# ORIGINAL RESEARCH A Study of Factors Causing Sleep State Misperception in Patients with Depression

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**Purpose:** Sleep state misperception, which is the discrepancy between subjective and objective sleep, is often observed in patients with depression. This phenomenon may delay the remission of depression. Previous studies have focused on the total sleep time (TST) misperception, with many of these studies using actigraphy. Thus, our study investigated depressed patients with the exploratory aim of clarifying factors associated with the sleep state misperception including the wake after sleep onset (WASO) misperception, with their objective sleep additionally evaluated by polysomnography (PSG).

Patients and Methods: We conducted a cross-sectional study. Before undergoing overnight PSG monitoring, 40 patients with depression completed questionnaires that included the Beck Depression Inventory (BDI), Epworth sleepiness scale, Temperament and Character Inventory, and the Pittsburgh sleep quality index. Patients were also asked to estimate their subjective sleep duration after they woke up in the morning. Based on this data, we calculated the misperception using the following formula: subjective sleep duration minus objective sleep duration. We compared each factor between negative and positive misperception groups and the multiple regression analysis was performed for TST and WASO misperception, respectively.

**Results:** Although sleep architectures, age, severity of depression and obstructive sleep apnea (OSA) exhibited differences in underestimating or overestimating the WASO, only sex differences were associated with underestimating or overestimating their total sleep time (TST). Moreover, BDI, the severity of OSA, sleep architectures (N1% and N2%), and benzodiazepine (BZD) use were significantly correlated with WASO misperception, whereas only OSA severity was significantly correlated with TST misperception. A subsequent multiple regression analysis demonstrated the BDI was independently correlated with the WASO misperception ( $\beta$ =0.341, p=0.049).

Conclusion: In clinical practice, interventions especially for OSA, and the reduction of depressive symptoms are an important method for improving patient sleep perception. Moreover, current results suggest that BZD prescriptions should be avoided as well. Keywords: insomnia, personality, sleep architectures, discrepancy, sleep disorders

#### Introduction

Sleep state misperception is a discrepancy between subjective sleep and objective sleep, with the prevalence ranging from 9.2% to 56% in patients with insomnia.<sup>1-4</sup> Generally, most patients tend to underestimate their total sleep time (TST) and overestimate their sleep onset latency (SOL) and wake after sleep onset (WASO).<sup>5</sup> Previous studies have shown that insomnia patients who complain about sleep often have anxiety about sleep arousals during sleep along with daytime cognitive impairment, in addition to stating that they could not achieve sleep satisfaction even though they were getting adequate objective sleep.<sup>6,7</sup> Since sleep state misperception interferes with diagnosis and evaluation of sleep,<sup>5</sup> it is essential to clarify associated factors in order to better determine the course of treatment.

Recently, sleep state misperception has been reported in patients with depression, along with discussions of associational factors.<sup>8-10</sup> A cross-sectional study of major depression revealed that subjects in the TST underestimation group were more depressed and exhibited less slow wave sleep as compared to the TST overestimation group. Furthermore, this study also revealed that individuals in the TST underestimation group had a significantly higher introvert trait index as measured by the Maudsley

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personality inventory as compared to the overestimation group.<sup>8</sup> Other studies of bipolar disorders have reported finding that the severity of depression, but not manic symptoms, was positively correlated with the inaccuracy of the TST.<sup>9,10</sup> However, most of these studies only focused on the TST misperception and did not fully investigate other factors, such as the presence of comorbid sleep disorders or the use of psychiatric drugs. Furthermore, many of these studies used actigraphy to estimate the objective sleep duration, even though the validity of calculating sleep parameters, especially WASO, is inferior to polysomnography (PSG).<sup>11</sup>

Furthermore, a recent retrospective study demonstrated that OSA was comorbid with depression and bipolar disorder at a high rate, with this comorbidity observed in more than half of these patients.<sup>12</sup> Obstructive sleep apnea (OSA) is one of the factors associated with the direction and degree of sleep state misperception. Previous research have showed that patients with OSA greatly overestimate SOL while they are more likely to underestimate TST and WASO, which is associated with insomnia severity, age, and the severity of OSA.<sup>13–15</sup> These results implied the importance of OSA, as it is a crucial factor for determining the direction of WASO and TST misperception. In addition, many patients with depression are additionally being administered various types of pharmacotherapies.<sup>16,17</sup> Although these medications may help to improve sleep conditions, they may also have negative effects on the overall sleep architectures.<sup>16–19</sup>

Therefore, our current study explored the psychosocial and physiological factors associated with the sleep state misperception, which included WASO misperception among depressive patients, but did not exclude sleep disorders.

### **Materials and Methods**

#### Study Participants

We conducted a cross-sectional single-center study. We recruited participants from inpatients being examined at Nagoya University Hospital in Japan between 2016 and 2020. Inclusion criteria were participants of 18 years and older with depression, including major depressive disorder and depressive phase of bipolar disorders based on DSM-5 along with having insomnia symptoms. Other criterion used was the availability of subjective and objective sleep data. Exclusion criteria included 1) participants who were diagnosed for dementia, neurodegenerative disease, Parkinson disease, fibromyalgia, major vascular disease, arrhythmia and cerebrovascular disease, 2) who had previously undergone continuous positive airway pressure (CPAP) and/or oral appliance, 3) who work night shift or day/night shifts. We categorized medications that particularly influenced sleep into 3 groups: benzodiazepines (BZDs), antidepressants and antipsychotics. BZDs such as triazolam and etizolam were converted to an equivalent dose of diazepam (DZP). Antidepressants and antipsychotics were also converted to equivalent doses of imipramine (IP) and chlorpromazine (CP), respectively.<sup>20</sup> Eventually, we recruited a total of 52 participants with depression, with all diagnoses made by multiple skilled psychiatrists. This study was conducted in accordance with the Declaration of Helsinki and all procedures were approved by the Nagoya University Ethics Review Committee. All participants agreed to the purpose and procedures of this study and provided written consent.

### Questionnaires

#### Interview questionnaires

#### Hamilton Rating Scale for Depression (HAM-D)

The HAM-D, which is an objective depressive symptom rating scale,<sup>21</sup> is one of the most widely used depression scales in clinical settings. Skilled psychiatrists or psychologists use this scale to assess depressed symptoms of all patients. A total score of 7 or higher indicates that the patient is clinically depressed.

#### Young Mania Rating Scale (YMRS)

The YMRS was used as a scale to assess the objective manic symptoms of the participants.<sup>22</sup> A total score of 12 or less indicates that the patient is in remission while more than 12 indicates the patient is clinically manic.

#### Self-Reported Questionnaires

#### Beck Depression Inventory (BDI)

The BDI was used for evaluating the severity of depression, and is a widely used self-reported scale.<sup>23</sup> The BDI is a 21-item self-report questionnaire that is based on a four Likert scale with the score ranging from 0 to 63 points. A score of 0 to 9

#### Epworth Sleepiness Scale (ESS)

The ESS was used to measure daytime sleepiness. This test is a questionnaire that asks subjects about sleepiness during eight situations in daily living routines.<sup>24</sup> The questionnaire consists of eight items for a four-point scale, with the total scores ranging from 0 to 24. A score of 11 or higher indicates excessive sleepiness during the day.

#### Temperament and Character Inventory (TCI)-Harm Avoidance

TCI is a personality test developed by Cloninger<sup>25</sup> that consists of 125 items that are used to measure four temperament dimensions (novelty seeking: NS, harm avoidance: HA, reward dependence: RD, and persistence: P) and three personality dimensions (self-directedness: SD, cooperativeness: C, and self-transcendence: ST). We chose to use the HA from these seven dimensions, as HA has been previously reported to be significantly associated with insomnia severity and depression.<sup>26</sup>

#### Pittsburgh Sleep Quality Index (PSQI)

The PSQI is a 19-item instrument capable of assessing subjective sleep quality, sleep onset latency, and total sleep time.<sup>27</sup> The questionnaire is a sum of all items, with the scores ranging from 0 to 27. Previous reports have indicated that subjects with a score of 6 or higher are poor sleepers.

#### PSG

This study used the standard attended PSG (EMBLA N7000, Nates Pleasanton, CA, USA or PSG-1100, Nihon Kohden Co., Tokyo, Japan). Results were analyzed based on version 2.1 of the American Academy of Sleep Medicine scoring manual.<sup>28</sup> These methods have been previously described in detail.<sup>29</sup> We evaluated the following parameters: TST, sleep efficiency (% of TST to total time in bed), rapid eye movement (REM) sleep latency, stage REM (%TST), non-REM sleep stage N1 (%TST), N2 (%TST), N3 (%TST), WASO, apnea–hypopnea index (AHI), and arousal index.

#### Sleep State Misperception

The index of sleep state misperception was the value between the subjective sleep index and minus the objective sleep index.<sup>8</sup> In the morning, the PSG was ended, with the participants then asked to rate their subjective TST, and WASO using the following questions: "How long did you sleep last night?" and "How long did you awake after initially falling asleep?"

#### Statistical Analysis

All data were reported as the mean and standard deviation (SD). We divided participants into two groups based on the underestimation or overestimation of TST or WASO. When comparing each factor between the two groups, analysis was performed using a non-paired *t*-test for those factors that could be assumed to normally distributed, while a Mann–Whitney *U*-test was performed for those factors that were non-normally distributed. Fisher's exact test was also used to evaluate the significant difference of the categorical factors. Pearson and Spearman correlation analyses of each factor were performed to analyze the TST and WASO misperception. Subsequently, we then performed a multiple regression analysis to determine the factors that were independently associated with TST and WASO misperception. Independent variables were selected based on previous research and clinical insights. We put all variables into the model with the forced entry method. Models were determined from a probability value, adjust R-squared and checking the normality of residuals. All statistical analyses were performed using R and R commander.

### Results

Although there were 52 participants who met the inclusion criteria, 12 participants were subsequently found to meet the exclusion criteria or had missing values in their questionnaires. The missing values were treated by the listwise deletion. Therefore, a total of 40 participants were included in the final analysis. Table 1 lists the demographic characteristics of participants.

	Depression (n = 40)
Demographic	
Age (years)	50.3±15.6
Sex (female%)	37.5
BMI (kg/m <sup>2</sup> )	25.4±6.0
Diagnosis(MDD/BD)	29/11
PSG	
TST (min)	392.7±64.5
WASO (min)	73.4±54.6
SE (%)	83.4±11.5
NI%	42.6±21.7
N2%	42.3±17.6
N3%	0.9±2.7
REM%	11.8±6.4
REM latency (min)	166.8±81.7
AHI (/h)	20.1±21.7
Arousal index (/h)	25.9±12.0
Subjective sleep	
TST (min)	347.3±87.2
WASO (min)	94.8±92.4
SE (%)	75.6±17.8
HAM-D	12.8±6.7
YMRS	0.2±0.6
BDI	17.1±11.9
НА	13.8±4.5
ESS	7.3±5.5
PSQI	10.4±3.8
CP equivalent (mg)	70.0±140.8
IP equivalent (mg)	102.8±106.9
DZP equivalent (mg)	3.8±4.9

**Table I** Summary of Demographic, PSG, Subjective Sleep, andSymptoms Rating Data

Note: All values are mean ± standard deviation.

Abbreviations: AHI, apnea-hypopnea index; BD, bipolar disorders; BDI, Beck Depression Inventory; BMI, body mass index; CP, chlorpromazine; DZP, diazepam; ESS, Epworth sleepiness index; HA, harm avoidance; HAM-D, Hamilton Rating Scale for Depression; IP, imipramine; MDD, major depressive disorders; PSG, polysomnography; SE, sleep efficacy; SOL, sleep onset latency; TST, total sleep time; WASO, wake after sleep onset latency; YMRS, Young Mania Rating Scale.

### WASO State Misperception (Underestimation vs Overestimation)

No significant difference was found for the demographic factors between the two groups other than for age. Average age of the underestimation group was older compared to that of the overestimation group ( $55.4\pm13.8$  vs  $45.7\pm16.1$ , p=0.047). There were several differences in the sleep architectures between the two groups. Although N1% was significantly higher in participants with WASO underestimation versus that for the WASO overestimation group ( $49.3\pm21.4$  vs  $36.5\pm20.6$ , p=0.044), the N2% was lower for participants in the underestimation group compared to the overestimation group ( $35.0\pm17.0$  vs  $49.0\pm15.7$ , p=0.014). Participants in the underestimation group also had a significantly higher AHI as compared to those in the overestimation group ( $23.9\pm18.4$  vs  $16.6\pm24.3$ , p=0.03). In contrast, the BDI and HAM-D scores were significantly higher in the overestimation group as compared to the underestimation group, respectively (BDI:  $12.8\pm10.3$  vs  $20.9\pm12.1$ , p=0.031, HAM-D:  $10.6\pm6.5$  vs  $14.8\pm6.4$ , p=0.047). Furthermore, the PSQI score was higher in the overestimation group versus the underestimation group ( $8.7\pm2.7$  vs  $12.0\pm4.1$ , p=0.006) (Table 2).

		Underestimation (n = 19)	Overestimation (n = 21)	p value	Effect Size
		(11 - 13)	(11 - 21)		
Demographic					
	Age (years) <sup>b</sup>	55.4±13.8	45.7±16.1	0.047	d=0.64
	Sex (female%) <sup>a</sup>	38	43	0.333	φ=0.23
	BMI (kg/m <sup>2</sup> ) <sup>b</sup>	24.7±5.4	26.0±6.4	0.477	d=0.22
PSG					
	NI% <sup>c</sup>	49.3±21.4	36.5±20.6	0.044	r=0.32
	N2% <sup>c</sup>	35.0±17.0	49.0±15.7	0.014	r=0.39
	N3% <sup>c</sup>	0.2±0.5	1.6±3.6	0.681	r=0.13
	REM% <sup>c</sup>	12.5±6.8	.2±6.	0.523	r=0.16
	REM latency	150.8±76.8	182.8±85.2	0.231	d=0.39
	(min) <sup>b</sup>				
	Arousal index (/h) <sup>c</sup>	29.2±11.2	23.0±12.2	0.103	r=0.34
	AHI (/h) <sup>c</sup>	23.9±18.4	16.6±24.3	0.030	r=0.34
BDI <sup>♭</sup>		12.8±10.3	20.9±12.1	0.031	d=0.72
HAM-D <sup>b</sup>		10.6±6.5	14.8±6.4	0.047	d=0.65
HA <sup>c</sup>		3.3±4.9	14.3±4.2	0.550	r=0.10
ESS <sup>c</sup>		6.1±3.8	8.5±6.6	0.271	r=0.18
PSQI <sup>♭</sup>		8.7±2.7	12.0±4.1	0.006	d=0.95
CP equivalent (mg) <sup>c</sup>		31.1±50.9	105.3±183.3	0.437	r=0.11
IP equivalent (mg) <sup>c</sup>		115.7±115.4	91.2±99.9	0.771	r=0.05
DZP equivalent (mg) <sup>c</sup>		2.3±3.2	5.2±5.7	0.077	r=0.27

Table 2 Underestimation vs Overestimation in WASO

Notes: All values are mean ±standard deviation. <sup>a</sup>Fisher's exact test. <sup>b</sup>Unpaired-t-test. <sup>c</sup>Mann–Whitney U-test.

Abbreviations: AHI, apnea-hypopnea index; BDI, Beck Depression Inventory; BMI, body mass index; CP, chlorpromazine; DZP, diazepam; ESS, Epworth sleepiness index; HA, harm avoidance; HAM-D, Hamilton Rating Scale for Depression; IP, imipramine; WASO, wake after sleep onset.

### TST State Misperception (Underestimation vs Overestimation)

There was no significant difference found between the groups with respect to age, diagnosis, BMI, subjective WASO, sleep stages, BDI, HA, ESS, or psychiatric drugs (Table 3). The percentage of females in the underestimation group (50%,13/26) was significantly higher than that found in the overestimation group (14%, 2/14). These findings confirm that there was a sex difference between the two groups (p=0.01). In addition, the PSQI score was significantly higher in the TST underestimation group (11.5±4.0 vs 8.5±2.5, p=0.017).

### **Correlation Analysis**

Table 4 and Figure 1 present the correlation analysis results. Only AHI was positively correlated with the TST misperception ( $r=0.483 \ p=0.005$ ), with none of the other factors exhibiting any correlation. However, the BDI score and DZP were positively correlated with WASO misperception (BDI: r=0.319, p=0.045, DZP: r=0.338, p=0.033). N1% and N2% were also correlated with WASO state misperception, respectively (N1%: r=-0.367, p=0.019, N2%: r=0.463, p=0.002). AHI was negatively correlated with WASO misperception (r=-0.412, p=0.025).

### Multiple Regression Analysis for TST Misperception and WASO Misperception

The multiple regression analysis results showed that none of the factors were independently related to the TST misperception (AHI:  $\beta$ =0.271, *p*=0.385, sex:  $\beta$ =0.139, *p*=0.095)(Table 5). In contrast, a significant relationship was found between the BDI score and WASO misperception ( $\beta$ =0.341, *p*=0.049) (Table 6). The adjusted R<sup>2</sup> was 0.229 and the significance level of this model was 0.021. None of the other factors were directly associated with WASO misperception.

		Underestimation	Overestimation	þ value	Effect Size
		(n = 26)	(n = 14)	-	
Demographic					
	Age (years) <sup>b</sup>	49.1±16.1	52.5±15.1	0.521	d=0.22
	Sex (female%) <sup>a</sup>	50	14	0.010	<b>φ=0.80</b>
	BMI (kg/m <sup>2)b</sup>	24.8±6.0	26.4±5.8	0.433	d=0.27
PSG					
	NI% <sup>c</sup>	42.0±21.9	42.3±23.1	0.973	r=0.04
	N2% <sup>c</sup>	41.9±17.2	44.1±20.1	0.725	r=0.07
	N3% <sup>c</sup>	1.2±3.2	0.8±1.8	0.709	r=0.10
	REM% <sup>c</sup>	12.3±6.5	10.5±6.5	0.440	r=0.07
	REM latency (min) <sup>b</sup>	175.6±85.0	159.4±106.7	0.625	d=0.17
	Arousal index (/h) <sup>c</sup>	25.3±11.4	27.0±13.3	0.672	r=0.01
	AHI (/h) <sup>c</sup>	20.1±19.9	20.4±26.2	0.966	r=0.30
BDI <sup>b</sup>		18.8±12.7	13.9±9.7	0.216	d=0.42
HAM-D <sup>♭</sup>		14.1±6.39	10.4±6.8	0.094	d=0.57
HA <sup>c</sup>		13.5±4.6	14.2±4.5	0.682	r=0.21
ESS <sup>c</sup>		7.9±6.0	6.3±4.0	0.421	r=0.29
PSQI <sup>b</sup>		11.5±4.0	8.5±2.5	0.017	d=0.84
CP equivalent (mg) <sup>c</sup>		90.0±166.6	33.0±62.3	0.203	r=0.19
IP equivalent (mg) <sup>c</sup>		110.2±109.3	89.1±104.7	0.495	r=0.11
DZP equivalent (mg) <sup>c</sup>		3.9±4.7	3.7±5.4	0.861	r=0.03

#### Table 3 Underestimation vs Overestimation in TST

Notes: All values are mean ± standard deviation. <sup>a</sup>Fisher's exact test. <sup>b</sup>Unpaired-t-test. <sup>c</sup>Mann-Whitney U-test.

Abbreviations: AHI, apnea-hypopnea index; BDI, Beck Depression Inventory; BMI, body mass index; CP, chlorpromazine; DZP, diazepam; ESS, Epworth sleepiness index; HA, harm avoidance; HAM-D, Hamilton Rating Scale for Depression; IP, imipramine.

	TST Misperception	WASO Misperception
Age	0.159	-0.268
BDI	0.194	0.319*
HA	-0.213	0.164
ESS	-0.159	0.006
NI%	0.159	-0.367*
N2%	-0.23 I	0.463**
N3%	-0.06 I	0.149
REM%	0.069	-0.038
REM latency	-0.249	0.038
Arousal index	-0.137	-0.309
AHI	0.483**	-0.412*
CP equivalent	-0.096	0.12
IP equivalent	-0.074	-0.126
DZP equivalent	-0.138	0.338*

Table 4	Results	of	Correlation	Analysis	with	Each	Factor
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Notes: \*p < 0.05, \*\*p < 0.01. We used Pearson correlation analysis for TST misperception, BDI, and ESS while other correlation analysis was performed by Spearman correlation analysis.

**Abbreviations**: AHI, apnea-hypopnea index; BDI, Beck Depression Inventory; BMI, body mass index; CP, chlorpromazine; DZP, diazepam; ESS, Epworth sleepiness index; HA, harm avoidance; HAM-D, Hamilton Rating Scale for Depression; IP, imipramine.

### Discussion

Our current study was designed as an attempt to explore and investigate the factors associated with TST misperception as well as WASO misperception, which have yet to be fully evaluated among patients with depression. The severity of depression, the severity of OSA, N1%, N2%, and BZDs were all found to be associated with WASO misperception, with



Figure I Heatmap of correlation analysis. The results of the correlation analysis described in Table 4 are visualized in this heatmap. The darker the color, the stronger the correlation. A positive correlation is indicated by red, whereas a negative correlation is indicated by blue. **Abbreviations:** AHI, apnea-hypopnea index; BDI, BeckDepression Inventory; BMI, body mass index; CP, chlorpromazine; DZP, diazepam; ESS, Epworth sleepiness index; HA, harm avoidance; HAM-D, Hamilton Rating Scale for Depression; IP, imipramine.

the severity of depression independently associated with WASO overestimation. In contrast, only the severity of OSA was positively correlated with TST misperception. To the best of our knowledge, this is the first study to determine factors associated with not only TST but also WASO misperception in patients with depression.

### WASO Misperception

Our current findings demonstrated that the severity of OSA was associated with WASO underestimation, which is consistent with a previous study that examined insomnia patients with OSA.<sup>13</sup> These results could be explained by the sleep fragmentations, which are more likely to occur with increasing severity of OSA.<sup>30</sup> Although the underlying psychophysiological mechanism remains unknown, previous studies have indicated that the micro sleep architectures, memory process, and glucose metabolism in the brain appear to be interrelated and can interfere with sleep state misperception.<sup>6,31,32</sup> Based on these findings in conjunction with the results of our current study, we believe that even though it has been shown that OSA can help amplify wakefulness during sleep, the repetition of wakefulness and sleep

	В	β	p value	95% CI		
Intercept	-77.48		0.005	-118.78~-36.20		
Sex	0.934	0.1391	0.095	-0.171~2.039		
АНІ	21.23	0.2711	0.385	-27.72~70.18		

Table 5 Multiple Regression Analysis for TST Misperception

**Notes:** Adjusted  $R^2 = 0.059$ ; F (2,37) = 2.229 p = 0.129.

Abbreviation: AHI, apnea-hypopnea index.

	В	β	p value	95% CI
Intercept	-297.658		0.072	
Age	1.0029	0.189	0.298	-0.174~0.283
AHI	-0.311	-0.08 I	0.634	-0.425~0.262
BDI	2.392	0.341	0.049	0.001~0.682
DZP	3.227	0.189	0.235	-0.128~0.506
NI%	1.787	0.468	0.293	-0.422~1.357
N2%	3.442	0.731	0.114	-0.185~1.647

Table 6 Multiple Regression Analysis for WASO Misperception

Notes: Adjusted R<sup>2</sup> = 0.229; F (6,33) =2.928 p = 0.021.

Abbreviation: AHI, apnea-hypopnea index; BDI, Beck Depression Inventory; DZP, diazepam.

state may be an obstacle with regard to the perception of their state, thereby resulting in an underestimation of the WASO. In contrast, the severity of depression was higher in the WASO overestimation group, with the multiple regression analysis indicating that the severity of depression might be independently associated with WASO overestimation. Previous reports have shown that higher depression was associated with a longer subjective WASO.<sup>33,34</sup> A meta-analysis has demonstrated that time perception was slow in the examined clinical group, which implies that the subjective time perception of depressed patients might be longer as compared to the control group.<sup>35</sup> Thus, in our current study, depression may have caused a dysfunction with regard to the sense of time and thus, the subjects may have estimated the actual time of their awake state to be longer than it actually was.

Furthermore, we showed that the use of psychiatric drugs and sleep architectures was associated with WASO misperception. Our analysis indicated that the use of BZDs and N2% were associated with WASO overestimation. BZDs are widely prescribed in clinical settings for not only treating anxiety disorders, but also treating insomnia symptoms that make it especially difficult to fall asleep.<sup>16,36</sup> However, BZDs could have potentially increased the objective WASO, thereby causing a discrepancy between subjective and objective sleep.<sup>19</sup> In addition, it could also induce dependency and increase N2%.<sup>36,37</sup> Therefore, considering the influence of BZDs on N2%, it is possible that N2% may be a mediating factor between BZDs and WASO overestimation, with the use of BZDs interfering with the perception of sleep throughout the N2%.

In contrast, N1%, which is the light sleep stage that is related to arousals, was negatively correlated with WASO misperception. One potential explanation for this is that the high AHI enhanced N1% rather than N1% being associated with WASO underestimation by itself. It is well known that the severity of OSA is positively correlated with N1%.<sup>38</sup> Considering the magnitude of AHI in our current study, the severity of OSA could have potentially influenced N1%. Furthermore, age was higher in the WASO underestimation group. This result is in line with a previous report demonstrating that aging was one of the risk factors for the underestimation of WASO among insomniacs.<sup>39</sup>

#### **TST** Misperception

The only factors that differed between the two groups were sex and PSQI score. Prior research has implied the possibility that women tend to underestimate their TST as compared with men.<sup>40</sup> Furthermore, a meta-analysis demonstrated that while women report more subjective sleep complaints,<sup>40</sup> PSG often does not confirm this difference between the sexes.<sup>41</sup> Our current study revealed that while there was an association between TST overestimation and the severity of OSA, our multiple regression analysis did not demonstrate any significant relationship. While the overestimation of TST is expected to be influenced by electroencephalography frequencies during sleep, especially beta and gamma bands, the mechanism responsible for this remains unclear.<sup>42</sup> Further research will need to be undertaken in order to determine how OSA affects sleep misidentification in depressed patients.

Contrary to prior reports, personality traits were not associated with TST misperception and there were no differences found for these between the two groups. Previous studies of depressed patients have shown that anxiety tendency is high in TST underestimation,<sup>8</sup> however, this study was not confirmed. One possible explanation for this agreement is that in

our study, the average BDI score was mild to moderate while in this previous study, it was reported to be moderate to severe.<sup>8</sup> Thus, differences in the severity of depression would be related to this result.

## Limitations and Future Research

There are some limitations in our current study. First, interpretation requires caution due to the relatively small sample size. A future study with a larger number of samples will be needed in order to confirm the present results. Second, this study was not of a longitudinal design. Due to this, the causal relationship between sleep state misperception and these factors cannot be inferred at the present time. Third, the severity of depression in our subjects ranged from mild to moderate. Therefore, our results may not be representative of depressive patients in general. A future study that recruits more severe cases will be required. Fourth, although this result would reflect actual clinical practice, we did not control all confounding factors affecting sleep and PSG. Furthermore, most of participants were hospitalized one day before the PSG for prevention of first-night effect while some participants take anti-epileptic drugs the number of them was not enough to analyze. In future research, since performing PSG can be a heavy burden for patients with severe mental disorders,<sup>11</sup> there is a need to find a better way to measure their sleep using less burdensome instruments, such as home-based simple objective measurement devices.<sup>43</sup>

## Conclusion

Although there were several limitations, as listed above, this study was able to reflect a more clinically relevant situation by taking into consideration OSA, which has a high comorbidity with depression. Among patients with depressive symptoms, a greater severity of depression can be a marker of potential WASO overestimation. Moreover, BZDs and OSA, which affect sleep architectures, could also be a risk factor interrupting the subject's WASO perception. In clinical settings, clinicians need to intervene in cases of depression and OSA while also considering tapering or withdrawing BZDs when there is subjective prolonged sleep arousal detected among such patients.

## **Abbreviations**

AHI, apnea-hypopnea index; BD, bipolar disorders; BDI, Beck Depression Inventory; BMI, body mass index; CP, chlorpromazine; DZP, diazepam; ESS, Epworth sleepiness index; HA, harm avoidance; HAM-D, Hamilton Rating Scale for Depression; IP, imipramine; MDD, major depressive disorders; PSG, polysomnography; CPAP, continuous positive airway pressure; SE, sleep efficacy; SOL, sleep onset latency; TST, total sleep time; WASO, wake after sleep onset latency; YMRS, Young Mania Rating Scale.

### **Data Sharing Statement**

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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