ORIGINAL RESEARCH

Intake Patterns and Experiences of Patients Using Direct Oral Anticoagulants Measured by Electronic Monitoring in Community Pharmacies

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Purpose: Several international organizations advocate for monitoring of adherence to direct oral anticoagulants (DOACs), given the prevalent issue of suboptimal adherence to DOACs. The aim was to investigate intake patterns in patients on DOAC therapy by electronic monitoring of medication adherence in community pharmacies (using a Medication Event Monitoring System[®] (MEMS[®])-device), and to assess patients' experiences with this device.

Patients and Methods: Patients using apixaban, rivaroxaban or edoxaban and visiting a community pharmacy, were included. Adherence was electronically monitored over a twelve-week period. Pharmacists conducted data readings from the electronic device at six and twelve weeks, and discussed these data with the patients. At the beginning and end of the study, patients completed a questionnaire about their expectations and experiences respectively.

Results: Eighty-nine patients were included and high taking adherence rates were observed (median adherence of 100% for oncedaily dosed patients and 96.7% for twice-daily dosed patients), but more than half of the patients took at least one dose too late or skipped at least one dose, possibly resulting in temporarily reduced protection against thromboembolic events. Most patients who felt that their adherence had improved, believed this was due to the combination of the electronic device and the personal follow-up by the pharmacist. Although most patients stated that medication adherence is their own responsibility, they were grateful for the support they received from their community pharmacist.

Conclusion: High adherence rates were observed, but there was still room for improvement regarding intake moments. Positive experiences with an electronic device for medication adherence monitoring were reported.

Keywords: adherence, electronic adherence monitoring, community pharmacist, anticoagulation

Introduction

Direct oral anticoagulants (DOACs) are important assets in the prevention and treatment of thromboembolic diseases due to the unrivalled combination of ease of use, safety and effectiveness compared to other antithrombotic treatments. Because of the relative short half-life of DOACs and rapid offset of action compared to vitamin K antagonists and the important consequences of therapy failure, several international organizations recommend the monitoring of adherence to these molecules.^{1–5} Moreover, several studies have shown a suboptimal adherence to DOACs that often goes unnoticed in daily practice.^{6–13} Adherence data vary depending on the type of DOAC, definition of adherence used, clinical indication, measurement method and the duration of DOAC intake.^{3,6–9,11,12,14–16}

Adherence is defined by the World Health Organization (WHO) as

the extent to which a person's behavior – taking medication, following a diet, and/or executing lifestyle changes – corresponds with agreed recommendations from a healthcare provider.¹⁷

Three phases of adherence can be distinguished, namely initiation, implementation and discontinuation.¹⁰ Adherence can be measured by subjective methods (eg pill counts or self-reporting) and objective methods (eg blood concentration monitoring or electronic monitoring).^{3,7,18–23} One example of electronic monitoring is the Medication Event Monitoring System[®] (MEMS[®], AARDEX Group, Belgium), used in this study, an electronic cap secured on top of a plastic medication bottle that records the date and time the pill container is opened. An overview of all dates and times the device is opened, as well as a visual overview of these time points, can be obtained.^{24–27} Recently, the International Society for Medication Adherence (ESPACOMP) stated that electronic medication monitoring should be considered as a gold standard to assess medication adherence.²⁸ Although there are some studies evaluating the impact of electronic monitoring on DOAC therapy, no studies are available yet as far as we know on the use of electronic monitoring to measure DOAC adherence in primary care services.^{15,29}

Therefore, the primary aim of this study was to investigate intake patterns in patients on DOAC therapy by electronic monitoring in community pharmacies. A second objective was to assess patients' experiences with DOAC adherence follow-up by an electronic device.

Materials and Methods

Study Design

A mixed-method observational study was conducted in Belgian community pharmacies. Data collection was done in two ways namely through the use of the electronic devices and questionnaires. The combination of both methods resulted in the collection of quantitative and qualitative data.

Study Population

Patients aged 18 years or older, visiting one of the participating community pharmacies with a prescription for apixaban, edoxaban or rivaroxaban were consecutively included between October 1st, 2020 and February 28th, 2021. Because of its hygroscopic character, dabigatran could not be repackaged in an electronic device, which is the reason why patients on dabigatran could not be included in this study.³⁰ There were no restrictions regarding the indication for or duration of DOAC use. A maximum number of 20 patients per pharmacy could be included. Participating community pharmacists were recruited from the network of the "Koninklijke Apothekersvereniging van Antwerpen" (KAVA), a local Belgian professional association of community pharmacists. Attendees in a training event on DOACs were approached for voluntary participation.

By signing an informed consent, patients gave permission to access their shared pharmaceutical file ie, a file containing all products dispensed in Belgian community pharmacies. Patients also gave permission to contact their general practitioner (GP).

Role of Pharmacists

At least one pharmacist from each participating community pharmacy received training on the interpretation of electronic data and motivational communication skills.

At every inclusion, DOAC therapy was repackaged into an electronic device containing sufficient supply for 6 weeks. The pharmacist explained the use of the device thoroughly to the patient. When the patient came back to the community pharmacy after 6 weeks, the electronic device was read out and refilled by the pharmacist for another 6 weeks. The adherence results were shown to and discussed with the patient. A discussion guide was available for the pharmacists.

After 12 weeks, the study was concluded with a third visit by the patient to the community pharmacy. The electronic device was read out and results were again discussed between the patient and pharmacist. At this visit, the electronic devices were handed in and the patient received DOAC therapy as before in the original medication packages.

Data Collection and Analysis

Data from the Electronic Device

Based on the data obtained from the electronic device, the DOAC intake pattern of each participating subject was characterized.

Adherence was measured by taking adherence and timing adherence. Taking adherence was defined as the percentage of prescribed doses a subject took, while timing adherence was defined as the percentage of doses correctly taken within a predefined window.³¹ For this study, this window was set between 12h and 36h ($24h \pm 12h$) around the median intake time for oncedaily dosed (QD) DOAC patients and between 6h and 18h ($12h \pm 6h$) around the median intake time for twice-daily dosed (BID) patients. Changes in intake pattern before and after discussion with the pharmacist after the first six weeks of using the electronic device were analyzed using a paired *T*-test (if normally distributed) or a Wilcoxon signed rank test (if not normally distributed). Differences in timing adherence between QD and BID patients were analyzed using a Mann–Whitney *U*-test. Differences in the proportion of QD and BID patients missing at least one dose were investigated using a Chi-square test. Normality was tested using the Shapiro–Wilk test (if n < 50) or the Kolmogorov–Smirnov test (if n ≥ 50). A two-sided p-value of < 0.05 was considered statistically significant. Statistical analyses were performed using IBM SPSS Statistics version 29.0.0.0.

Experiences and Expectations

At the start of the study, the patient completed a first questionnaire about his/her medication use and his/her expectations towards electronic monitoring of medication adherence. At the end of the study (week 12), the patient completed a second questionnaire about his/her experiences.

The first questionnaire was based on the validated MARS-5 questionnaire,³² combined with demographic and medical data. The second questionnaire included a mix of open-ended and multiple-choice questions. Both questionnaires were initially developed by the first author (SD). Subsequently, the entire research team revised the questionnaires followed by a third revision carried out by the participating community pharmacists, academics and by representatives of professional associations. Depending on the patient's preference, a paper or an electronic version of the questionnaires was provided. Both questionnaires are available in the <u>Supplementary Materials</u>.

Ethics Approval

The study was approved by the Ethics Committee of UZ Brussel (2020/346 - 30/09/2020).

Results

In total, 13 community pharmacies participated in this project. The participating pharmacies had a median employee number of 2.5 full time equivalents (both pharmacists and pharmacy technicians) with an interquartile range (IQR) of 2.5–3.0, a median number of 105 visiting patients per day (IQR: 100–120) and included a median number of 6 patients (IQR: 5.0–8.5) each in this study.

In total, 158 patients were eligible for inclusion and were asked to participate, of whom 89 (56.3%) agreed. Patients most frequently agreed to participate out of sympathy for the pharmacist (n = 58; 65.2%), while for only 7 patients (7.9%), the primary objective for participation was to improve their medication adherence. The most common reason for refusal was that a pill organizer or similar system was already in use (n = 30; 43.5%), preventing the re-packaging of the drug in the electronic device. Table 1 summarizes the reasons for refusal.

The median age of participating patients was 71.0 years (IQR: 66.0-78.0) and 66.3% (n = 59) were male. The majority of patients lived together with their partner (n = 63; 70.8%) and 75.3% of patients (n = 67) finished at least secondary school. Most patients were treated with a DOAC for thromboembolic (stroke) prevention because of atrial fibrillation (n = 63; 70.8%). Sixty-nine patients (77.5%) had a QD dose regimen, while 20 patients (22.5%) took a DOAC BID. Data from the electronic device were obtained in 87 patients, among whom 67 (77.0%) had a QD and 20 (23.0%) a BID dose regimen. Additional patient characteristics are summarized in Table 2.

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Use of pill organizer or similar system	30 (43.5%)
Not interested due to lack of added value	18 (26.1%)
Research context does not feel comfortable	8 (11.6%)
Too difficult for the patient	8 (11.6%)
Patient is too busy	3 (4.3%)
Other reasons	2 (2.9%)

 Table I Reasons for Patients' Refusal (n = 69)

Note: All data are represented as numbers and corresponding percentages.

Table 2 Patient Characteristics (n :	= 89)
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Age (years)		71.0 (66.0–78.0)
Sex	Male	59 (66.3%)
	Female	30 (33.7%)
Living situation	Living together with partner	63 (70.8%)
	Living together with partner and children	9 (10.1%)
	Single without children	13 (14.6%)
	Single with children	3 (3.4%)
	Living with parents	(. %)
Highest education	Primary school	22 (24.7%)
	Secondary school	44 (49.5%)
	Higher education	23 (25.8%)
CHA ₂ DS ₂ -VASc score ^a		3 (2-4)
HAS-BLED score ^a		2 (1–2.5)
Indication for DOAC use ^b	Non-valvular atrial fibrillation	63 (70.8%)
	Secondary prevention of venous thromboembolism (VTE)	19 (21.3%)
	Other	6 (6.7%)
	Unknown	3 (3.4%)
Apixaban	2.5 mg	2 (2.2%)
	5 mg	16 (18.0%)
Edoxaban	30 mg	7 (7.9%)
	60 mg	34 (38.2%)
Rivaroxaban	2.5 mg	2 (2.2%)
	10 mg	1 (1.1%)
	I5 mg	3 (3.4%)
	20 mg	24 (27.0%)

Notes: All data are represented as numbers and corresponding percentages except for age, CHA₂DS₂-VASc score and HAS-BLED score that are represented as a median with corresponding interquartile range. ^aOnly calculated for AF-patients, based on self-reported data; ^bDifferent reasons possible, self-reported.

Taking Adherence

The median taking adherence was significantly higher among patients using a DOAC QD (100%; IQR: 97.1–100%) compared to patients using a DOAC BID (96.7%; IQR: 93.8–99.3%) (Mann–Whitney *U*-test; p = 0.001).

Of the 67 QD patients, 22 patients (32.8%) missed at least one dose during the 12-week study period. After six weeks of using the electronic device, 57 of these patients (85.1%) had a discussion moment with the pharmacist. No statistically significant difference in taking adherence before and after the discussion moment with the pharmacist was observed (Wilcoxon signed-rank test; p = 0.893).

Of the 20 BID patients, 14 patients (70.0%) missed at least one dose during the 12-week study period, which is significantly higher than for the QD patients (Chi-square test; p = 0.003). After six weeks, all these patients had a discussion moment with the pharmacist. Taking adherence did not significantly change after the discussion moment with the community pharmacist after six weeks (Wilcoxon signed-rank test; p = 0.055).

Among most patients (n = 19; 95.0%) using a DOAC BID, taking adherence in the morning was similar to that in the evening. Likewise, time variances when taking the morning dose were similar to those of the evening dose.

Timing Adherence

Patients on a QD regimen had a significantly higher median timing adherence (98.6%; IQR: 96.3–100%) compared to patients on a BID regimen (97.1%; IQR: 94.2–98.9%) (Mann–Whitney U-test; p = 0.043).

Once-Daily Dose Regimen

Most patients using a DOAC QD took the DOAC in the morning between 8 am and 11 am (n = 54, 80.6%), while 11 patients (16.4%) took the DOAC in the evening (between 6 pm and 10 pm) and only two patients (3.0%) took the DOAC around 1 pm.

In the QD regimen, the median interval between two consecutive intakes was 24h for most patients (n = 39; 58.2%). However, 14 patients (20.9%) had a median interval shorter than 24h (with a minimum of 23.4h), whereas another 14 patients (20.9%) had a median interval longer than 24h (with a maximum of 24.2h). There were no significant changes in the median interval between two intakes before and after the discussion moment with the pharmacist (Wilcoxon signed-rank test; p = 0.062).

During the 12-week study period, 34 QD patients (50.7%) had at least one dosing interval of more than 36h, with one patient having 11.3% of his intakes too late by at least 12h. There were no significant changes in the number of doses taken too late before and after the discussion moment with the pharmacist (Wilcoxon signed-rank test; p = 0.165).

Similarly, 30 patients (44.8%) had at least one dosing interval less than 12h and thus took the medication too early, with one patient taking his DOAC too early by at least 12h in 21.7% of cases. After the six weeks-discussion moment with the pharmacist, the number of doses that were taken too early, decreased significantly (Wilcoxon signed-rank test; p = 0.006).

Twice-Daily Dose Regimen

In most patients using a DOAC BID (n = 14; 70.0%), the median interval between two intakes ranged between 11.5h and 12.5h. Nine patients (45.0%) had a median interval between two consecutive intakes of 12.0h. however, five patients (25.0%) had a median interval shorter than 12.0h (with a minimum of 10.1h), whereas another five patients (25.0%) had a median interval longer than 12.0h (with a maximum of 13.5h) and one patient (5.0%) had a median interval of 21.1h.

During the 12-week study period, 18 patients (90.0%) had at least one intake more than 18h after the previous one (and thus 6h after the planned intake), with one patient taking his DOAC too late by at least 6h in 57.9% of intake moments. There were no significant differences before and after the discussion moment with the pharmacist (Wilcoxon signed-rank test; p = 0.078).

Similarly, 11 patients (55.0%) using a DOAC BID had at least one intake less than 6h after the previous intake and thus took their medication too early by at least 6h. After the six weeks-discussion moment with the pharmacist, the number of doses that were taken too early, decreased significantly (Wilcoxon signed-rank test; p = 0.004).

Figure 1 shows the timing adherence in QD and BID patients. The percentage of patients with at least one intake too early, one intake too late or without any mistakes in timing adherence are shown.

Patient Experiences and Expectations

At the end of the study, 77 patients (86.5%) completed the questionnaire about their experiences and expectations towards healthcare providers concerning medication adherence. Although 51 of these patients (66.2%) believed that their adherence remained unchanged during this study, 26 patients (33.8%) stated that their adherence increased. Sixteen of these 26 patients (61.5%) believed that their adherence increased due to the combination of follow-up with the electronic device and the discussion with the pharmacist. Six of these 26 patients (23.1%) reported that the increase was solely due to the discussion with the pharmacist, while four patients (15.4%) mentioned the follow-up with the electronic device as the reason for the increased adherence. None of the patients stated that their adherence decreased during the study period.



Figure I Timing adherence in QD and BID patients. Percentage of patients with at least one intake too early or too late compared to the planned intake.

Two-thirds of patients (n = 51; 66.2%) reported that medication intake and adherence is their own responsibility. Nevertheless, more than half of them (n = 29; 56.9%) were grateful for the support they received from the healthcare providers (pharmacist and/or GP).

The remaining one-third of patients (n = 26; 33.8%) stated that correct medication intake is a shared responsibility between the patient, GP and pharmacist.

Sixty-two patients (80.5%) expressed that they gained more insight into their medication use due to the discussion with the pharmacist. Sixty-three patients (81.8%) reported that the pharmacist can give more personal advice based on the data obtained with the electronic devices and 66 patients (85.7%) stated that adherence monitoring should be carried out by both GPs and pharmacists.

More than half of the patients (n = 44; 57.1%) were only willing to come to the pharmacy for a refill of the electronic device when they also needed a new supply of the medication. Forty-six patients (59.7%) stated that adherence follow-up, including the use of the electronic device, should be fully reimbursed. Regarding a personal contribution, 18 patients (23.4%) were willing to pay up to \notin 5; 10 patients (13.0%) up to \notin 20 and only three patients (3.9%) were willing to pay up to \notin 50 for this service. None of the patients was willing to pay more than \notin 50 for this follow-up.

Discussion

In this study, two main aspects of real-life electronic monitoring of DOAC adherence in community pharmacies using an electronic device were investigated, ie (1) intake patterns of patients on DOAC therapy, and (2) patients' experiences with DOAC adherence follow-up by their pharmacists based on the results of the electronic device.

Electronic Monitoring of DOAC Adherence: Intake Patterns

Higher adherence rates were seen in patients with a QD compared to BID dose regimen, which is in line with previous findings.^{7,13,33} The half-lives of DOACs vary between 9h to 14h in patients with a normal renal function. In a BID regimen, doses were skipped more often and the correct timing of intake was less respected, but skipping one dose in a BID dose regimen is expected to have less impact on DOAC blood levels compared to a QD dose regimen.⁷ Although our study showed overall high taking adherence rates, an important part of the patients missed at least one dose during the 12-week study period (32.8% of the QD patients and 70.0% of the BID patients). Given the relatively short half-life of DOACs and rapid offset of action, a missed dose may increase the risk of thromboembolic events, especially in a QD

dose regimen. The European Heart Rhythm Association (EHRA)-guidelines clearly state that a missed DOAC dose may be taken until half of the dosing interval has passed. After this time point, the missed dose should be skipped to avoid an increased bleeding risk.²

In our study, we frequently observed incorrect intake of missed DOAC doses, with 50% of the QD dosed patients and 90% of the BID dosed patients having at least one intake of more than half the dosing interval after the planned intake and hence a higher thromboembolic risk susceptibility.

Conversely, an important part of the patients took at least one dose of their medication too early (44.8% of QD patients and 55.0% of BID patients), resulting in a higher bleeding risk. The percentage of doses taken too early was reduced after pharmacist intervention.

Electronic Monitoring of DOAC Adherence: Patient Satisfaction

According to the literature, medication education and a good relationship with the healthcare provider are important factors contributing to medication adherence.^{20,34–36} Our study showed that all patients were highly satisfied with the follow-up by the community pharmacist and the electronic device was considered user-friendly. It has previously been shown that feedback from electronic monitoring data is an important factor influencing medication adherence.⁷ Such feedback moments do not only involve a discussion about specific medication intake patterns, but also include elements of education and motivation.⁷ Therefore, monitoring and managing medication adherence should become a standard practice for patients with different types of medication, especially for those struggling with a correct intake of chronic medications. Subject to a financial compensation, electronic monitoring of medication adherence could be part of community pharmacists' remit. However, the most important challenge may remain to target the patients that will benefit most from this kind of follow-up.

Strengths and Weaknesses

In our study, electronic monitoring of DOAC adherence was used in a primary care setting. Adherence to DOAC therapy is a key area where there is still much to be gained. DOACs can be considered high risk medication due to their low drug forgiveness, therefore, follow up of their correct intake is of major importance.

For several reasons, no firm conclusions could be drawn about the direct impact of the electronic monitoring on DOAC adherence. First, from the start of the study (with baseline electronic measurement after six weeks), adherence among the included patients was already high compared to other studies,^{6–9} but comparable to another Belgian study using electronic monitoring.³³ The high baseline adherence rates may be partly explained by the Hawthorne effect, which makes patients observed in a study setting behave better.³⁷ Additionally, approximately one out of two invited patients (56.3%) agreed to participate in this study and we have no information on the adherence rates of patients who refused to participate. Two-thirds of patients mentioned that the most important reason to participate was out of sympathy for their community pharmacist. The existing good relationship with the pharmacist may have been a source of bias that contributed to the observed high adherence rate, as this may have mainly led to the inclusion of patients with a high baseline adherence. Moreover, opening a package does not always mean that the medication is actually taken.²⁸ Despite the high adherence rate in this study population, there is still room for improvement, especially with respect to timing adherence.

Second, there was probably also a bias in pharmacist participation, as community pharmacists voluntarily participated in this study, presumably attracting mainly the highly motivated. These pharmacists may already provide excellent pharmaceutical care in daily practice with due consideration for patient adherence.

Third, the intervention consisted of two parts, namely the electronic monitoring and the intervention by the pharmacist. Because of this dual intervention, no conclusions could be drawn about the effect of either alone.

Moreover, as the questionnaires were not reviewed by a patient population, there may have been difficulties in interpreting the questions. However, we have no evidence in the form of unanswered or additional questions that patients experienced interpretation difficulties.

Implementation Barriers

Two main barriers to practical implementation of measuring DOAC adherence using the electronic device were mentioned. First, many patients were polymedicated. Adherence to DOAC was measured using a smart bottle cap, which implied storing the DOAC in a specific, separate medication bottle. Adding an extra device for a single medication is not desirable. Some patients refused participation for this reason. Although we had no information on the adherence of polymedicated patients specifically, it is possible that this subgroup of patients could benefit most from adherence monitoring facilitated by a healthcare provider. To overcome this limitation, another type of electronic monitor could be used for polymedicated patients, for instance a smart button that can be stuck on a pill organizer. However, interpretation of data on polymedicated patients will be difficult, because there may be different dosing regimens and different times of intake. Therefore, it is of major importance to select the most eligible patient population that would benefit most from electronic monitoring. Possible patient profiles could be patients with suspected non-intentional non-adherence, patients starting a therapy (to create habits) or patients on medication with low drug forgiveness such as DOACs. Additionally, it can be of interest to monitor adherence before changing therapy in case of treatment failure.

A second barrier was the cost of the device. Patients agreed that the government should reimburse this device or its use, although some patients were also willing to partially pay for such a service themselves. Additionally, time management can be a challenge, providing another reason to select a high risk population and to implement electronic monitoring in these patients to prevent pharmacotherapeutic escalation and hospitalizations.

Further Research

An interesting possibility for further research could be to focus on the use of a smart button stuck on a medication box in polymedicated patients. With this button (instead of the bottle cap used in this study), adherence to all medications a patient takes could be tracked. DOAC patients are often polymedicated and considering the importance of other medication classes in these patients, an overall view of their medication intake behavior can provide important information about their (cardiovascular) risk. In addition, factors contributing to poor medication adherence can be investigated and the impact of the electronic device or button in these specific patients can be studied.

Conclusion

In this study, high DOAC adherence rates were observed, but more than half of the patients took at least one DOAC dose too late or skipped at least one dose during the 12-week study period. This can potentially result in suboptimal protection against thromboembolic events. Consequently, there is still room for improvement regarding the correct intake and timing adherence of DOACs. Positive experiences with an electronic device for medication adherence follow-up were reported. Moreover, this study found that patients appreciated the support of healthcare providers in monitoring their adherence.

Abbreviations

BID, twice-daily dosed; DOAC, direct oral anticoagulant; EHRA, European Heart Rhythm Association; ESPACOMP, International Society for Medication Adherence; GP, general practitioner; IQR, interquartile range; KAVA, Koninklijke Apothekersvereniging van Antwerpen; MEMS[®], Medication Event Monitoring System; QD, once-daily dosed; WHO, World Health Organization.

Data Sharing Statement

The datasets generated and analyzed during this study are available from the corresponding author on reasonable request.

Ethics Approval and Informed Consent

This study was performed in line with the principles of the Declaration of Helsinki. Approval was granted by the Ethics Committee of UZ Brussel (2020/346).

Informed consent was obtained from all individual participants included in the study.

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Author Contributions

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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Disclosure

Antoine Pironet reports employment relationship with AARDEX Group. The other authors have no relevant financial or non-financial interests to disclose for this work.

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