0.462$ ,  $P<0.001$ ), nadir SpO<sub>2</sub> ( $r=-0.370$ ,  $P<0.001$ ), mean SpO<sub>2</sub> ( $r=-0.371$ ,  $P<0.001$ ), and F<sub>events-in-REM</sub> ( $r=0.599$ ,  $P=0.002$ ; [Figure 3](#)). No correlations were observed between CBC and the other variables. As shown in [Supplementary Table 2](#), stepwise multivariable linear regression analysis showed that CBC was associated with AHI ( $P<0.001$ ), F<sub>events-in-REM</sub> ( $P<0.001$ ), and LTA ( $P=0.004$ , adjusted  $r^2=0.536$ ,  $P=0.006$ ). The other variables were excluded.





**Figure 3** Correlation analysis of CBC (% decrease in RR) with clinical variables among all study participants in the OSA and non-OSA groups. Strong correlations were found between CBC and AHI ( $r=0.667$ ,  $P<0.001$ ), ODI ( $r=0.660$ ,  $P<0.001$ ),  $F_{\text{events-in-REM}}$  ( $r=0.599$ ,  $P=0.002$ ), and LAT ( $r=0.462$ ,  $P<0.001$ ). Nadir SpO<sub>2</sub> ( $r=-0.370$ ,  $P<0.001$ ) and mean SpO<sub>2</sub> ( $r=-0.371$ ,  $P<0.001$ ) were weakly correlated with CBC.

**Abbreviations:** AHI, apnea-hypopnea index; ODI, oxygen desaturation index; SpO<sub>2</sub>, pulse oxygen saturation; LTA, longest time of apnea.

Next, multivariable logistical regression analysis showed that AHI, LTA, and  $F_{\text{events-in-REM}}$  were significant indicators of hCBC (OR=1.048, 1.082, and 1.024, respectively, all  $P<0.05$ ; Table 2 and Supplementary Table 3). ROC curve analysis for each independent indicator was used to estimate the assessment validity for hCBC and yielded cutoff values of 15.7 events per hour for AHI, 48.4 s for LTA, and 12.7% for  $F_{\text{events-in-REM}}$  (Supplementary Figure 1A-C). The

following simplified logistic regression model for hCBC with independent binomial variables was established: hCBC score =  $1 \times (\text{LTA} > 48.4 \text{ s}) + 2 \times (\text{AHI} > 15.7 \text{ events per hour}) + 3 \times (F_{\text{events-in-REM}} > 12.7\%)$  (Figure 4). This model allocated a score to each criterion according to its OR value, ie, 1 ( $\text{LTA} > 48.4 \text{ s}$ ), 2 ( $\text{AHI} > 15.7 \text{ events per hour}$ ), and 3 ( $F_{\text{events-in-REM}} > 12.7\%$ ). The developed hCBC score criteria were validated by the scoring for each patient, and the results demonstrated the strong ability of the model to identify hCBC (area under the curve [AUC]=0.852, 95% confidence interval [CI]: 0.792–0.911,  $P<0.001$ ; Supplementary Figure 1D). With a cutoff value of 3 or greater, the developed screening model had a sensitivity of 79.4% and specificity of 88.2% for identifying hCBC.

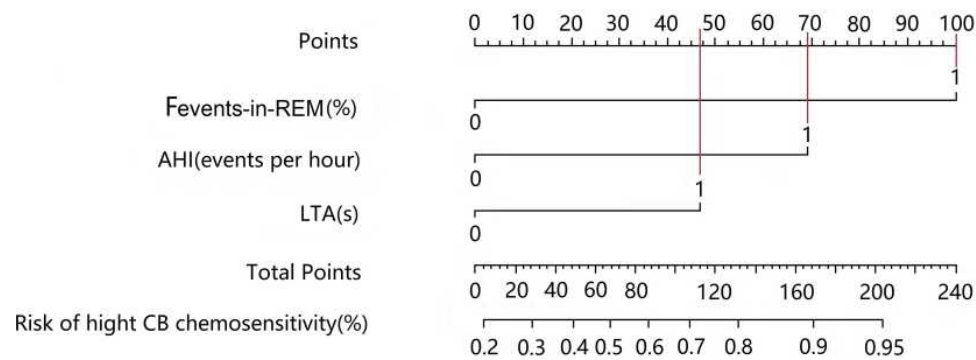
**Table 2** Screening Model for Identifying High CB Chemosensitivity Developed by Multivariable Logistic Regression

Variables	OR	95% CI	P
Multivariable logistic regression model – forward: conditional method			
LTA	1.024	1.004–1.045	0.018
AHI	1.048	1.012–1.085	0.009
$F_{\text{events-in-REM}}$	1.082	1.016–1.153	0.014
Simplified multivariable logistic regression model with independent binomial variables			
LAT (>48.4 s)	2.759	1.133–6.720	0.025
AHI (>15.7 events per hour)	4.491	1.866–10.807	0.001
$F_{\text{events-in-REM}}$ (>12.7%)	8.737	3.281–23.270	<0.001

**Abbreviations:** AHI, apnea-hypopnea index; LTA, longest time of apnea;  $F_{\text{events-in-REM}}$ , fraction of apnea-hypopnea events in rapid-eye-movement sleep (REM) (% total events); B, regression coefficient; CI, confidence interval.

## Discussion

In this study, we observed that CBC, determined by the Dejours test, was more pronounced in OSA patients than in individuals without OSA, which is consistent with the findings of Tafil-Klawe et al.<sup>21</sup> In support of our hypothesis, CBC was associated with OSA severity. We further found that AHI, LTA, and  $F_{\text{events-in-REM}}$  were independently associated with hCBC. Accordingly, a screening model using AHI, LTA, and  $F_{\text{events-in-REM}}$  was developed and showed a good ability for identifying patients with



**Figure 4** Nomogram of the logistic model including three independent binomial variables for identifying high carotid body chemosensitivity (hCBC). With this model, a vertical line is drawn from each variable upward to the points and then the corresponding point value is recorded (ie, “F<sub>events-in-REM</sub> = 1” = 100 points). The point values for all variables are then summed to obtain a total score that corresponds to a probability of hCBC found at the bottom of the nomogram. The cutoff values were 15.7 events per hour for AHI, 48.4 s for LTA, and 12.7% for F<sub>events-in-REM</sub>.

**Abbreviations:** F<sub>events-in-REM</sub>, fraction of apnea-hypopnea events in rapid-eye-movement sleep (REM) (% total events); AHI, apnea-hypopnea index; LTA, the longest time of apnea.

hCBC. Our results demonstrate that hCBC can be evaluated using a model integrating several variables from PSG.

The mechanisms underlying an increase in CBC in patients with OSA might involve the functional plasticity of the CB, because the CB volume or the number of glomus cells were not modified when rats were exposed to intermittent hypoxia (IH) for 21 days.<sup>22</sup> Specifically, only short-term IH, but not long-term IH, resulted in selective augmentation of the hypoxic response of the CB and thus sensitized the CB.<sup>23</sup> Oxidative stress has been proposed as the key mediator of enhanced CBC induced by IH. IH increases the generation of reactive oxygen species (ROS) in the CB,<sup>24</sup> and ROS signaling plays a vital role in mediating the sensory plasticity of the CB.<sup>25</sup> As shown in a rodent model, IH resulted in markedly elevated ROS levels and subsequent H<sub>2</sub>S production in the CB, and inhibition of H<sub>2</sub>S synthesis in the CB could prevent IH-evoked sympathetic activation and hypertension.<sup>26</sup>

A consensus has yet to be reached regarding whether enhanced CBC is a causative factor or a consequent manifestation of OSA. On one hand, CBC is believed to be enhanced by IH.<sup>27</sup> Mechanically, IH was shown to markedly alter gaseous molecular signaling in the CB, leading to changes in CB activity and possible enhancement of CBC.<sup>26,28</sup> On the other hand, some clinical studies have suggested that abnormal CB activity could be a driver of sleep apnea.<sup>6–8</sup> Artificial alteration of gaseous molecular signaling in an animal model was found to also lead to sleep apnea via the induction of CB hyperactivity.<sup>29</sup> In the present study, we found that only patients with severe OSA demonstrated significantly enhanced CBC compared to individuals without OSA, indicating that OSA exists

before CBC enhancement given that CB hyperactivity occurred with the progression of OSA. However, these findings do not preclude the existence of a positive feedback loop between CBC enhancement and OSA progression, as CB hyperactivity itself could also trigger the exacerbation of OSA.

Until now, there have been no accurate epidemiological data for hCBC. In this study, 66.2% of OSA patients had hCBC based on our criteria for the results of the Dejours test (ie, percent decrease in RR 20% greater than the normal value). hCBC leads to instability of respiratory central regulation, which is directly related to CSA, but also is involved in the pathogenesis of OSA. Furthermore, hCBC is associated with hypertension and insulin resistance. Therefore, it is of great practical significance to identify hCBC.

The Dejours test is obviously favorable with regard to safety and has been proven reproducible in specific populations.<sup>30</sup> Increased CBC manifesting as a % decrease in RR is also seen in patients with chronic heart failure<sup>31</sup> and prediabetes.<sup>32</sup> Herein, CBC was evaluated using two breaths of 100% O<sub>2</sub>, and this test can reveal a change in the oxygen drive for ventilation.<sup>16</sup> The increased CBC observed in OSA patients was mainly seen in the change in RR and not in a change in VT (Figure 2B and Table 1), consistent with the results obtained by Cunha-Guimaraes et al.<sup>32</sup>

In addition to Dejours test, the following indicators of autonomic nervous activity are used to evaluate CBC: muscle sympathetic nerve activity (MSNA) and heart rate variability (HRV). CB activation increases sympathetic activity and also simultaneously increases cardiac vagal as well as cardiac sympathetic nerve activity,

causing HRV.<sup>33,34</sup> OSA patients also have high sympathetic activity, even during normoxic wakefulness.<sup>35</sup> HRV is a common quantitative index for evaluating sympatho-parasympathetic balance,<sup>36</sup> which makes it a promising indicator of CBC.<sup>37</sup> However, the dose-effect relationships between CBC and MSNA as well as HRV have not been explored in the reported studies.

In the present study, we developed an assessment model for CBC using three parameters from PSG. AHI is a pivotal indicator of OSA severity. A high AHI enhances CB activity through ROS elevation mediated by CIH in OSA. A longer LTA may induce a longer stimulating time for CB during apnea and hypopnea and could lead to an increased CBC. CBC in mild OSA patients was not increased compared with that in individuals without OSA, which might partly be attributed to the lack of a significant increase in LTA with only mild OSA ( $24.6 \pm 11.6$  vs  $22.3 \pm 7.5$  s,  $P=0.565$ ).

REM sleep is associated with totally distinct physiological changes, including high sympathetic activity, lower vagal tone, and cardiovascular instability.<sup>13,14</sup> Multiple neurotransmitters and their receptors are found in the CB (ie, norepinephrine, 5-hydroxytryptamine [5-HT], and dopamine) and can participate in CBC during REM sleep.<sup>38</sup> The sympathetic fibers originating from the superior cervical ganglion innervate the CB, and the stimulation of sympathetic fibers could modulate CBC via activation of specific adrenoceptor subtypes within the CB.<sup>39</sup> Thus, a higher  $F_{\text{events-in-REM}}$  might contribute to a stronger stimulation of the CB. In addition, hCBC and sympathetic hyperfunction are also linked through IH in OSA. As mention before, IH induces CB alteration via ROS elevation. IH can also induce hypoxic sensitivity of the adrenal chromaffin cells due to increased ROS levels.<sup>24</sup>

The limitations of this study should be mentioned. First, the sample size is relatively small for a clinical study, but the results are statistically robust for a pilot study. Second, the relationship between CBC and respiratory control instability was not explored directly. Still our results can provide a direction for further studies of respiratory control instability and efforts to simplify the assessment of CBC.

In conclusion, hCBC is related to the severity of OSA. Our results demonstrated that hCBC can be evaluated using a scoring system based on clinical variables from PSG. Furthermore, the assessment model can be applied in further research to identify sub-phenotypes with hCBC and to guide individualized therapy for OSA.

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## Disclosure

All authors declare that they have no competing interests.

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