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#### ORIGINAL RESEARCH

# "Outcomes of Iontophoresis Cross-Linking in Asymmetric Keratoconus: A Retrospective Analysis of High Visual Acuity Eyes Under 25 Years Old"

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**Purpose:** To assess the long-term outcomes of transepithelial iontophoresis cross-linking (I-CXL) for early keratoconus with high visual acuity under the age of 25.

**Methods:** This retrospective study was conducted at the Department of Ophthalmology, Humanitas San Pio X, Milan, Italy, on data collected between 2015 and 2020. Patients diagnosed with early keratoconus and under the age of 25 who underwent to I-CXL were retrospectively reviewed and included in the study if they fulfilled the following criteria: high visual acuity (LogMAR  $\leq 0.2$ ) before I-CXL and the fact that the fellow, most advanced eye, had been treated with epithelium off CXL (S-CXL). Corrected distance visual acuity (CDVA), spherical equivalent refraction, thinnest corneal thickness, corneal topography and tomography were assessed at baseline and at least 24 months of follow-up, using Pentacam. Statistical analysis was performed with STATA SE version 17.

**Results:** Twenty patients with a mean age of  $18.5 \pm 3.75$  months were included. The median follow-up time was 24 months. The statistical analysis showed no significant change over time in CDVA, maximum keratometry and A, B, C values of the Belin Progression Display. Mean baseline CDVA was  $0.03 \pm 0.07$  logMAR, whereas at 24-month was  $0.01 \pm 0.04$ . Mean spherical equivalent was  $-1.29 \pm 1.38D$  at baseline and  $-1.05 \pm 1.51D$  after 24 months. Preoperative maximum keratometry was  $48.35 \pm 4.95$  D and  $48.56 \pm 4.96$  D after the 2 years of follow-up. Mean baseline A value was  $7.13 \pm 1.66$  mm and 24-month postoperative was  $7.43 \pm 0.48$  mm. Average B value was  $5.87 \pm 0.55$  mm prior to surgery, while it was  $5.83 \pm 0.60$  mm after the last follow-up. Mean baseline and 24-month thinnest point were  $498.9 \pm 34.29$  µm and  $500.10 \pm 33.45$  µm respectively. None of the patients showed a progression of keratoconus.

**Conclusion:** I-CXL may be considered as a beneficial treatment option for young patients with less advanced keratoconus, although further consensus on patient selection criteria is needed.

Keywords: keratoconus, ectasia progression, cross-linking treatment, iontophoresis

## Introduction

Keratoconus (KC) is a progressive corneal disorder characterized by thinning and bulging of the cornea, leading to irregular astigmatism, high order aberrations and visual impairment. Usually, KC is diagnosed in the adolescence and progresses in the first 3–4 decades of life.<sup>1,2</sup> One intriguing aspect of keratoconus is the presence of asymmetry, where the disease affects one eye more severely than the other. Studies have demonstrated that the severity and rate of progression can differ markedly between the eyes in individuals with keratoconus, leading to significant interocular asymmetry, which moreover increases with keratoconus severity in the worse eye.<sup>3</sup> The diagnosis of keratoconus can be enhanced by incorporating pachymetric, elevation-based, and high-order corneal wavefront intereye asymmetry parameters.<sup>4,5</sup>

The progression of the two eyes can be very different, and it is important to evaluate them carefully. It is known that patients initially diagnosed with unilateral keratoconus, if observed for a mean period of 4 years, commonly develop keratoconus in the fellow eye.<sup>6</sup> The prevalence and incidence of unilateral KC has decreased with increasingly advanced detection methods,<sup>7</sup> spacing from 0.5% to 14.3%.<sup>1,8,9</sup> The Global Consensus determined that true unilateral keratoconus does not exist.<sup>10,11</sup>

Over the years, several treatment modalities have been developed to halt the progression of keratoconus and improve visual outcomes. Among these, corneal collagen cross-linking (CXL) has gained significant attention and is considered the gold standard treatment for keratoconus.

Standard cross-linking (S-CXL) has demonstrated efficacy in halting the progression of keratoconus, improving corneal biomechanics, and stabilizing visual acuity in numerous studies.<sup>12,13</sup>

However, the epithelium-off technique has some drawbacks, including postoperative pain, delayed epithelial healing, and the risk of infection.<sup>14</sup> To address these concerns, alternative approaches such as iontophoresis cross-linking have been developed. Iontophoresis cross-linking (I-CXL), also known as transepithelial cross-linking, aims to enhance riboflavin penetration into the cornea without removing the epithelium. This technique offers the potential advantages of faster epithelial healing, reduced postoperative discomfort and lower risk of infection.<sup>15–17</sup>

The purpose of our study is to assess the outcomes of transepithelial iontophoresis cross-linking for high CDVA keratoconus under the age of 25 in which the fellow, most progressive eye, had been treated with epithelium off CXL (S-CXL).

## **Materials and Methods**

In this study, we included the fellow less advanced keratoconus eye of patients with bilateral, asymmetric keratoconus under the age of 25 who underwent to CXL at Humanitas San Pio X, Milan, Italy, between 2015 and 2020. As stated in Articles 6 and 9 of the European General Data Protection Regulation (Regulation (EU) 2016/679), the processing of personal data for scientific research purposes may be carried out without explicit informed consent, provided that appropriate safeguards are applied, such as data anonymization or pseudonymization. In this retrospective study, previously collected data were used, and the study was conducted under these provisions. The data used were previously collected for other purposes, and their processing for scientific research falls under lawful bases without the need for explicit consent.<sup>18</sup> However, the study was conducted according to the ethical standards of the Declaration of Helsinki.

The inclusion criteria were as follows: for each patient, the eye with the higher disease severity according to ABCD progression display underwent S-CXL; the fellow, less advanced keratoconic eye, no matter of ABCD stage, had to have a CDVA inferior to 0.2 logMAR.

Corrected distance visual acuity (CDVA), spherical equivalent (Sph Eq), thinnest corneal thickness, corneal topographic and tomographic indices were assessed at baseline and at least 24 months of follow-up, using Pentacam (Oculus Optikgeräte GmbH, Wetzlar, Germany).

The iontophoresis procedure we used has already been described.<sup>19</sup> Briefly, it involves the utilization of a riboflavin solution 0.1%. The electrode is connected to a constant current generator (I-ON XL; Sooft), set at 1 mA, for a total dose of 5 mA/5 minutes. Following this, the cornea is exposed to ultraviolet (UV) light from a 10 mW UV lamp (UV-X 2000; IROC Innocross AG, Zurich, Switzerland) at a working distance of 45 mm for a duration of 9 minutes.

As in other recent studies of our research group,  $^{17,20}$  the definition for re-progression after CXL we have considered was at least 2/3 of the following criteria:  $^{21}$ 

- Progression of A parameter more than 95% CI compared to the follow-up 12 months after surgery;
- Progression of B parameter more than 95% CI to the follow-up 12 months after surgery;
- Reduction of minimal pachymetry of at least 7 µm compared to the follow-up 12 months after surgery (more than 95% CI).

The statistical analysis was performed with STATA SE version 17. All the data are reported as means  $\pm$  standard deviation. The two-sided paired *T*-test was applied to evaluate the significance level of respective differences between baseline and 24-month follow-up values. The level of statistical significance was set at a P value of less than 0.05.

Parameters	Baseline (mean±sd)	24-month FU (mean±sd)	p-value
CDVA (logMAR)	0.03±0.07	0.01±0.04	0.10
Sph Eq (D)	-1.29±1.38	-1.05±1.51	0.14
Kmax (D)	48.35±4.95	48.56±4.96	0.30
A (mm)	7.13±1.66	7.43±0.48	0.33
B (mm)	5.87±0.55	5.83±0.60	0.37
C (µm)	498.9±34.29	500.10±33.45	0.51

Table I Statistical Analysis Considering the Baseline and the 24-Month Follow-Up

## Results

Twenty eyes of 20 patients with a mean age of  $18.5 \pm 3.75$  months fulfil the inclusion criteria. The median follow-up time was 24 months. The gender distribution was 16 males and 4 females. The included eyes were 8 right eyes and 12 left eyes. Table 1 displayed the statistical analysis, showing no significant change over time in CDVA, maximum keratometry and A, B, C values of the Belin Progression Display between baseline and 24-month follow-up.

CDVA, expressed in logMAR, displayed no significant change over time, with a mean baseline CDVA value of  $0.03 \pm 0.07$  logMAR and a 24-month follow-up mean value of  $0.01 \pm 0.04$  (p = 0.10). In the same way, Sph Eq at baseline was  $-1.29 \pm 1.38$  and  $-1.05 \pm 1.51$  24 months after, with p = 0.14.

About topographic and tomographic parameters assessed with the Pentacam, the statistical analysis showed no significant changes in Kmax values between baseline and 24-month follow-up measurements (p = 0.30), with a pre-CXL values of  $48.35 \pm 4.95$  and 24-month post-CXL of  $48.56 \pm 4.96$ . Analogously, the A value of the ABCD progression display system remained stable (p = 0.33), in fact the preoperative values were  $7.13 \pm 1.66$  mm and the post-CXL were  $7.43 \pm 0.48$  mm, in the same way as the B value, for which the change was from  $5.87 \pm 0.55$  mm to  $5.83 \pm 0.60$  mm (p = 0.37). When evaluating pachymetry - C value, we did not find any statistically significant difference (p = 0.51), between the baseline ( $498.9 \pm 34.29 \mu$ m) and the 24-month follow-up ( $500.10 \pm 33.45$ ).

None of the patients showed a re-progression of keratoconus according to our criteria.

### Discussion

Iontophoresis cross linking (I-CXL) is nowadays considered an upcoming treatment for progressive KC, since it has the advantage of reducing patients' postoperative pain, risk of infection, treatment time and speeding the recovery of CDVA.<sup>22,23</sup> Little is known about the efficacy of I-CXL in halting the progression of the ectasia.<sup>24–28</sup> Several studies are trying to investigate the efficacy and safety of iontophoresis cross-linking, evaluating if it could become an alternative treatment option for keratoconus.<sup>22</sup> A recent long-term follow-up (FU) of I-CXL showed no significant change over time in CDVA, Maximum Keratometry, Thinnest point, and A, B, C values of the Belin Progression Display, instead comatic and high order aberrations decreased significantly over time after 7 years of FU, but, however, a 26% progression rate was reported.<sup>17</sup> Another study of the same research group found a 13-year progression rate of 7.4% for S-CXL.<sup>20</sup> This evidence might suggest a change in clinical practice and limit the indication for I-CXL to less advanced stages of the disease or slowly progressive keratoconic patients who are at lower risk and who has high CDVA.<sup>29</sup>

Moreover, a standardization for detecting re-progression after CXL had not been found yet. In fact, among these studies, Al Fayez et al<sup>28</sup> randomized 70 patients to undergo S-CXL or I-CXL and they followed-up them for 3 years. Progression was evaluated considering Kmax: the I-CXL group showed a re-progression of 55%. In a recent study by Belin et al<sup>21</sup> the upcoming version of the ABCD Progression Display was presented. This incorporation will involve displaying 80% and 95% confidence intervals for post-CXL changes using blue gates, which will be visible only when the treatment is indicated. This method of evaluation was used by Vinciguerra et al<sup>17</sup> who found out a progression rate of

26% in eyes treated with I-CXL in a 7-years long-term study, with limited improvement in morphological and functional parameters. Moreover, Soeters et al<sup>30</sup> found a progression rate of 23% at 1 year of FU.

Therefore, according to the literature, I-CXL should be reserved only for less aggressive/slowly progressive keratoconic patients.<sup>31</sup> In our study, we aimed to evaluate keratoconus progression in twenty eyes of twenty patients under the age of 25 treated with I-CXL in the less advanced keratoconic eye whose fellow most advanced eye underwent S-CXL. We found no significant change over time in CDVA, maximum keratometry and A, B, C values of the Belin Progression Display (p > 0.05 for all the parameters considered) at least 24 months of FU. The main strengths of our study are the new ABCD-based definition of re-progression and its novelty in considering the efficacy of I-CXL in the second-treated eye. Conversely, the main limitations are the low number of patients considered, the retrospective design, the lack of comparison with the fellow eye treated with S-CXL or with a control group of non-treated eyes and the relatively short period of follow-up.

## Conclusion

While our findings suggest that iontophoresis cross-linking (I-CXL) may be a viable alternative for managing less advanced keratoconic eyes with high visual acuity and lower-risk patients, caution is warranted in its application. Additional research is needed to confirm these preliminary findings and establish robust guidelines for the safe and effective use of I-CXL in clinical practice.

# Disclosures

None of the authors have financial interests to report.

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